

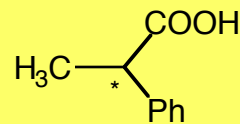
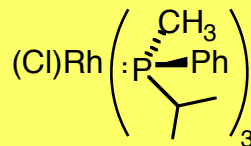
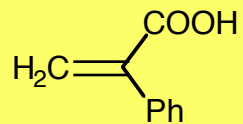
Asymmetric Hydrogenation with Chiral Iridium Catalysts

Andreas Pfaltz

Department of Chemistry, University of Basel

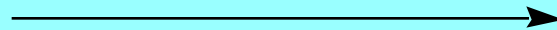
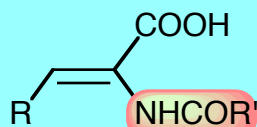


ENANTIOSELECTIVE HYDROGENATION

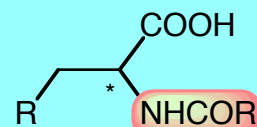
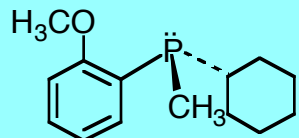


~15 % ee

HORNER et al. (1968)
KNOWLES & SABACKY (1968)

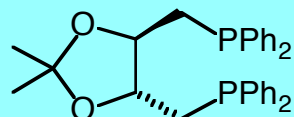


$\text{H}_2 / \text{Rh}(\text{L}^*)\text{X}$



88 % ee

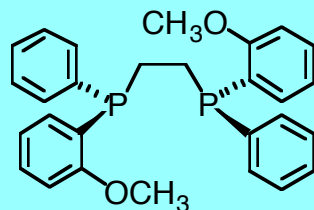
KNOWLES et al. (1970)



DIOP

~70 % ee

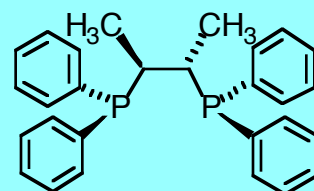
KAGAN & DANG (1971)



DIPAMP

96 % ee

KNOWLES et al. (1974)

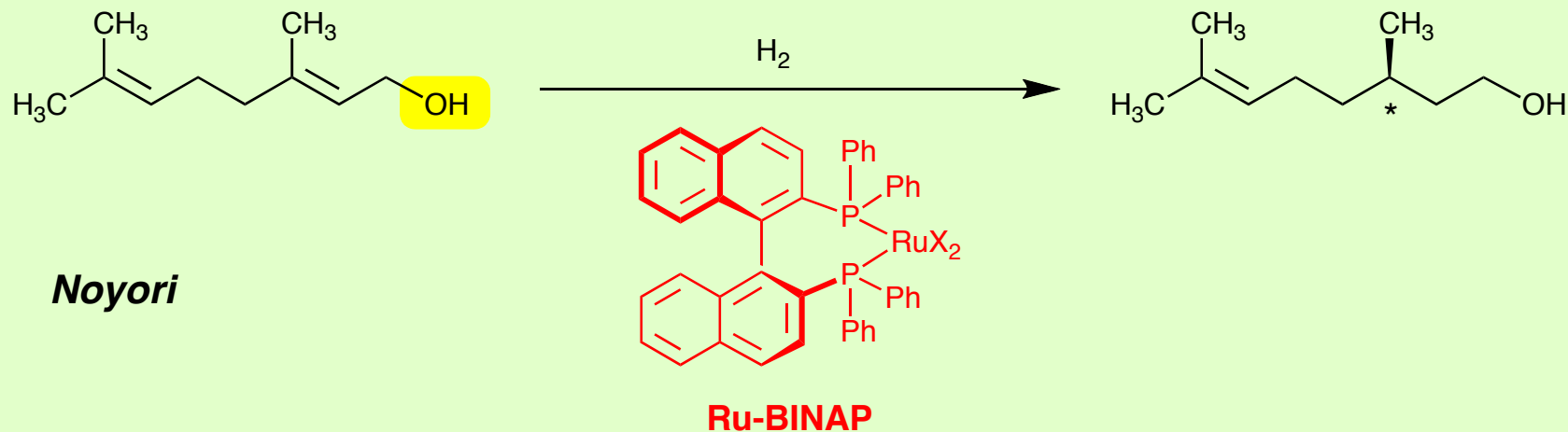
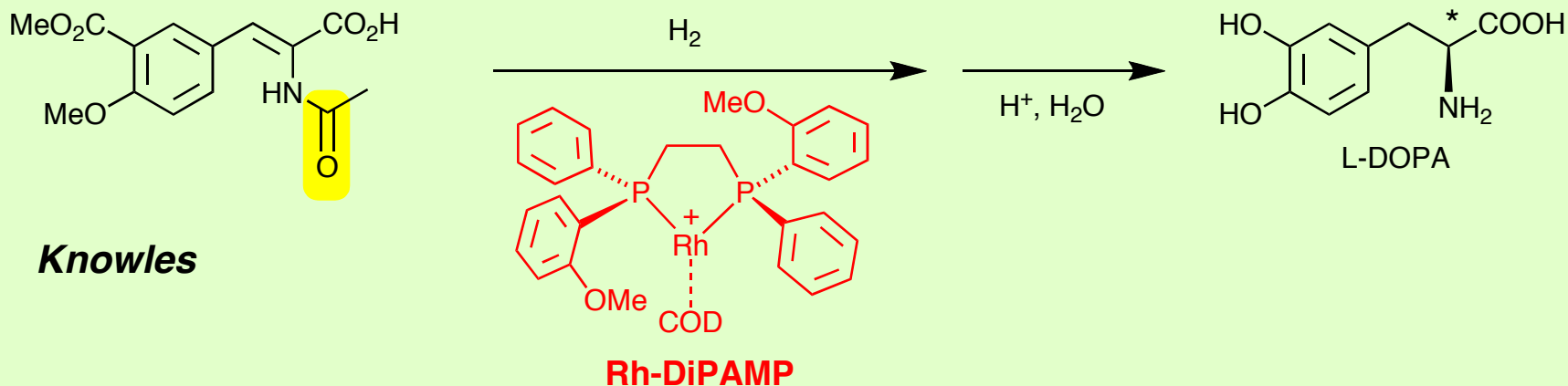


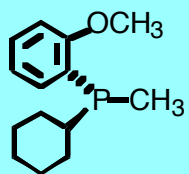
CHIRAPHOS

~100 % ee

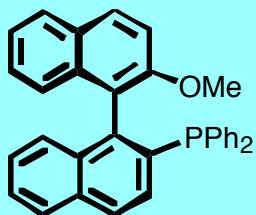
BOSNICH & FRYZUK (1977)

Asymmetric hydrogenation of functionalized olefins

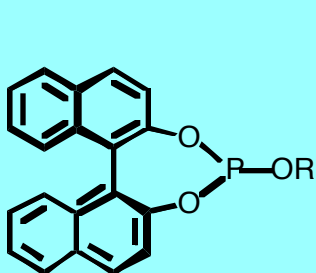




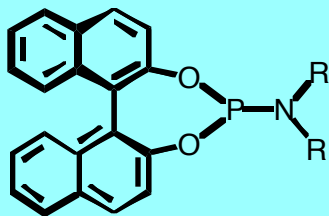
CAMP



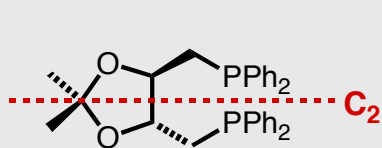
MOP



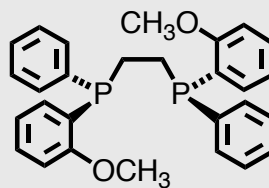
Duphos



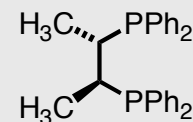
MonoPhos



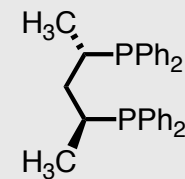
DIOP



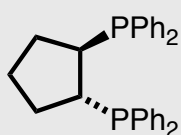
DIPAMP



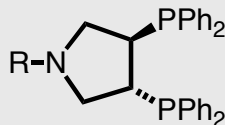
Chiraphos



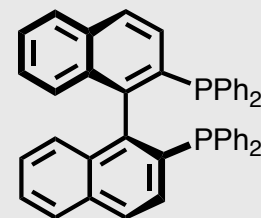
Skewphos



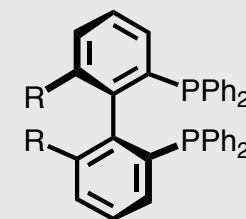
DPCP



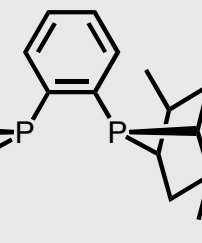
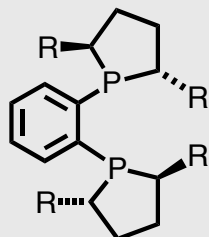
Pyrphos
Deguphos



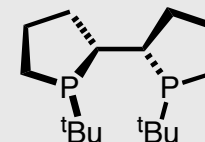
BINAP



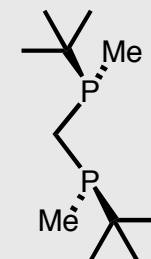
BIPHEP



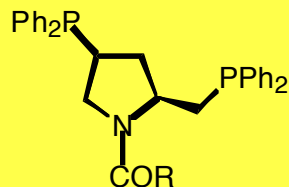
PennPhos



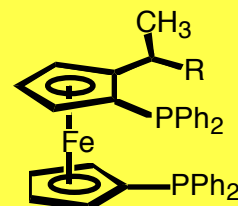
TangPhos



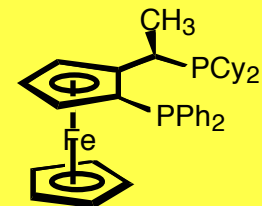
MiniPHOS



BPPM (R=Ot-Bu)



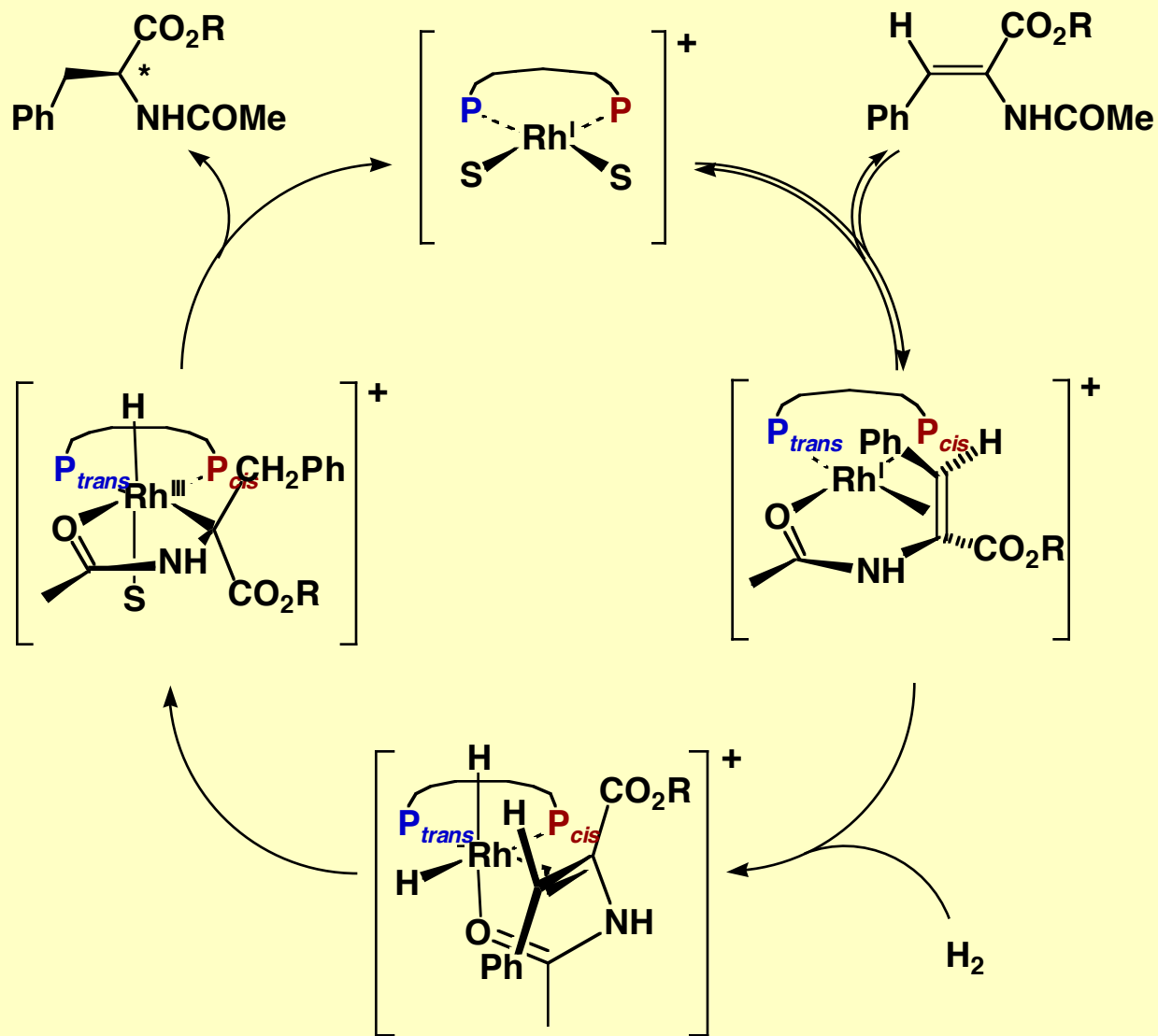
BPPFA (R=NMe2)



Josiphos

Achiwa's "Respective Control Concept"

Synlett 1992, 169



P_{trans} and **P_{cis}** have different steric and electronic interactions with the substrate

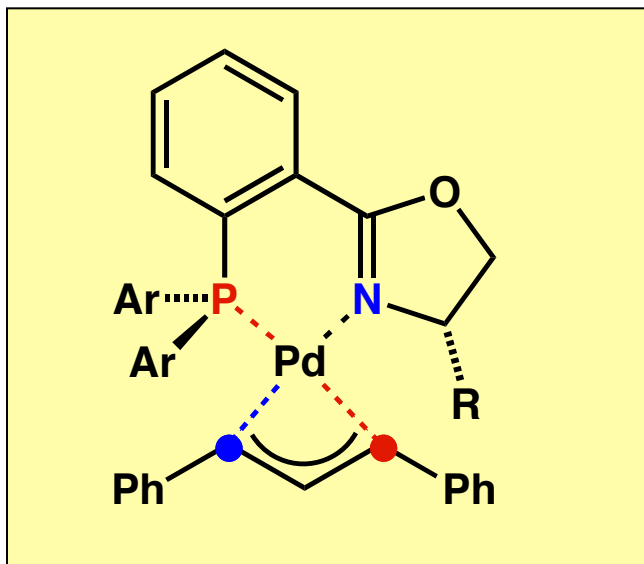


P_{trans} and **P_{cis}** have different effects on the **enantioselectivity** and **rate**



P_{trans} and **P_{cis}** groups must perform different functions and, therefore, **should be optimized individually**.

Phosphinooxazolines (PHOX ligands)



P. von Matt, A. Pfaltz

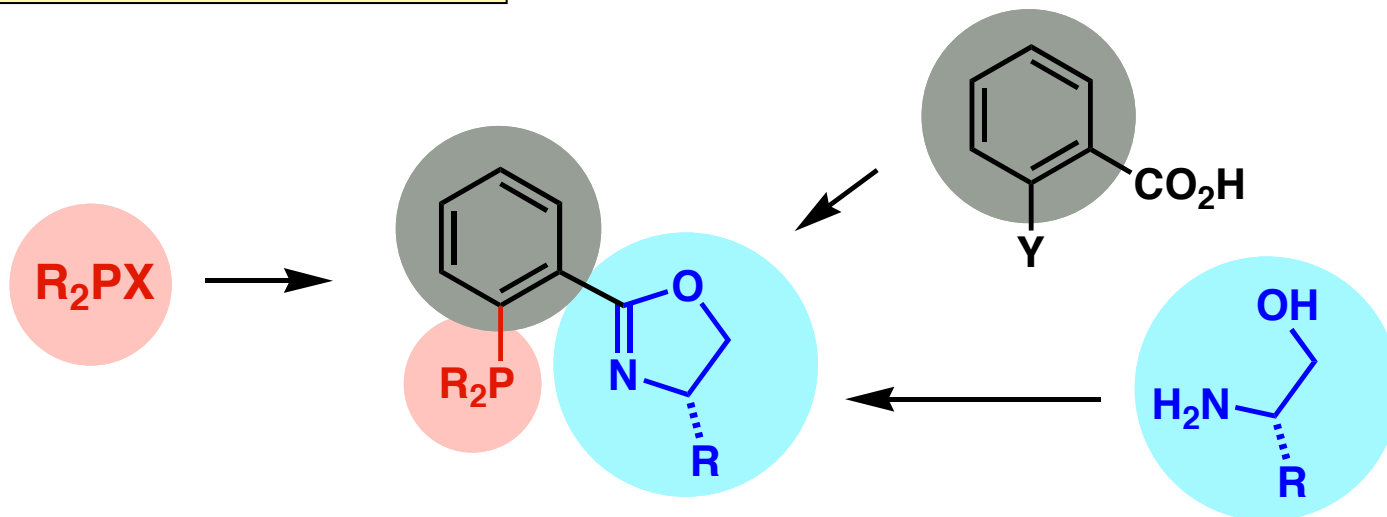
Angew. Chem. Int. Ed. **1993**, *32*, 566

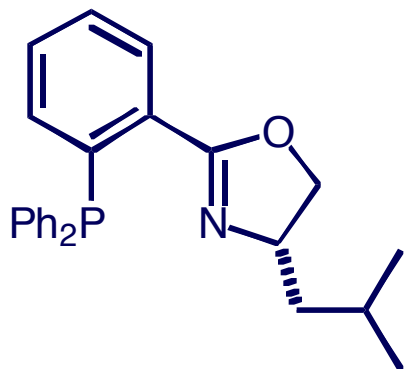
J. Sprinz, G. Helmchen

Tetrahedron. Lett. **1993**, *34*, 1769

G. J. Dawson, C. G. Frost, J. M. J. Williams,

S. J. Coote, *Tetrahedron. Lett.* **1993**, *34*, 3149

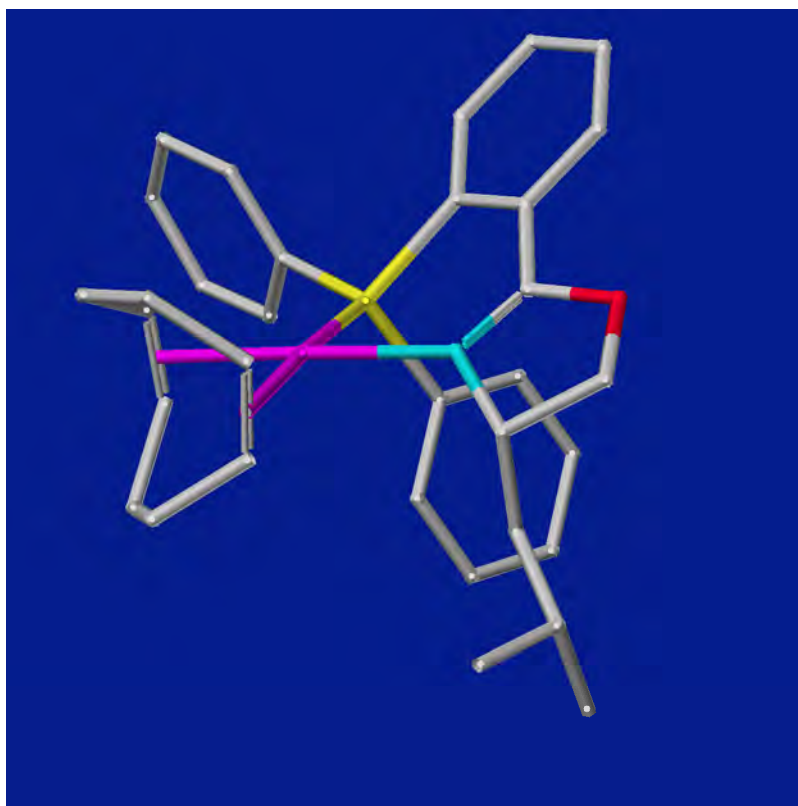
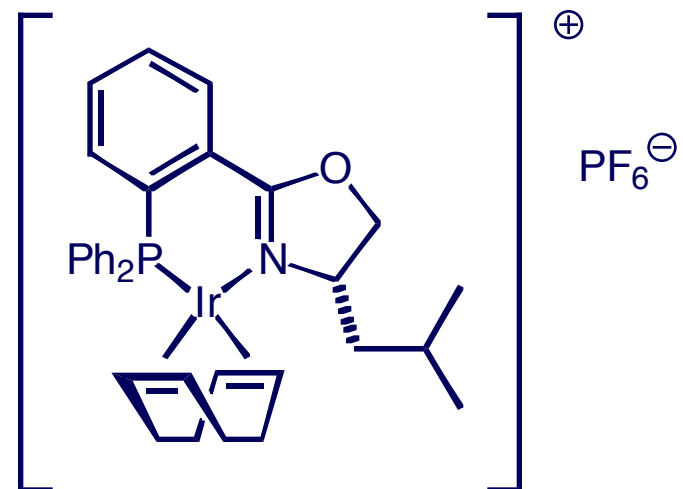




1) $[\text{Ir}(\text{COD})\text{Cl}]_2$, CH_2Cl_2 , reflux

2) NH_4PF_6 , H_2O / CH_2Cl_2

3) crystallization (CH_2Cl_2 / Et_2O)

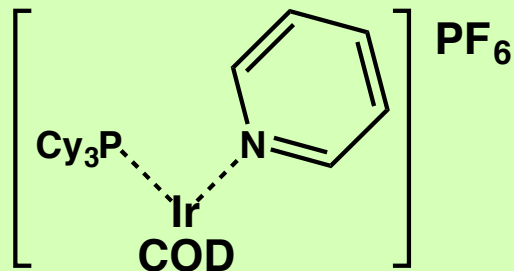


X-RAY ANALYSIS

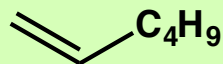
Ludwig Macko, Prof.
Margareta Zehnder
(University of Basel)

Olefins without coordinating groups

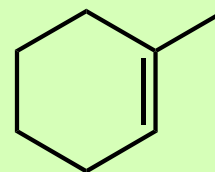
Crabtree



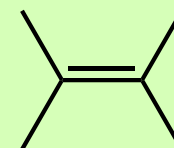
TOF (h⁻¹)



6400

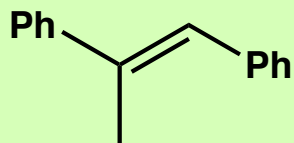
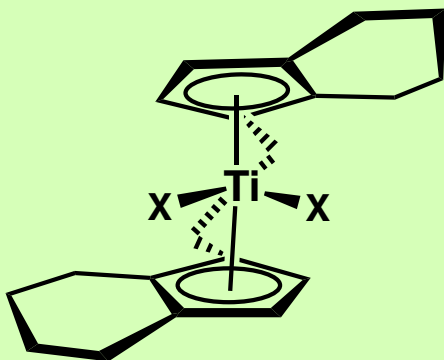


3800



4000

Buchwald



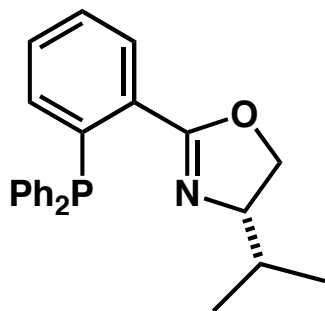
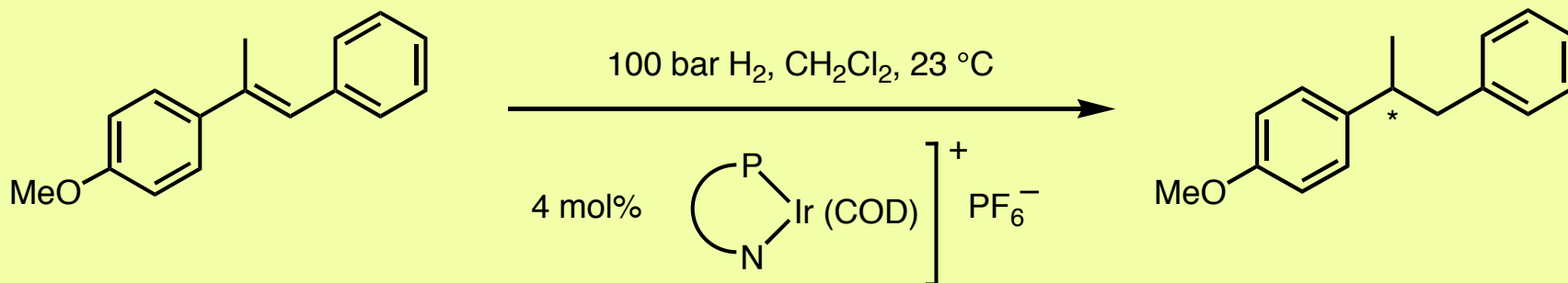
94% yield

99% ee

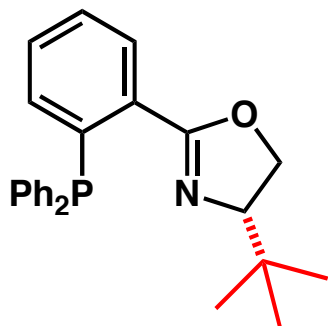
High yield and ee
5-8 mol% catalyst
low TOF (1-2 h⁻¹)

Broene & Buchwald, *JACS* 1993, 115, 12569

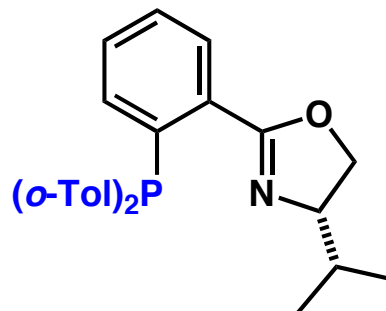
Initial Experiments



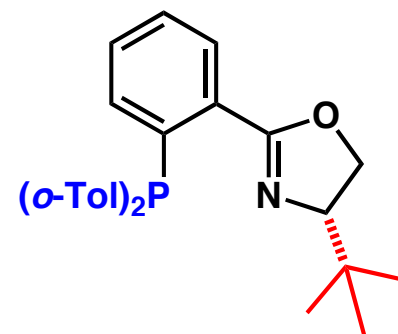
78% conv.
75% ee



98% conv.
90% ee

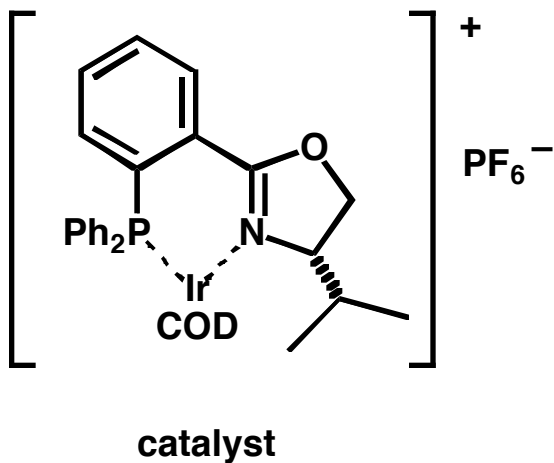
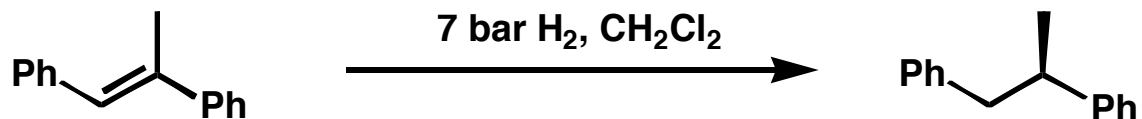


100% conv.
91% ee

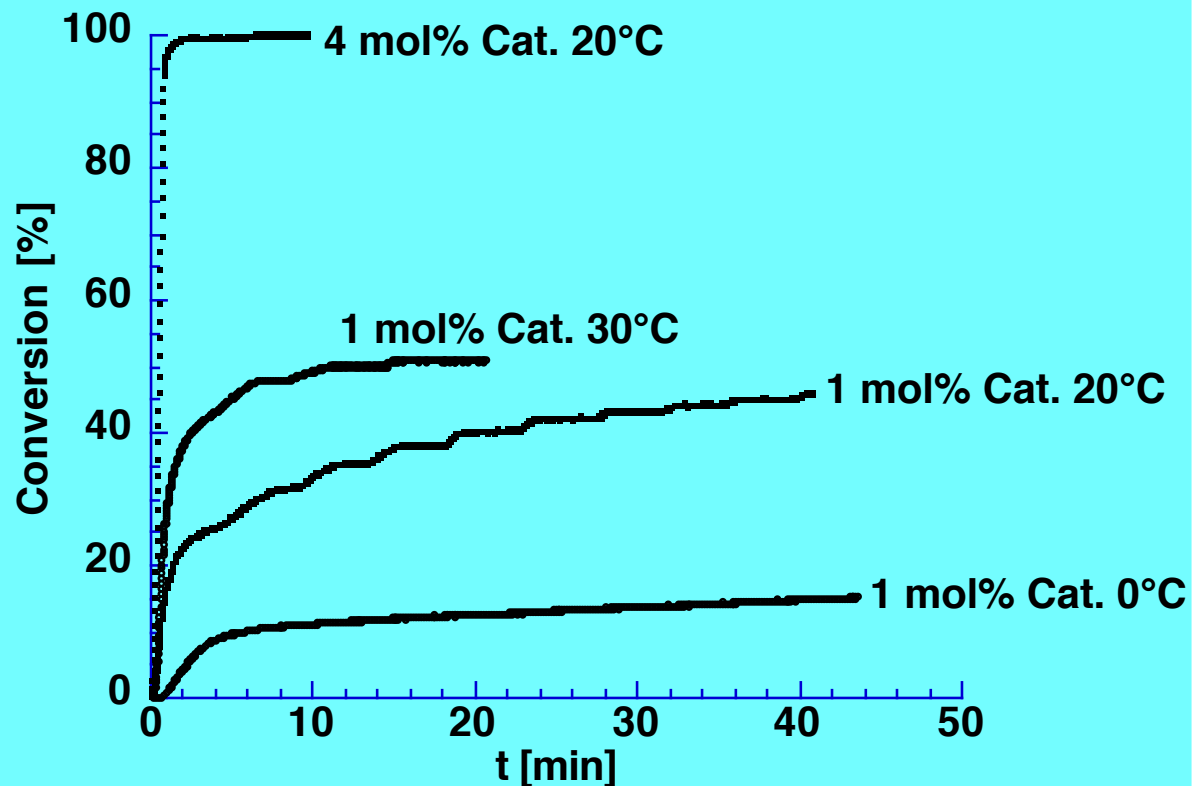


72% conv.
97% ee

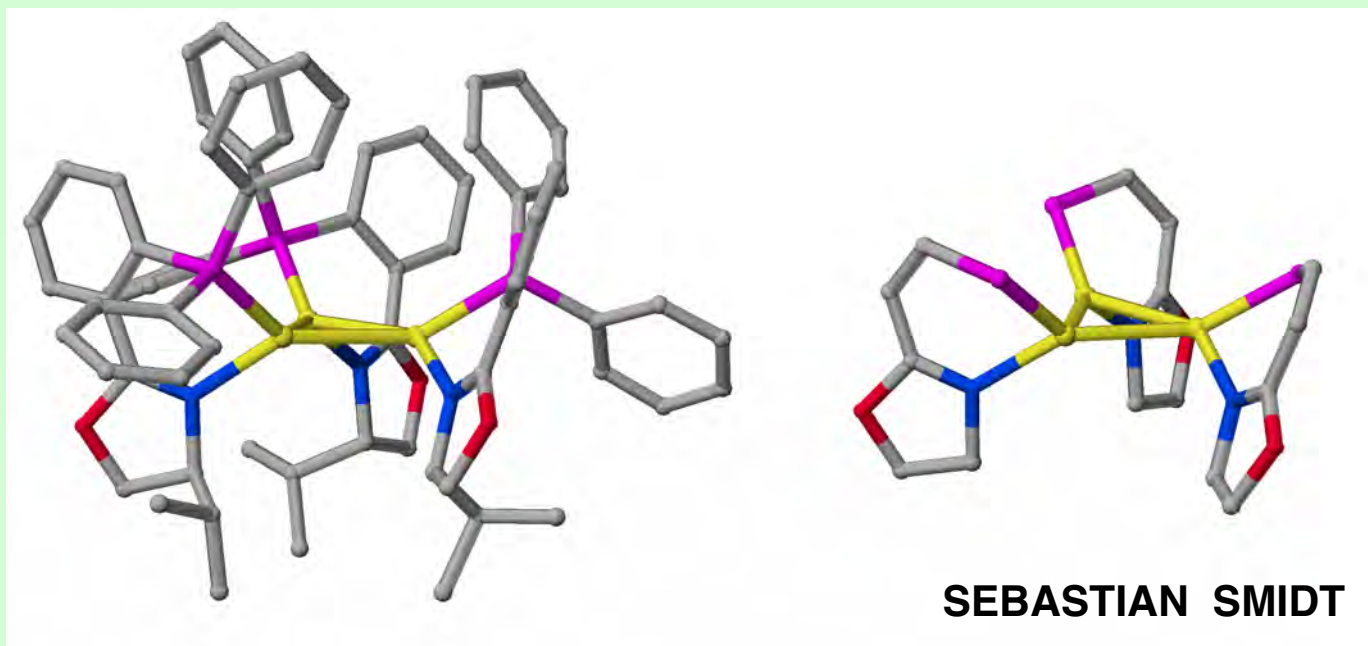
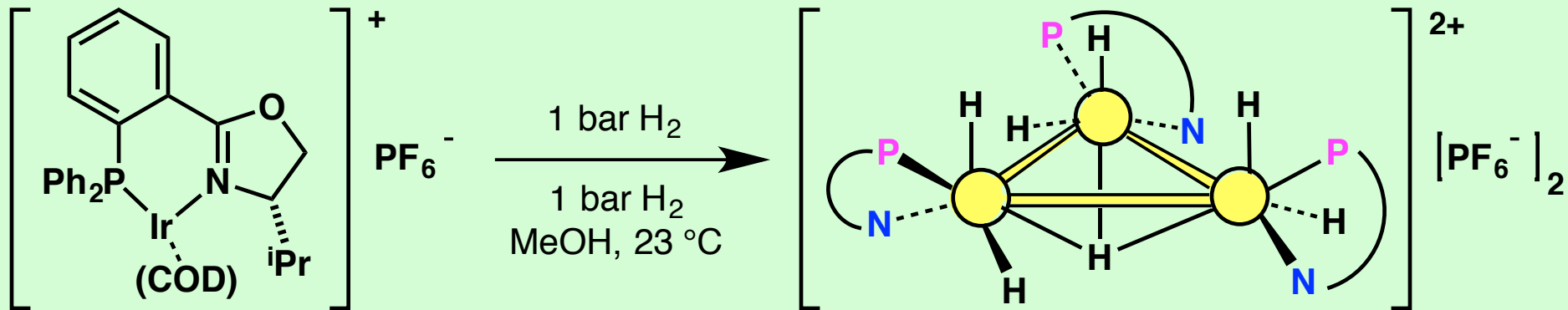
Kinetic Studies



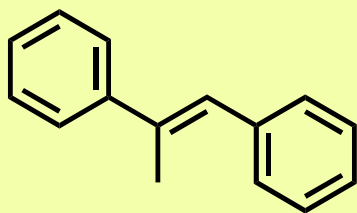
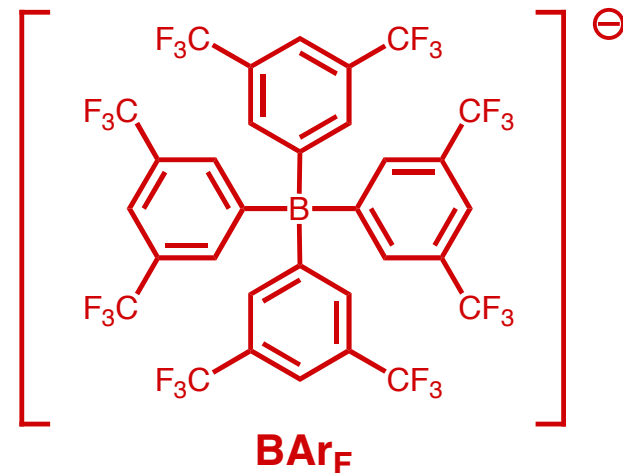
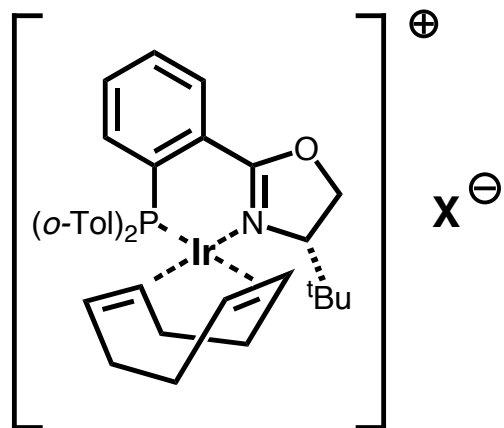
Prof. Donna G. Blackmond
Thorsten Rosner
(MPI für Kohlenforschung)



Preparation and X-ray analysis of the trinuclear complex $[\{\text{Ir}(\text{PHOX})\text{H}_2\}_3\text{H}] [\text{PF}_6]_2$

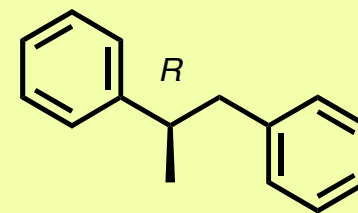


Effect of the anion



Cat.

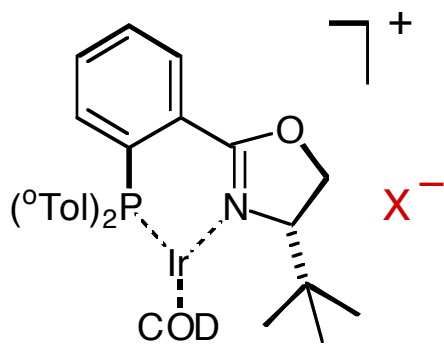
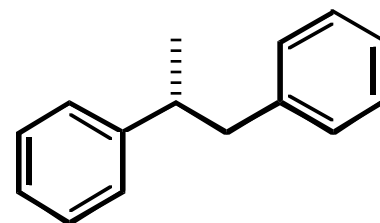
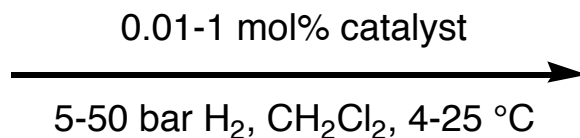
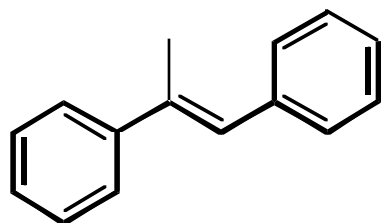
10 bar H₂
CH₂Cl₂, 23 °C



X = PF₆: 1 mol% catalyst ~ 50% conversion 97% ee TOF 2400 h⁻¹

X = BAr_F: 0.02 mol% catalyst 99% conversion 98% ee TOF > 5000 h⁻¹

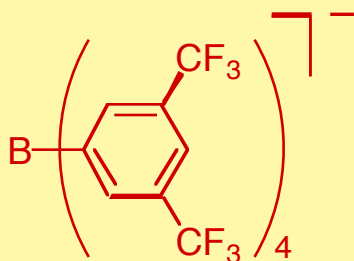
KINETIC STUDIES



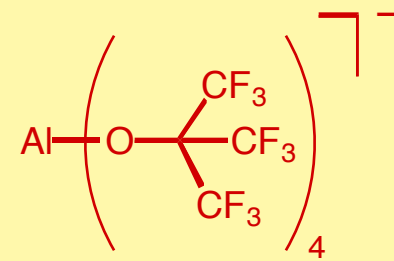
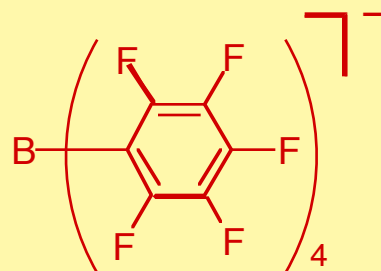
catalyst

SEBASTIAN SMIDT

Anions: BF₄⁻, PF₆⁻, CF₃SO₃⁻

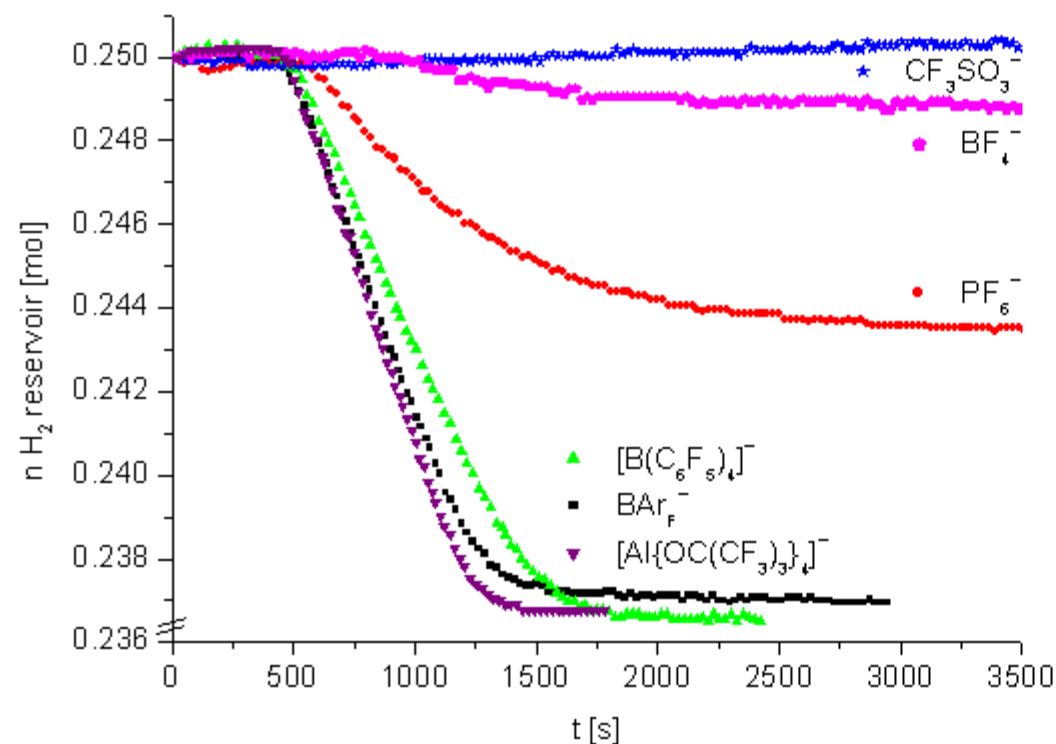


BAr_F



Ingo Krossing
(Univ. of Karlsruhe)

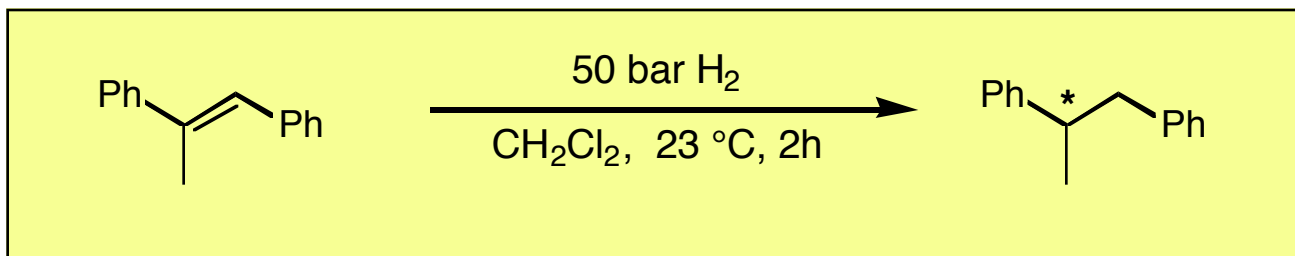
Catalysts with Six Different Anions



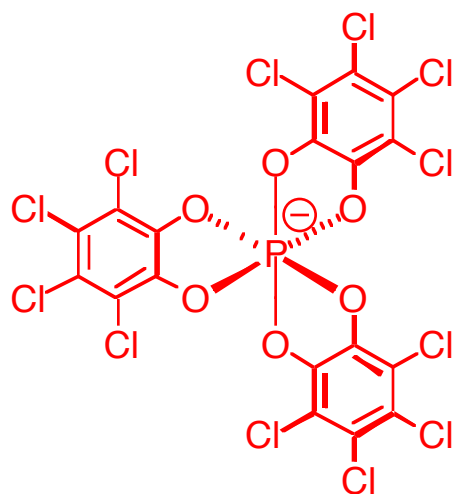
anion	v_{\max} [mmol min ⁻¹]	conversion (GC) [%]
[Al{OC(CF ₃) ₃ } ₄] ⁻	1.085	>99
BAr _F ⁻	0.993	>99
[B(C ₆ F ₅) ₄] ⁻	0.829	>99
PF ₆ ⁻	0.368	52
BF ₄ ⁻	0.067	13

all 0.1 mol% at 14 bar H₂ and 4 °C in CH₂Cl₂

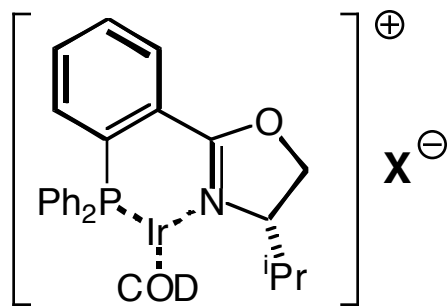




Δ -TRISPHAT:



Jérôme Lacour
(University of Geneva)

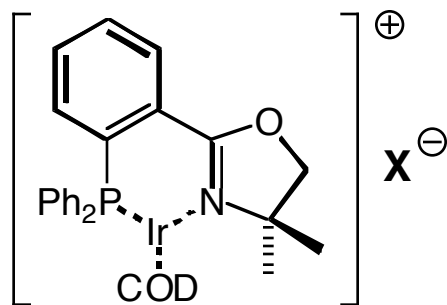


**X = BARF:
(1 mol% cat.)**

**70% ee
100% conv.**

**X = Δ -TRISPHAT:
(4 mol% cat.)**

**70% ee
100% conv.**



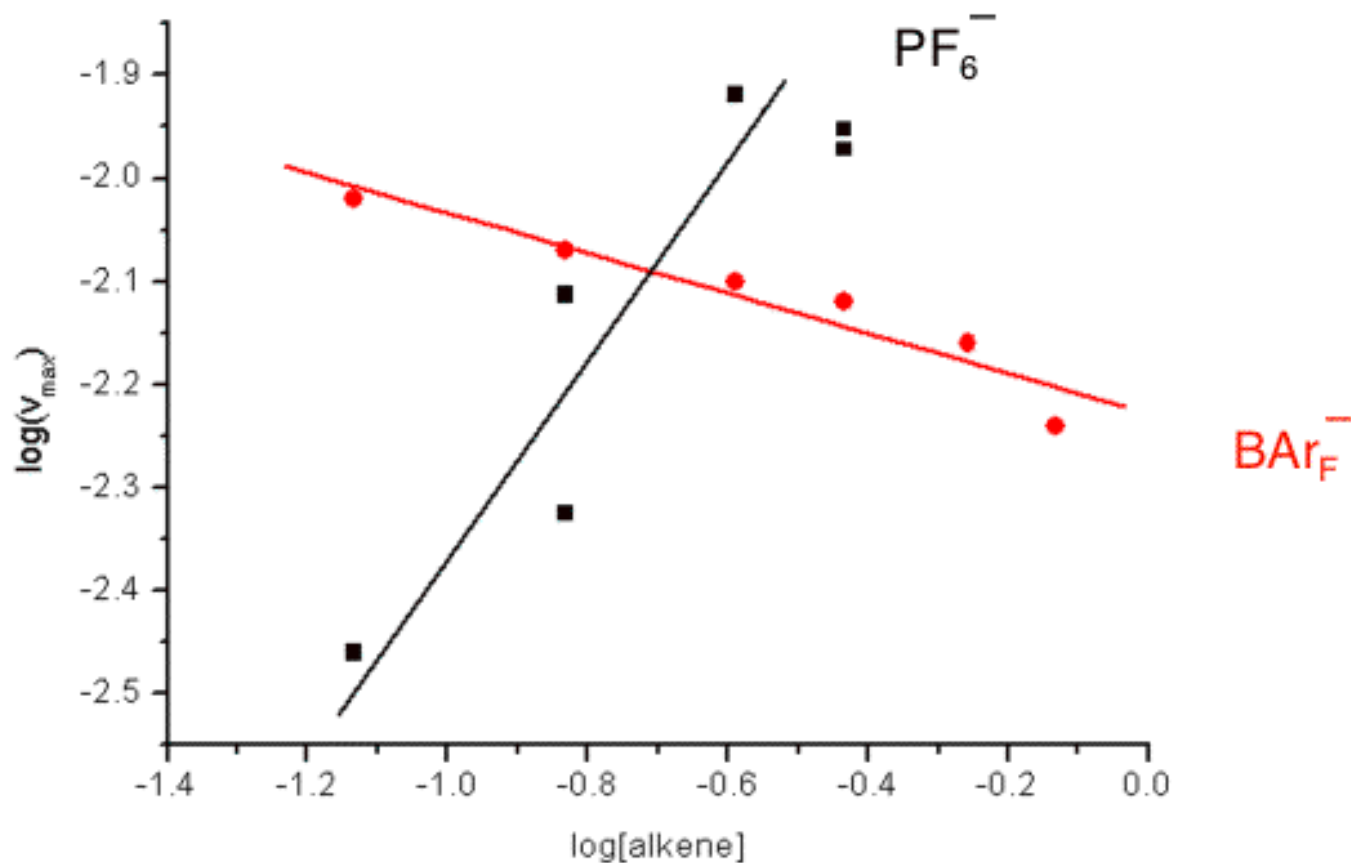
**X = Δ -TRISPHAT:
(4 mol% cat.)**

**0% ee
100% conv.**

**X = Δ -TRISPHAT:
(1 mol% cat.)**

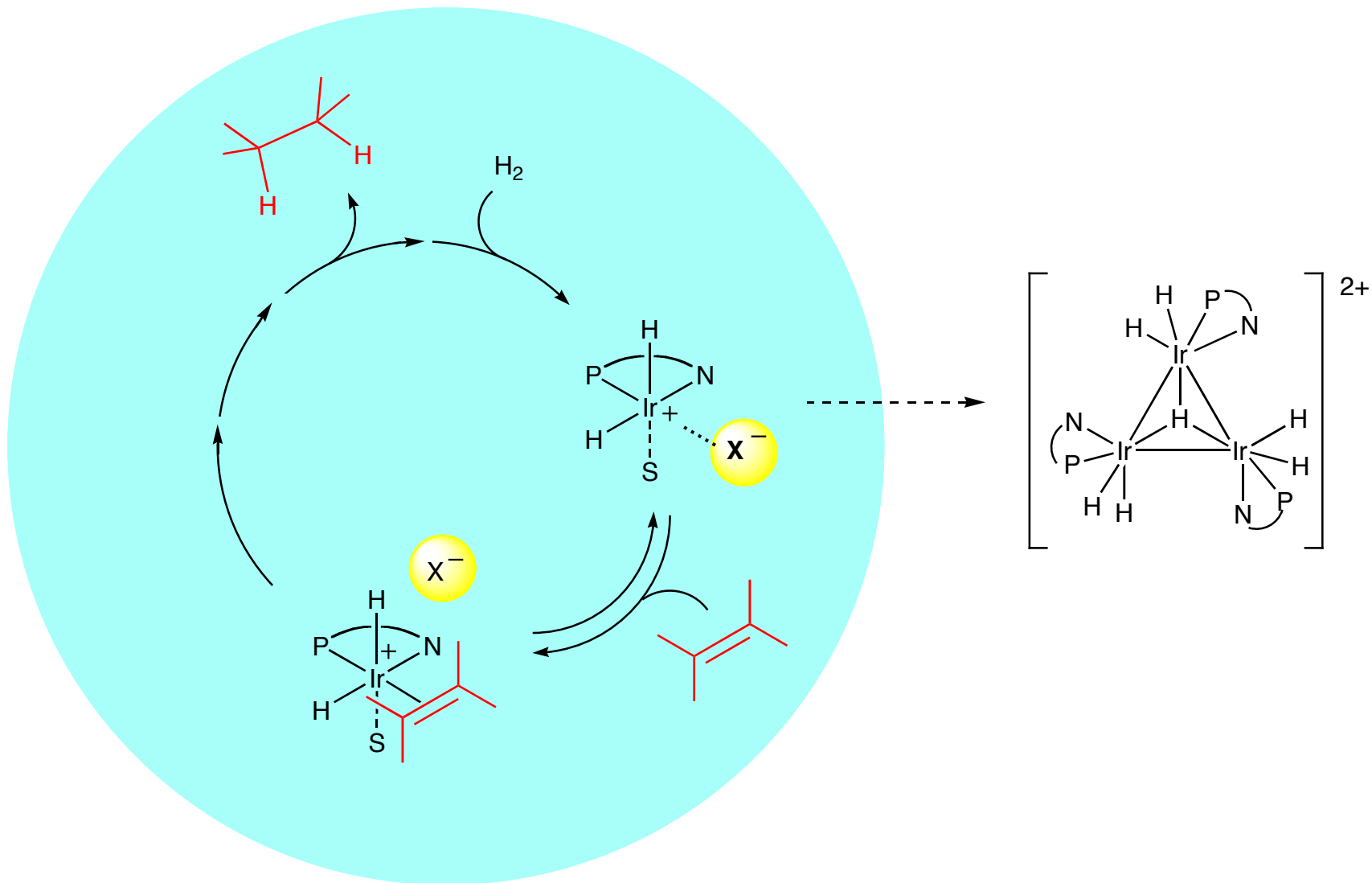
**0% ee
70% conv.**

Dependence of v_{\max} on Alkene Concentration

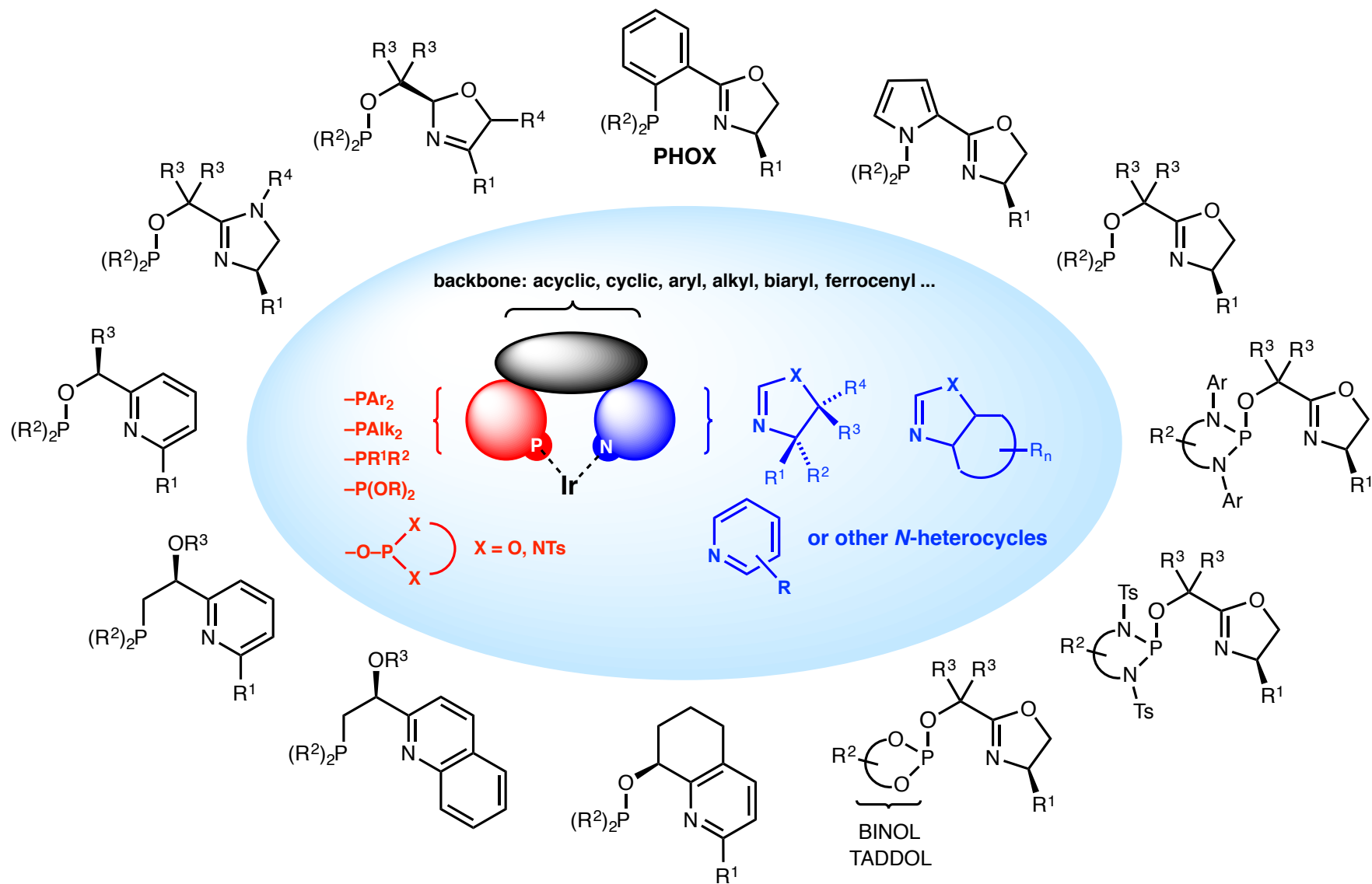


Anion	BAr_F^-	PF_6^-
Rate Order	-0.2	1.0

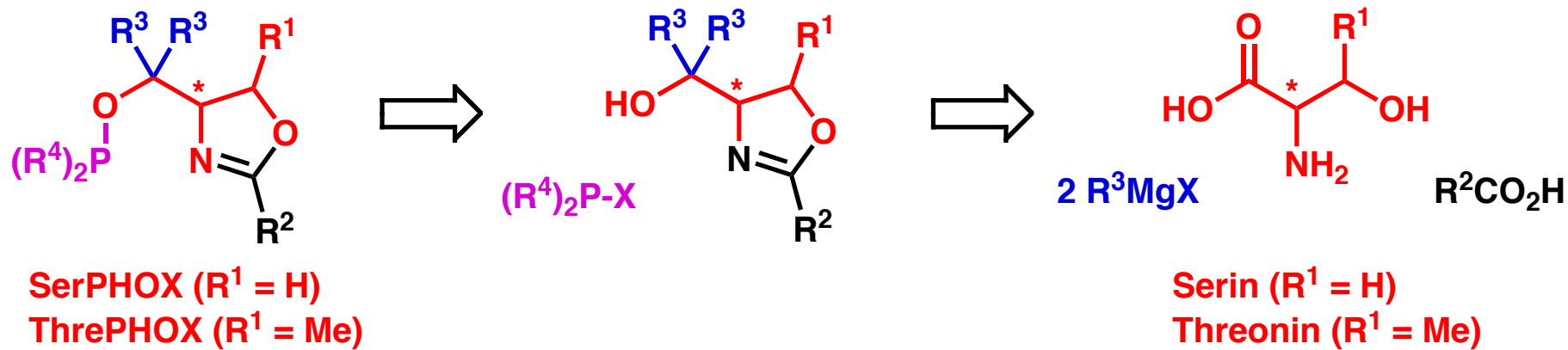
Hydrogenation vs. catalyst deactivation: influence of the anion



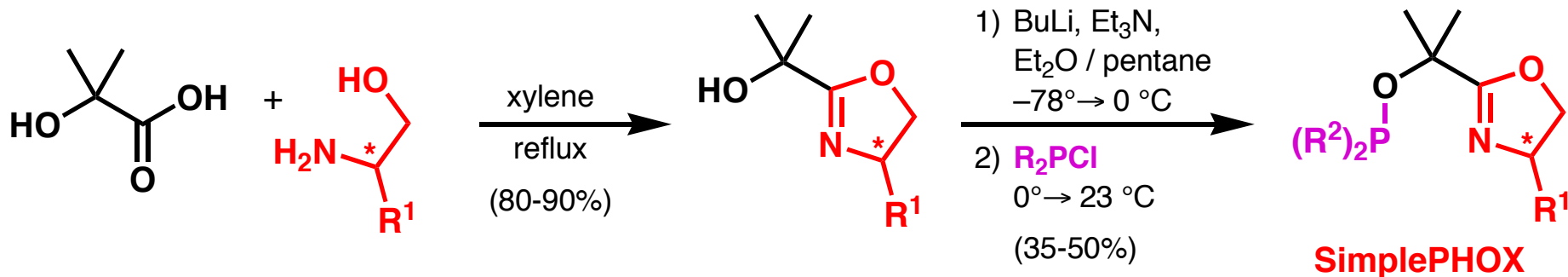
Variation of the Catalyst Structure



Variation of the Phosphinoxazoline Structure

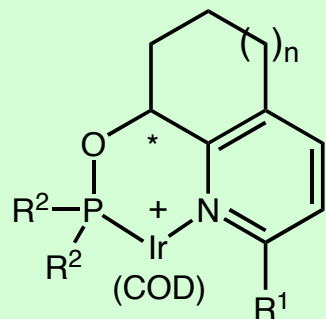


Jörg Blankenstein, Frederik Menges



Sebastian Smidt

Pyridine-Phosphinite Ligands

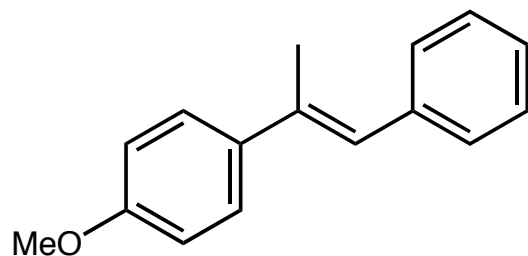


1 $n = 0$ $R^1 = \text{Ph}$ $R^2 = \text{tBu}$

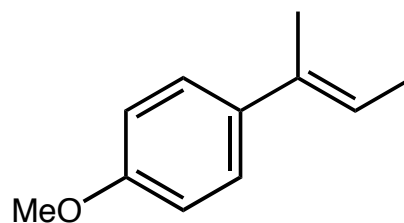
2 $n = 0$ $R^1 = \text{Ph}$ $R^2 = \text{oTol}$

3 $n = 1$ $R^1 = \text{H}$ $R^2 = \text{tBu}$

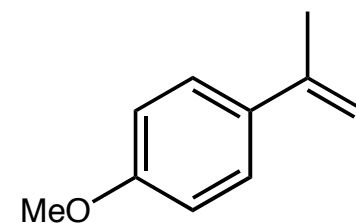
1 mol% catalyst, CH_2Cl_2 , 50 bar H_2 , RT



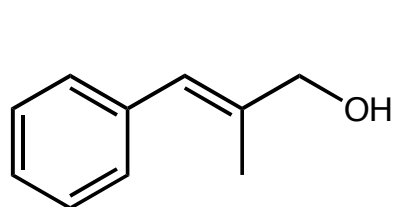
2: 99.9% ee



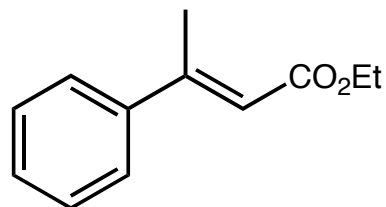
1: 99.5% ee



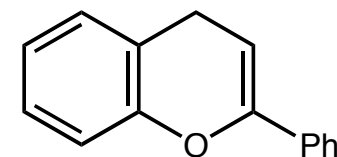
2: 98% ee



2: 95% ee

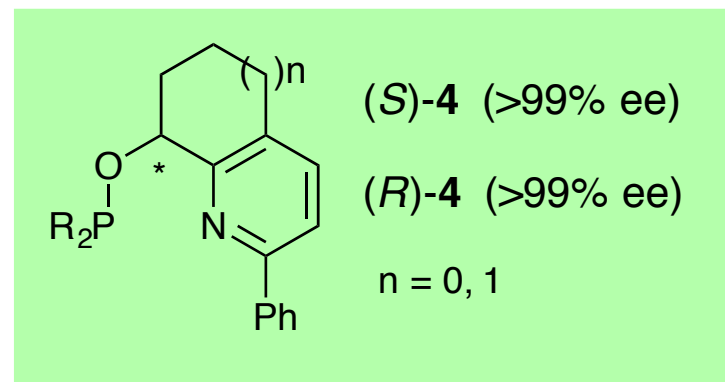
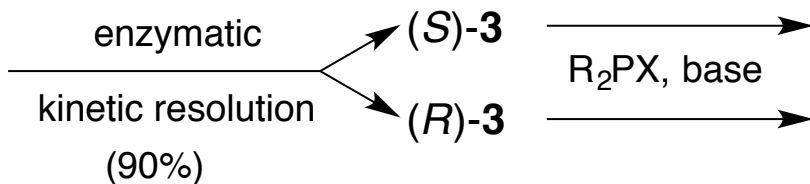
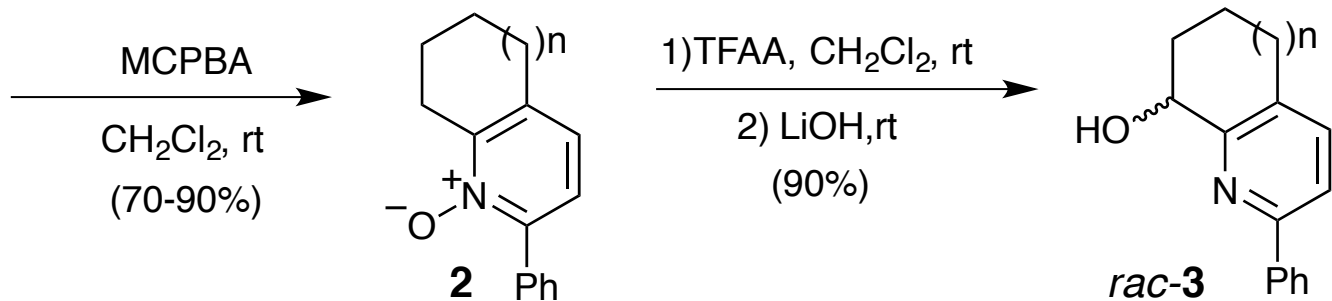
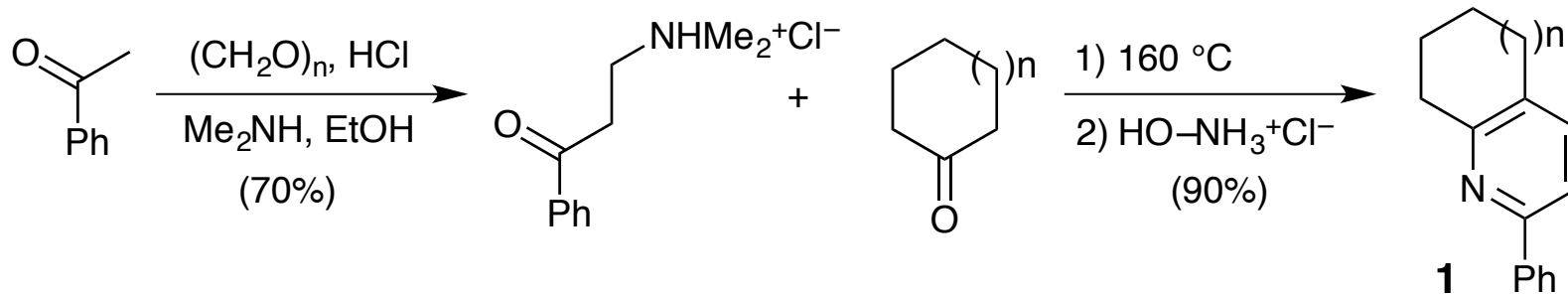


1: >99% ee

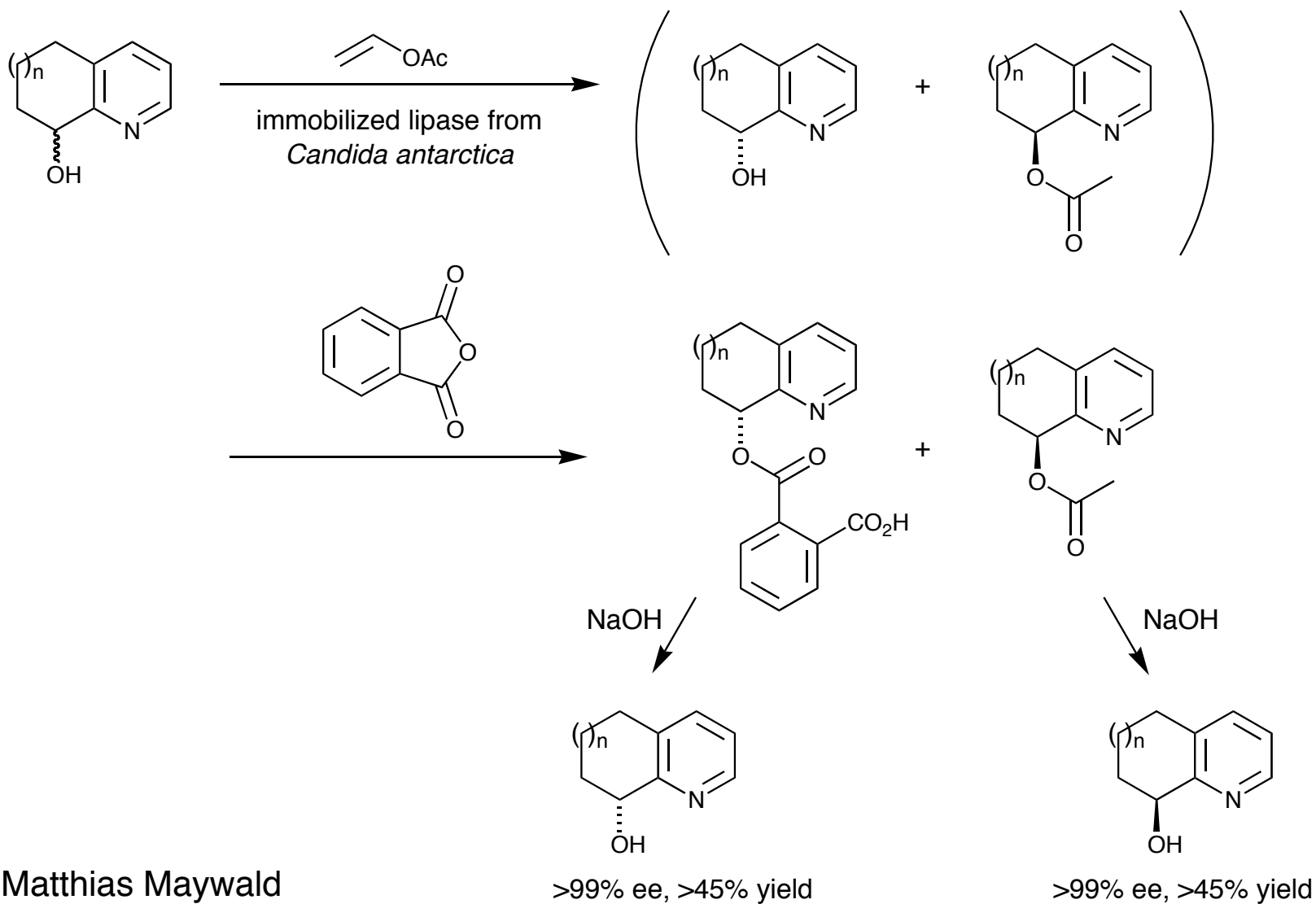


1: 98% ee

Synthesis of Bicyclic Pyridine-Phosphinite Ligands

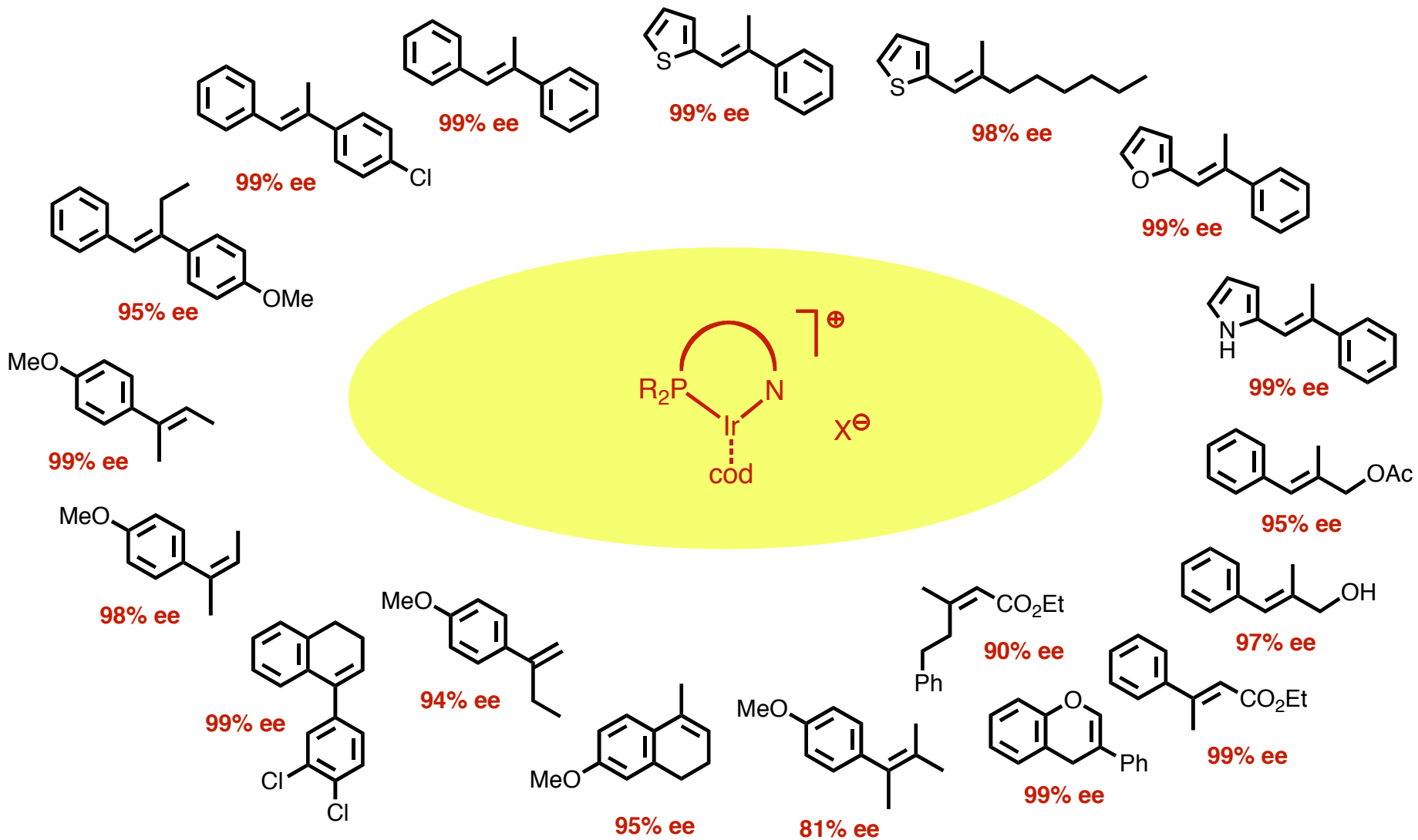


Practical chromatography-free kinetic resolution with lipase



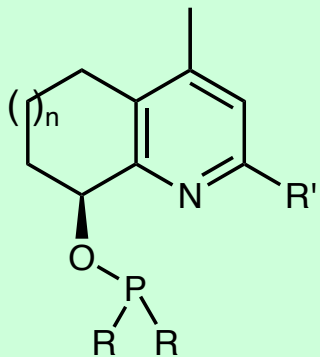
Matthias Maywald

Synthesis 2009, 3654

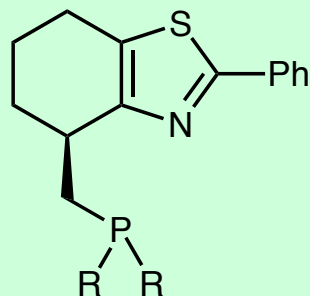


Contributions of other groups

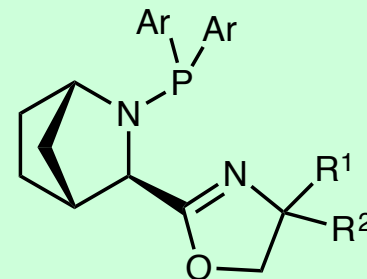
P,N:



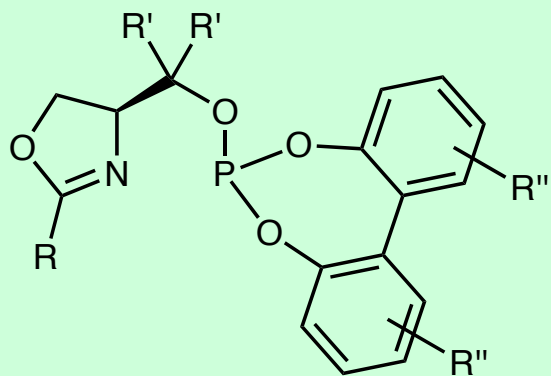
Yon-Gui Zhou
Tet. Lett. **2006**, 47, 4733



Andersson
JACS **2006** 128, 2995

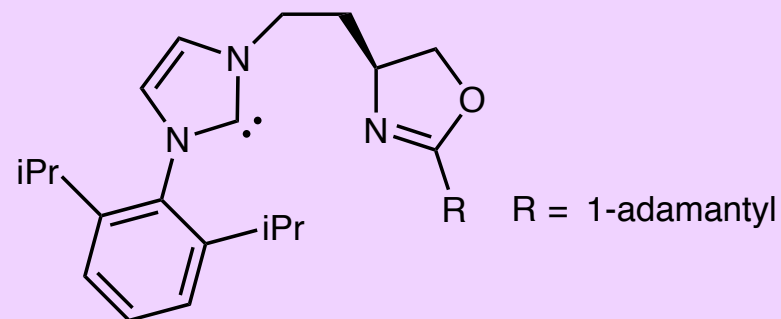


Andersson
JACS **2008**, 130, 5595



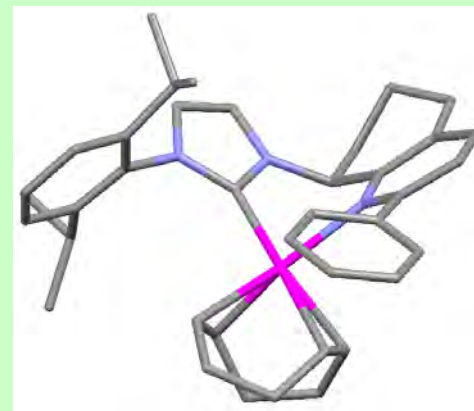
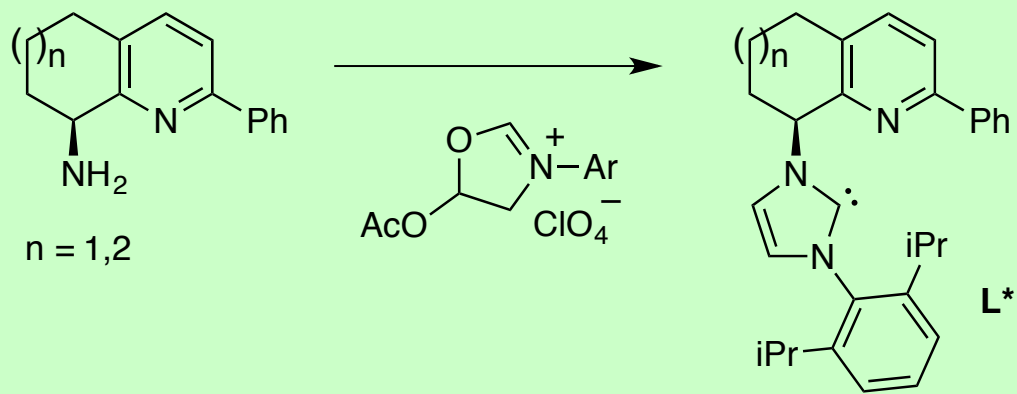
Diéguez, Pàmies, *JACS* **2009**, 131, 12344

C,N:

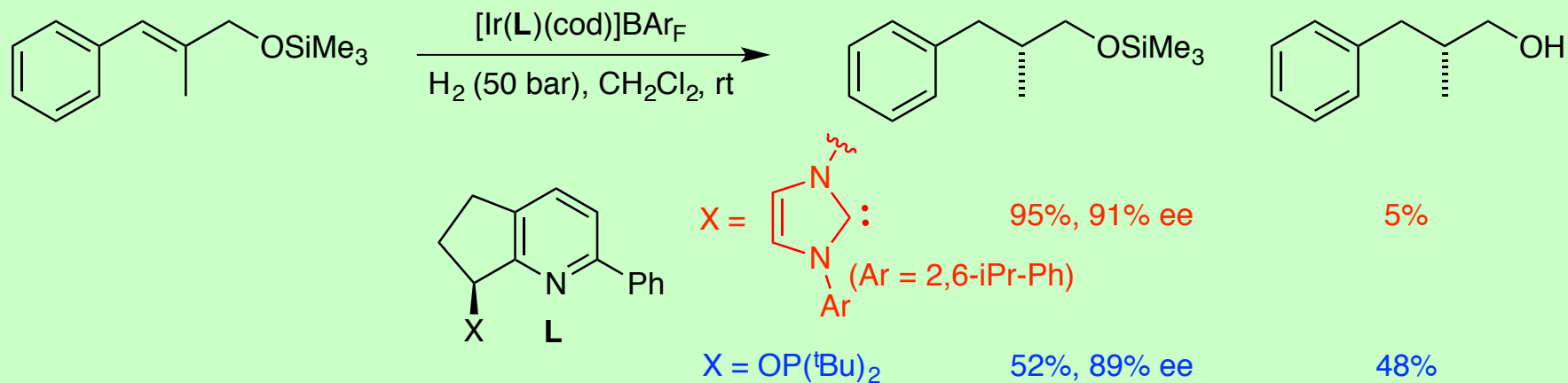


Burgess, *JACS* **2001**, 123, 8878

NHC-Pyridine Ligands

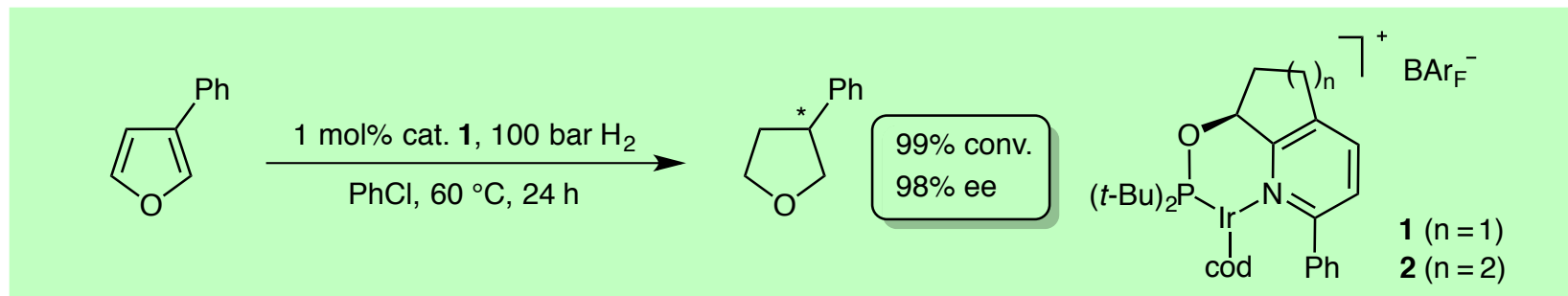


$[\text{IrL}^*(\text{cod})]\text{BAR}_F$

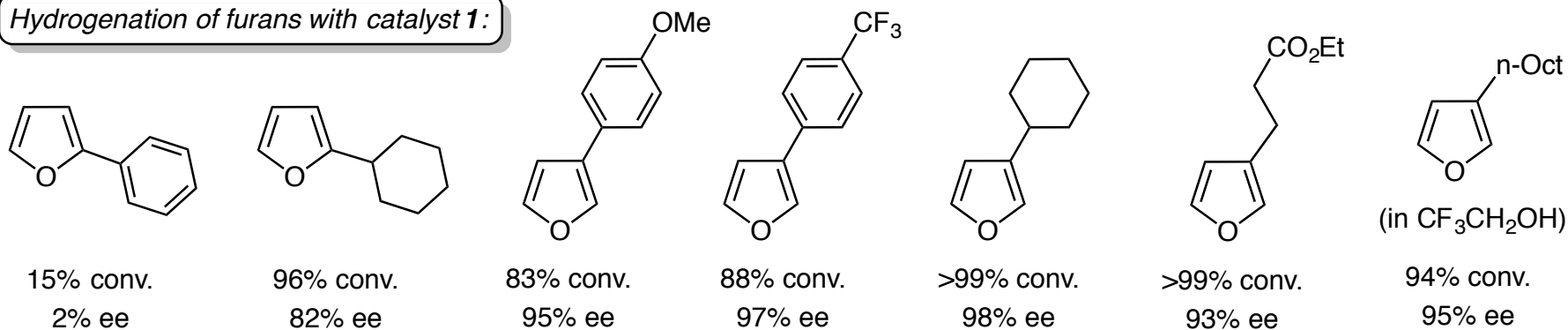


Andreas Schumacher

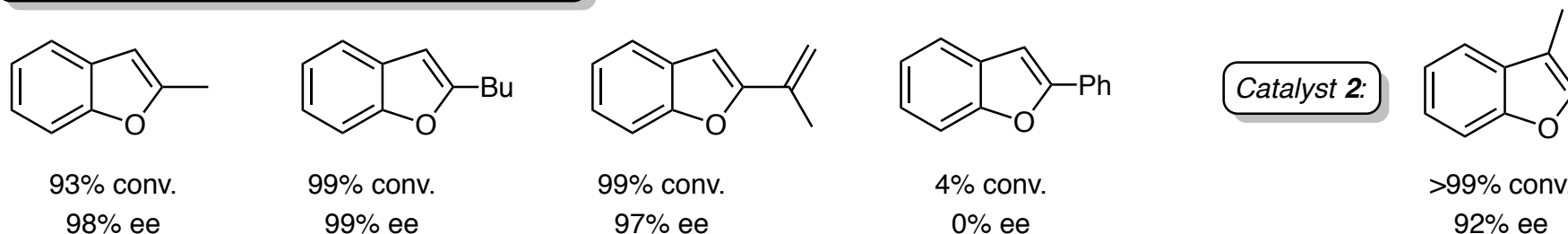
Asymmetric hydrogenation of furans and benzofurans



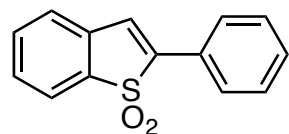
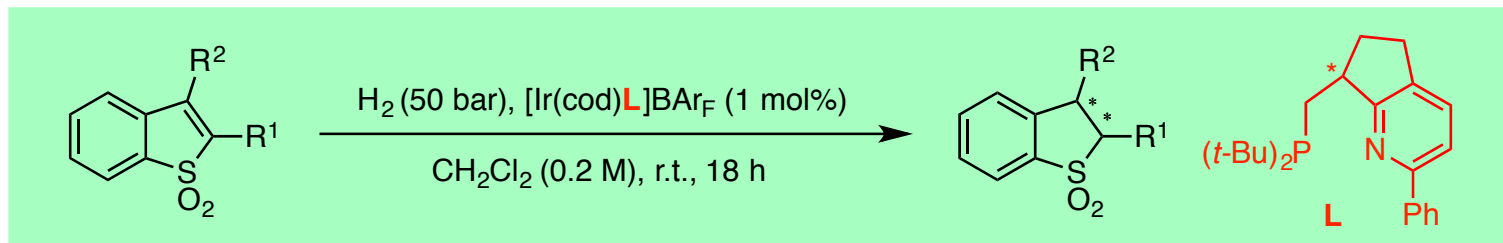
Hydrogenation of furans with catalyst 1:



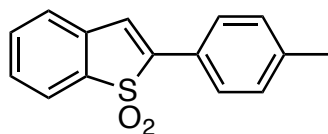
Hydrogenation of benzofurans with catalyst 1:



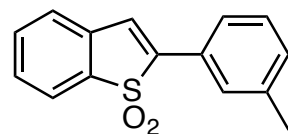
Asymmetric hydrogenation of Benzothiophene dioxides



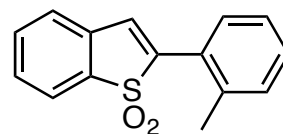
97% conv., 97% ee



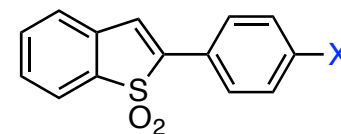
95% conv., 98% ee



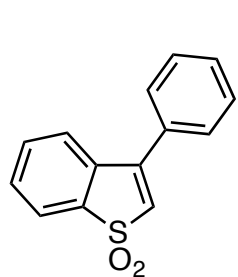
99% conv., 97% ee



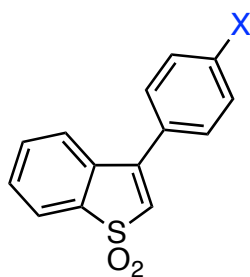
25% conv., 99% ee



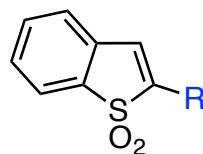
X = F: 81% conv., 98% ee
X = OMe: 99% conv., 99% ee



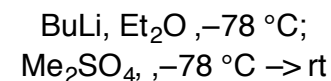
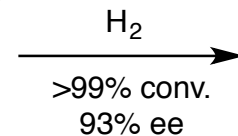
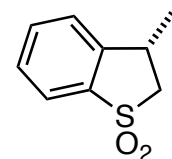
97% conv., 96% ee



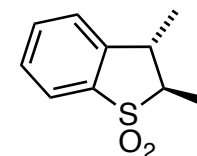
X = F: 81% conv., 98% ee
X = OMe: 99% conv., 99% ee



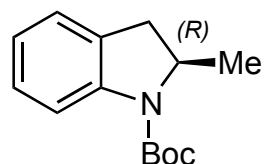
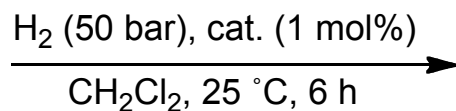
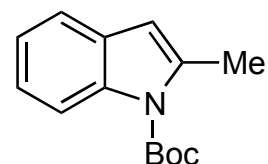
R = Me: >99% conv., 92% ee
R = Et: 95% conv., 84% ee*)
R = iPr: 75% conv., 84% ee
 *) 2 bar H_2



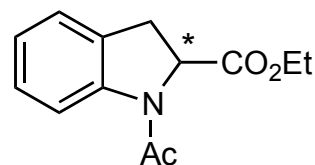
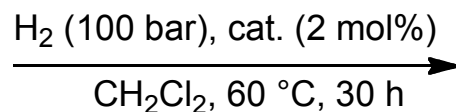
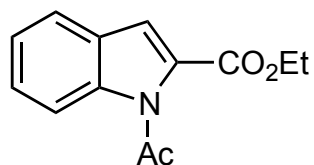
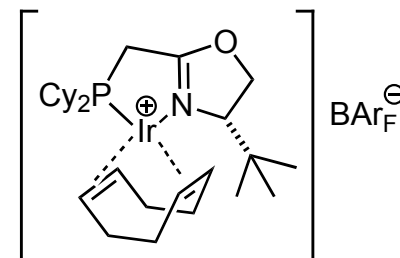
95% yield
 93% ee
 81:19 dr



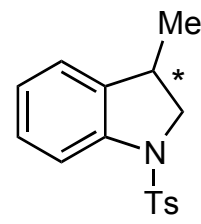
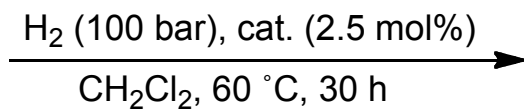
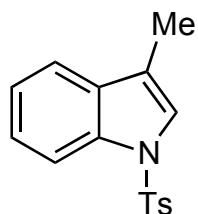
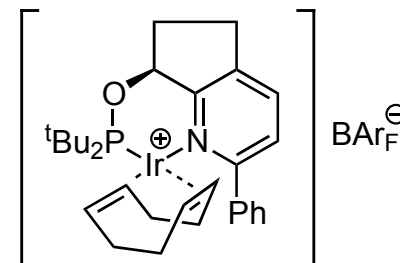
Asymmetric hydrogenation of indoles



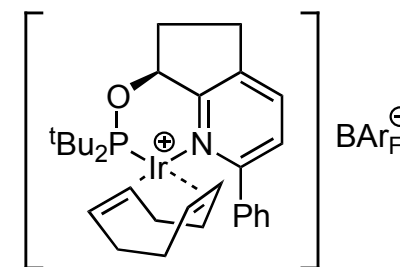
>99% conv. 90% ee



97% conv. 99% ee



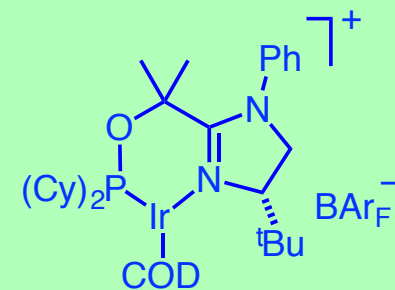
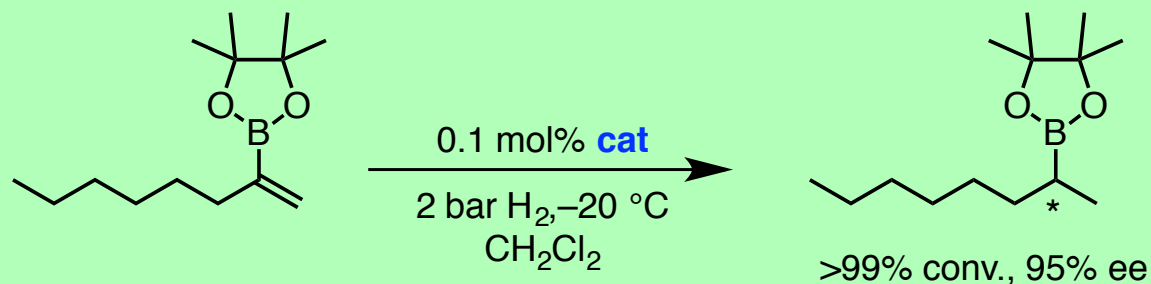
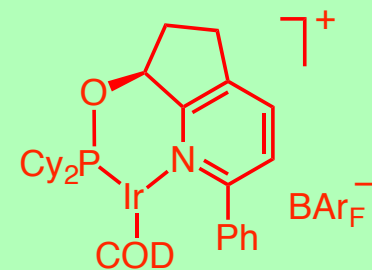
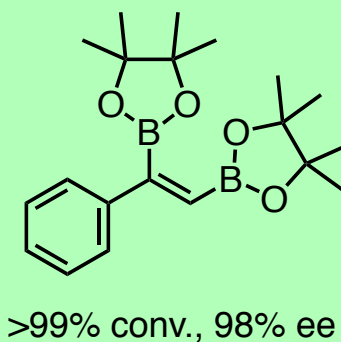
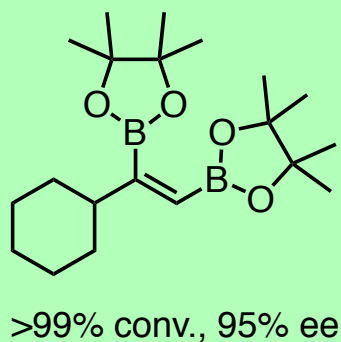
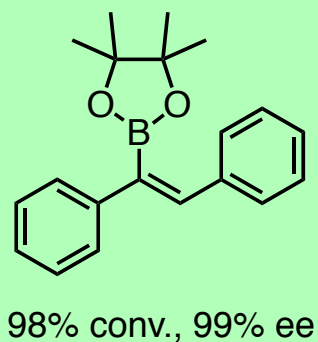
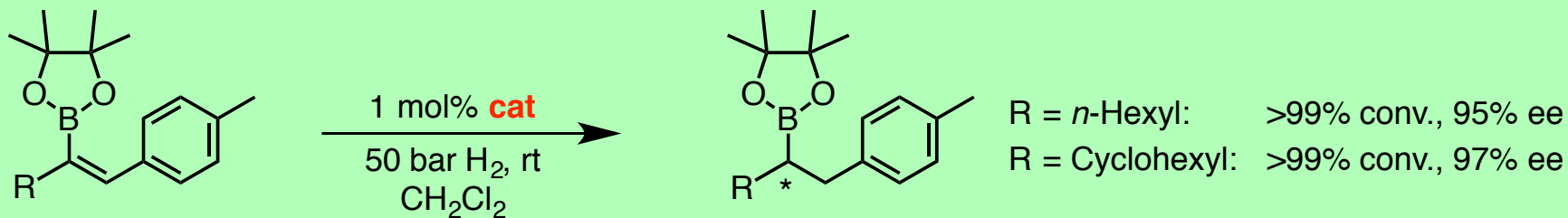
97% conv. 98% ee



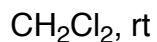
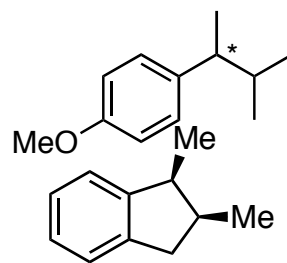
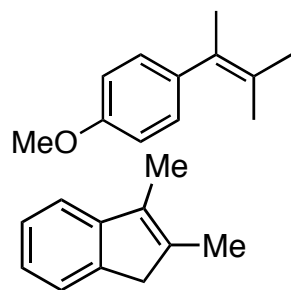
Alejandro Baeza

Hydrogenation of Alkenylboranes

Rh(P^A P): J. Morken, *JACS* **2004**, *126*, 15338, *Org. Lett.* **2006**, *8*, 2413. Ir(P^A N): P. Andersson, *Chem. Commun.* **2009**, 5996.



Tetrasubstituted Olefins



>99% cis

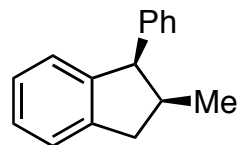
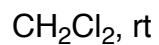
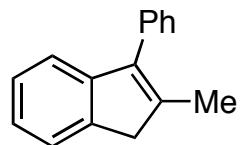
50 bar: 93% ee, >99% conv., 3 h

5 bar: 96% ee, >99% conv., 8 h

1 bar: 97% ee, >99% conv., 3 h

50 bar: 86% ee, >99% conv., 4 h

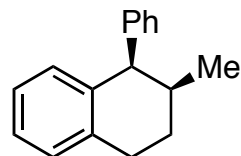
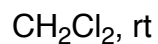
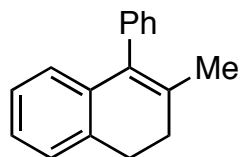
5 bar: 94% ee, >99% conv., 8 h



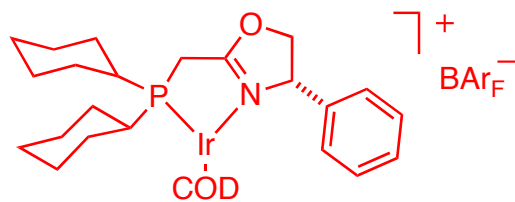
>99% cis

50 bar: 96% ee, 92% conv., 4 h

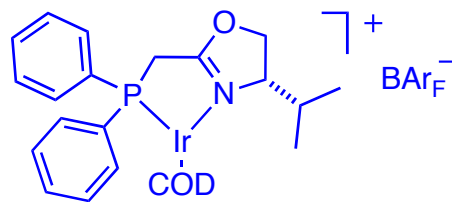
5 bar: 94% ee, 78% conv., 8 h



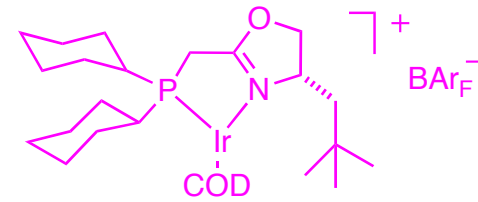
50 bar: 91% ee, 32% conv., 4 h



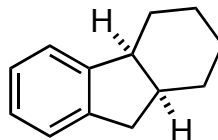
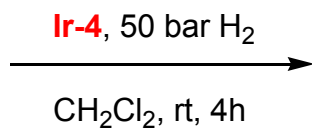
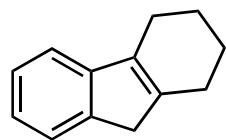
Ir-1



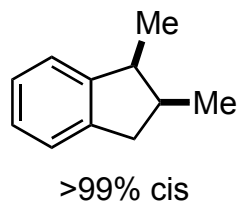
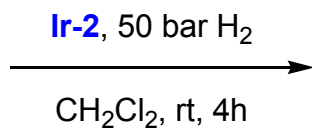
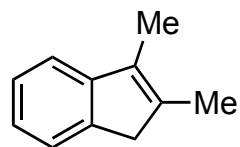
Ir-2



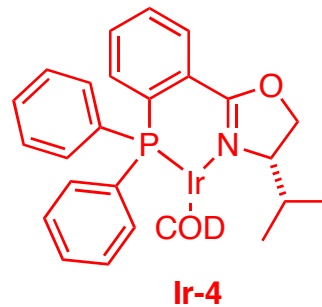
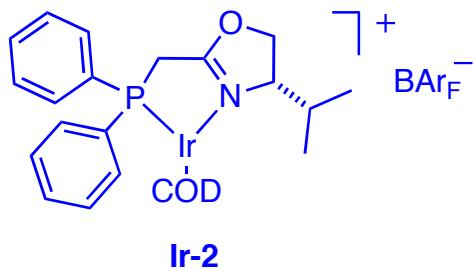
Ir-3



2.0 mol% cat.:	94% ee, >99% conv.
1.0 mol% cat.:	93% ee, >99% conv.
0.5 mol% cat.:	93% ee, >99% conv.
0.1 mol% cat.:	90% ee, >99% conv.



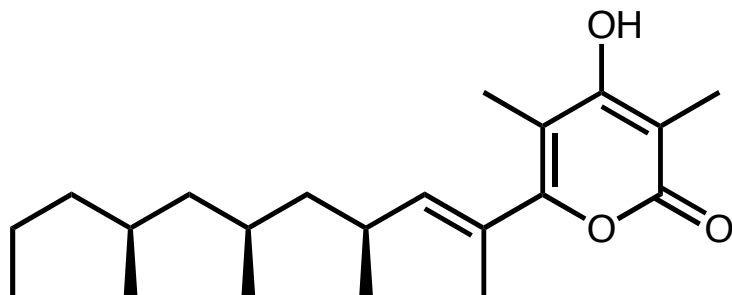
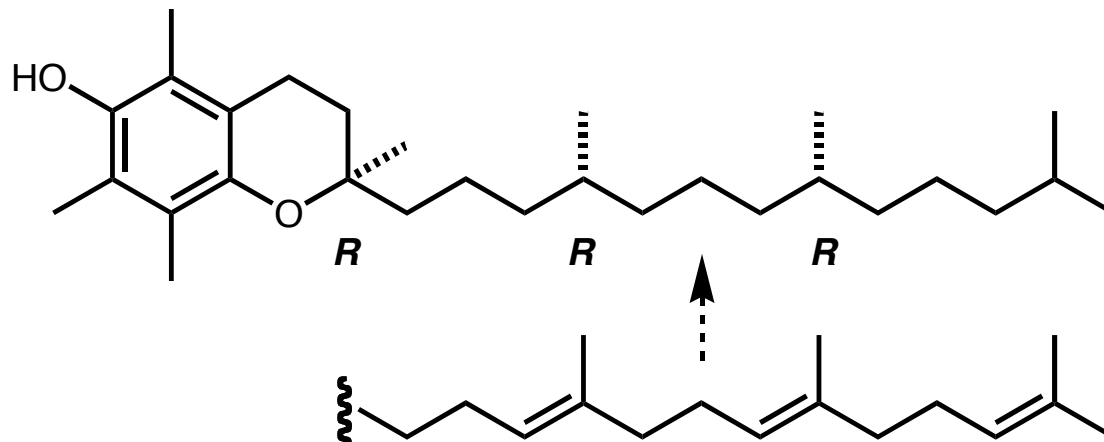
2.0 mol% cat.:	86% ee, >99% conv.
1.0 mol% cat.:	86% ee, >99% conv.
0.5 mol% cat.:	83% ee, >99% conv.
0.1 mol% cat.:	79% ee, 91% conv.



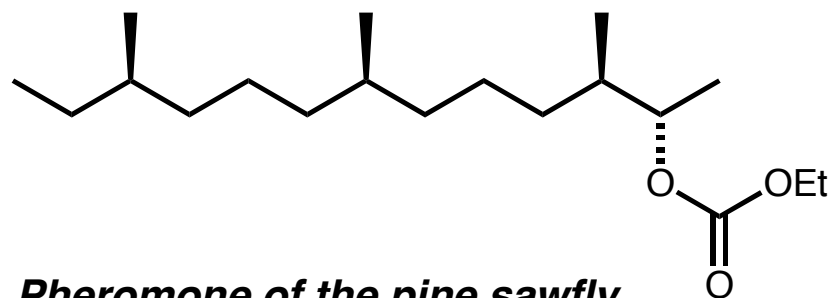
Marcus Schrems

Trialkyl-substituted C=C bonds, no heteroatoms, no aryl groups?

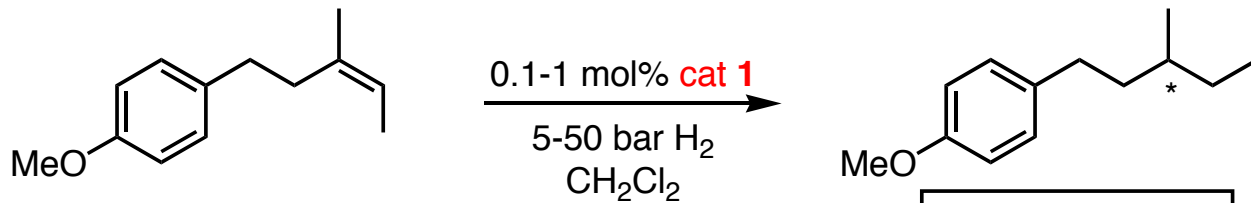
α -Tocopherol (vitamin E)



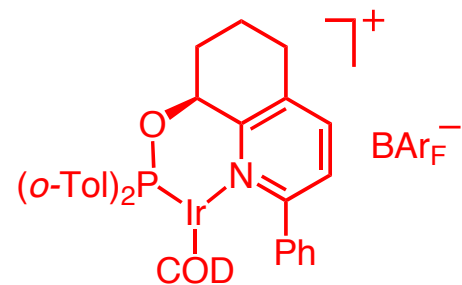
Pectinatone (anti-bacterial, anti-fungal, cytotoxic activity)



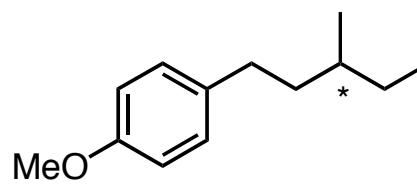
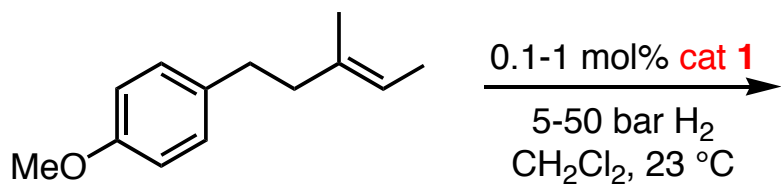
Pheromone of the pine sawfly



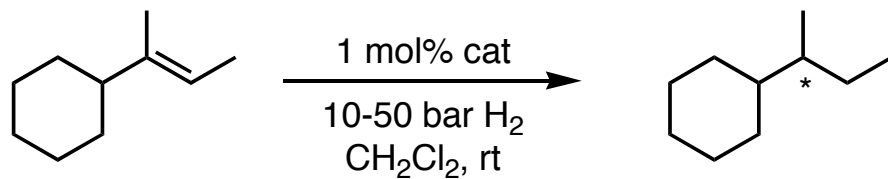
50 bar	98% ee
4 bar	98% ee
1 bar	94% ee



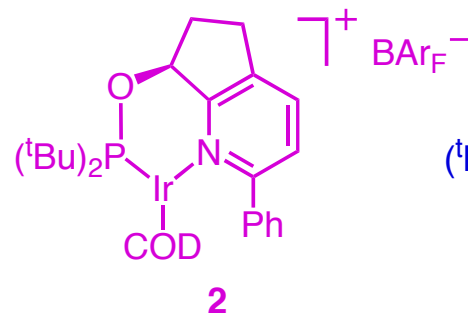
1



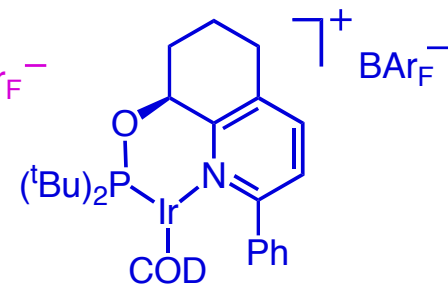
50 bar	95% ee
25 bar	95% ee
5 bar	94% ee



cat 2	50 bar	97% ee
	10 bar	97% ee
cat 3	50 bar	97% ee
	25 bar	93% ee
	10 bar	86% ee



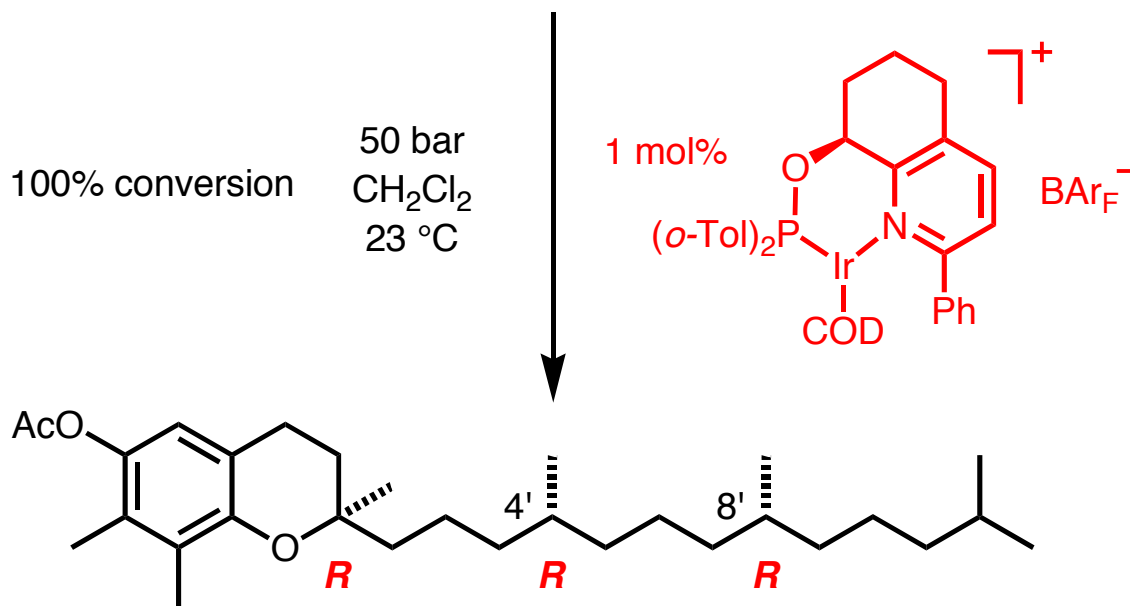
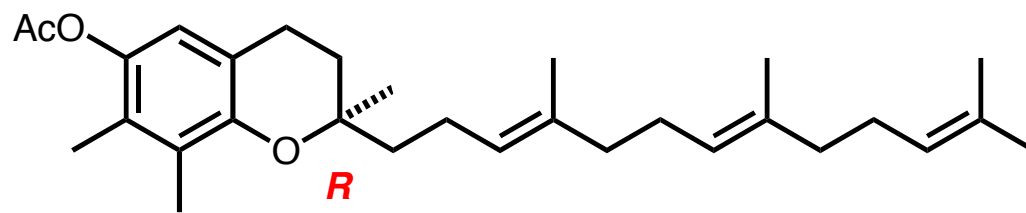
2



3

Sharon Bell
Aie Wang

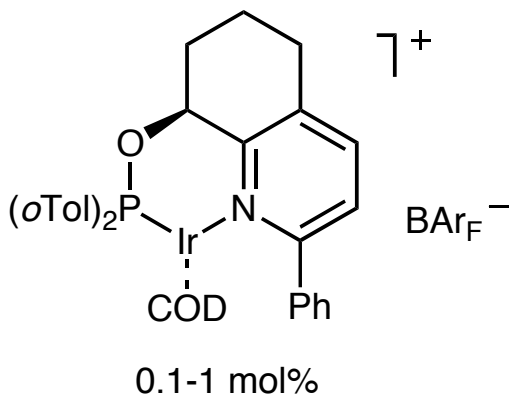
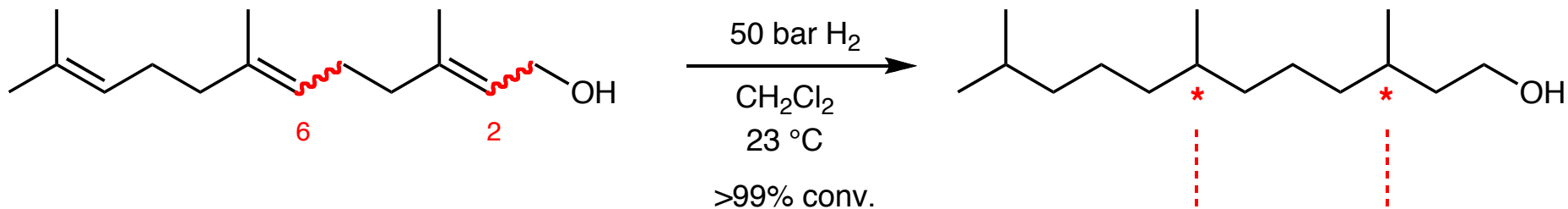
(*R,R,R*)-Tocopherol (Vitamin E)



99% *RRR*; < 0.3% *RRS*; < 0.3% *RSR*; < 0.4% *RSS*

GC analysis: Vecchi et al. *Helv. Chim. Acta* **1990**, *73*, 782.

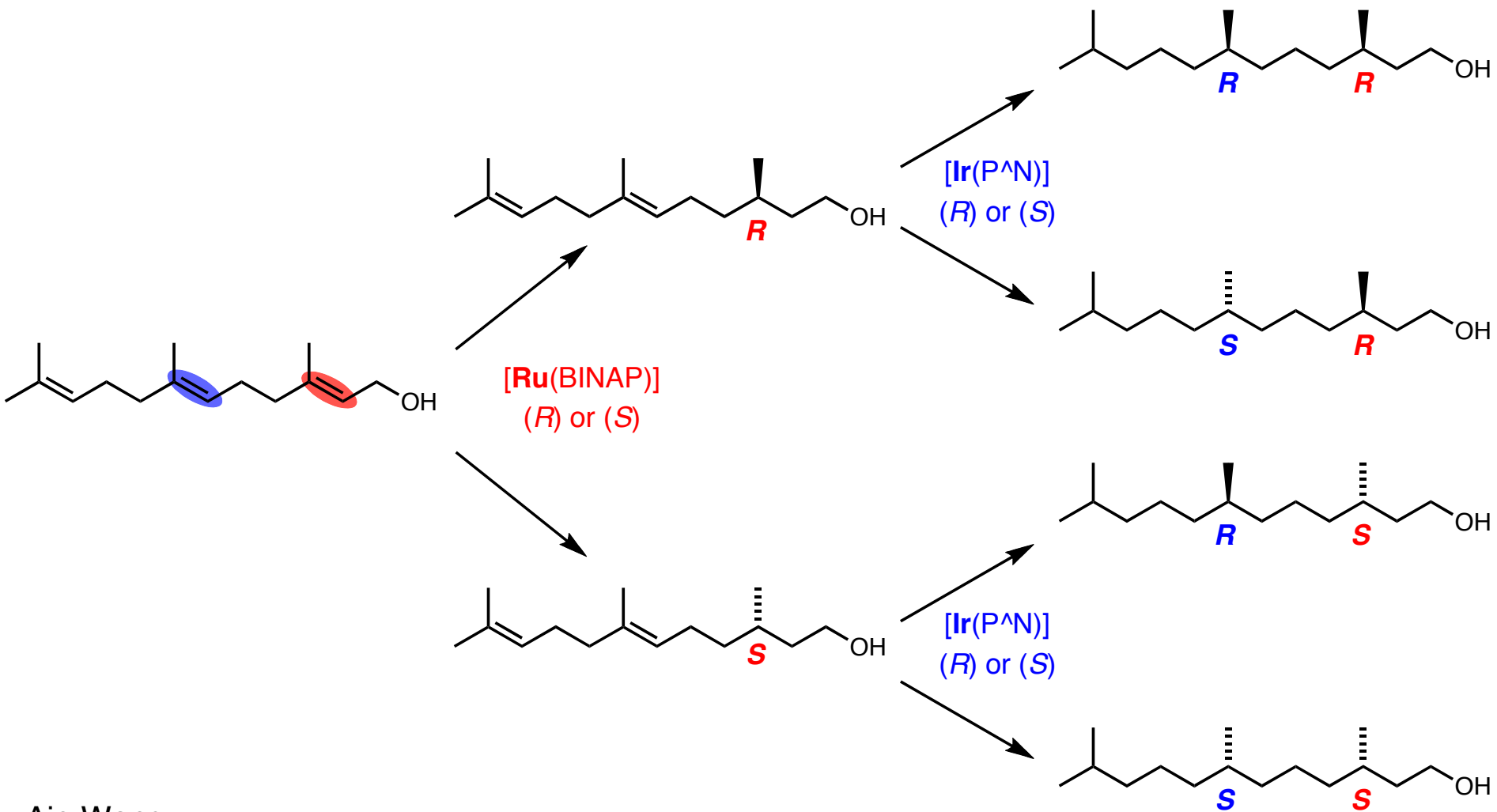
Enantio- and diastereoselective hydrogenation of farnesol



2E, 6E	→	91% 2R, 6R (>99% ee)	99:1	94:6
2Z, 6E	→	95% 2S, 6R (>99% ee)	98:2	97:3
2E, 6Z	→	93% 2R, 6S (>99% ee)	98:2	95:5
2Z, 6Z	→	96% 2S, 6S (>99% ee)	98:2	98:2

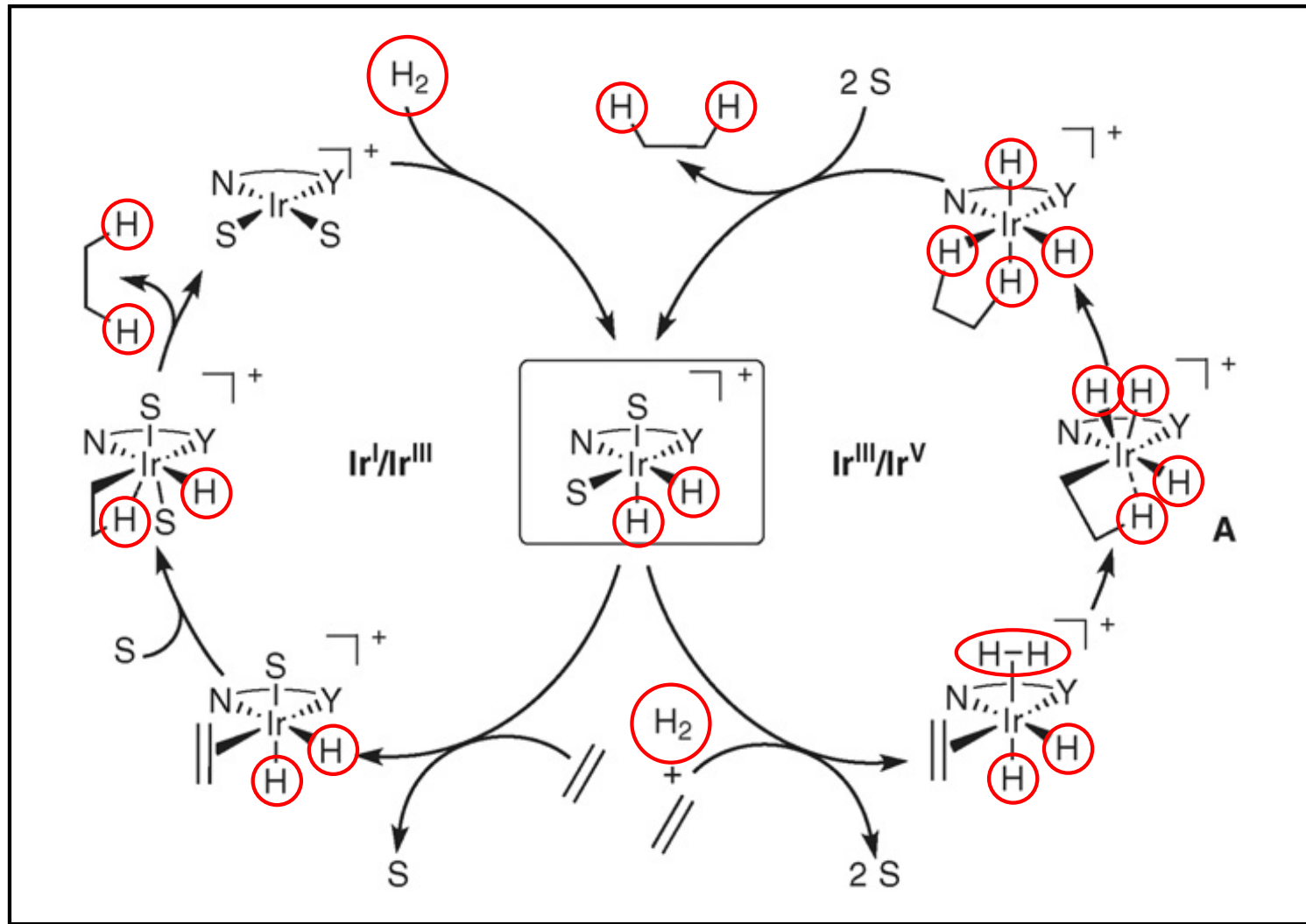
Stereoselective synthesis of farnesol isomers: J. S. Ju, T. S. Kleckley,
D. F. Wiemer,
Org. Lett. **2005**, 7, 4803.

Sequential hydrogenation using Ru and Ir catalysts



Mechanistic Studies

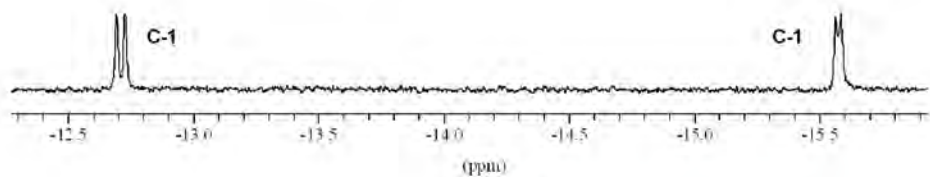
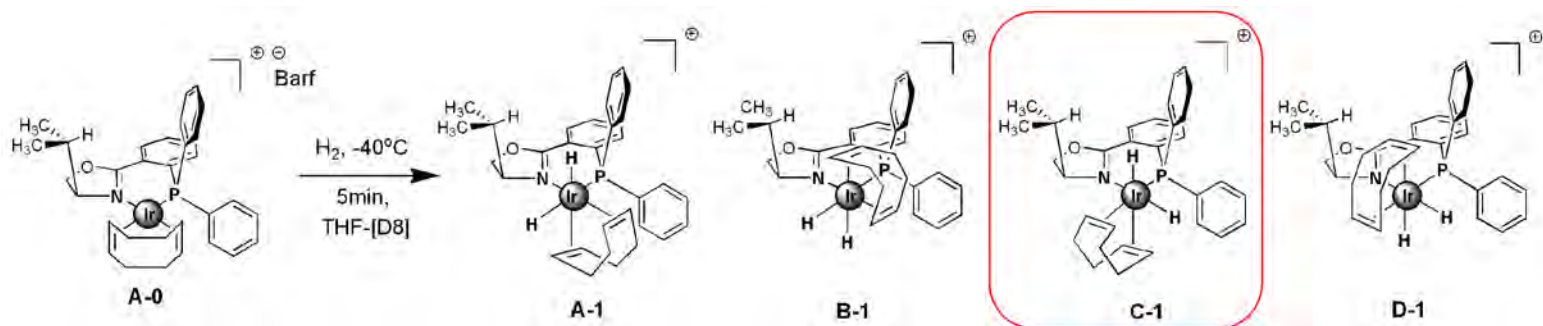
Proposed catalytic cycles



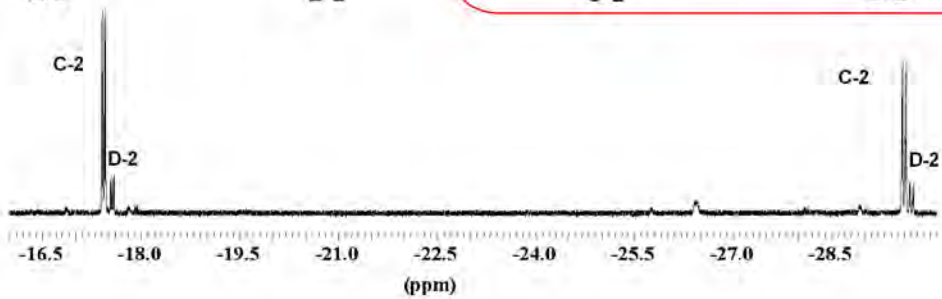
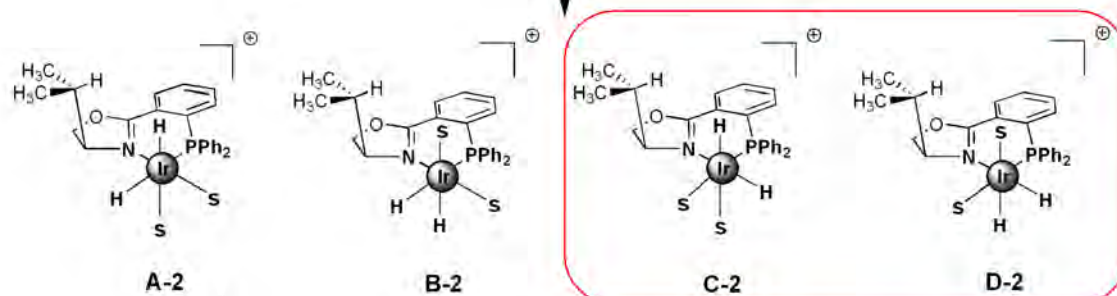
P. Brandt, P. G. Andersson *et al.*, *Chem. Eur. J.* **2003**, *9*, 339.

K. Burgess, M. B. Hall *et al.*, *JACS* **2004**, *126*, 16688.

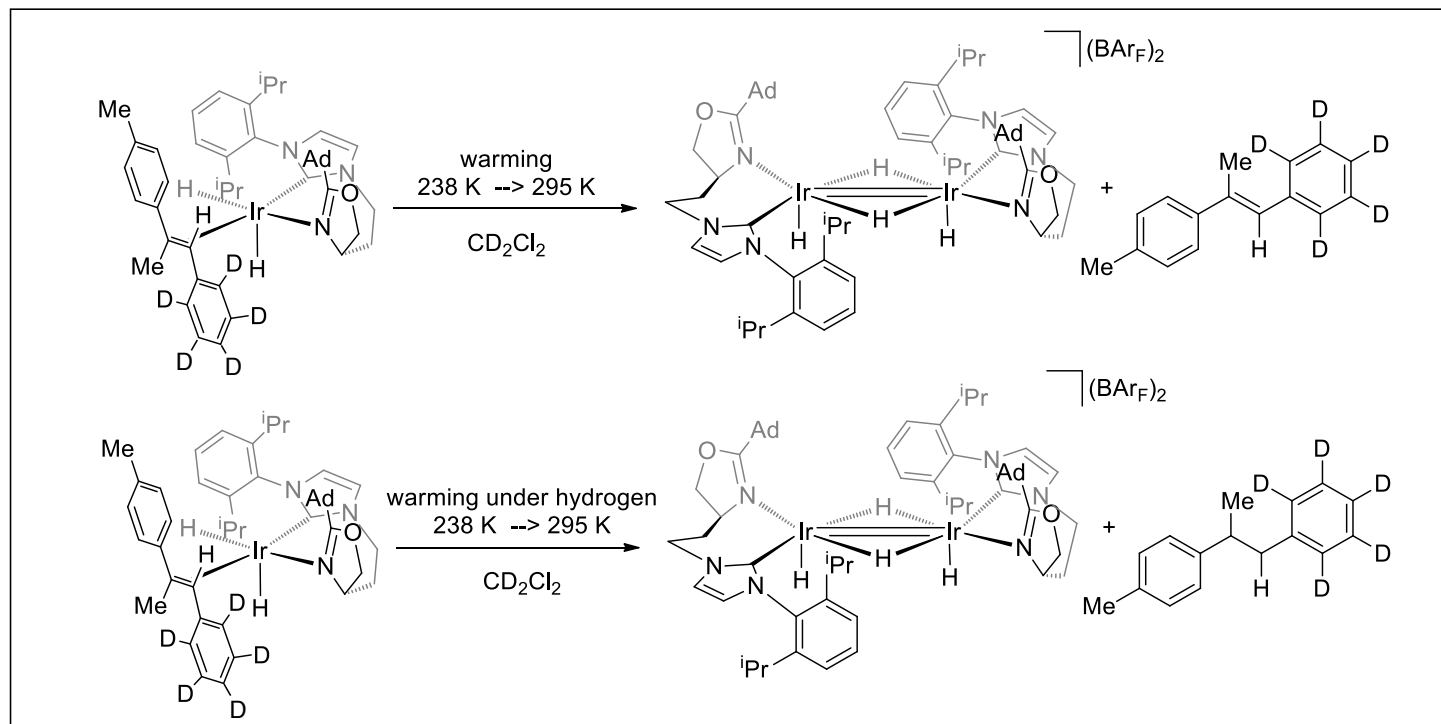
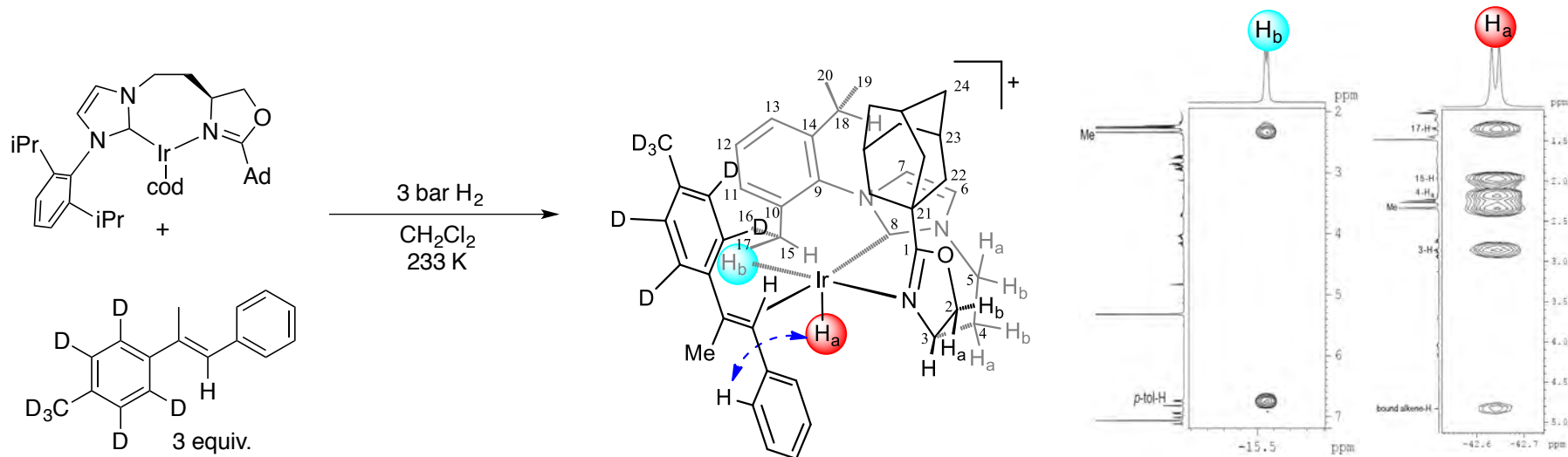
Activation of the precatalyst



-40°C to 0°C

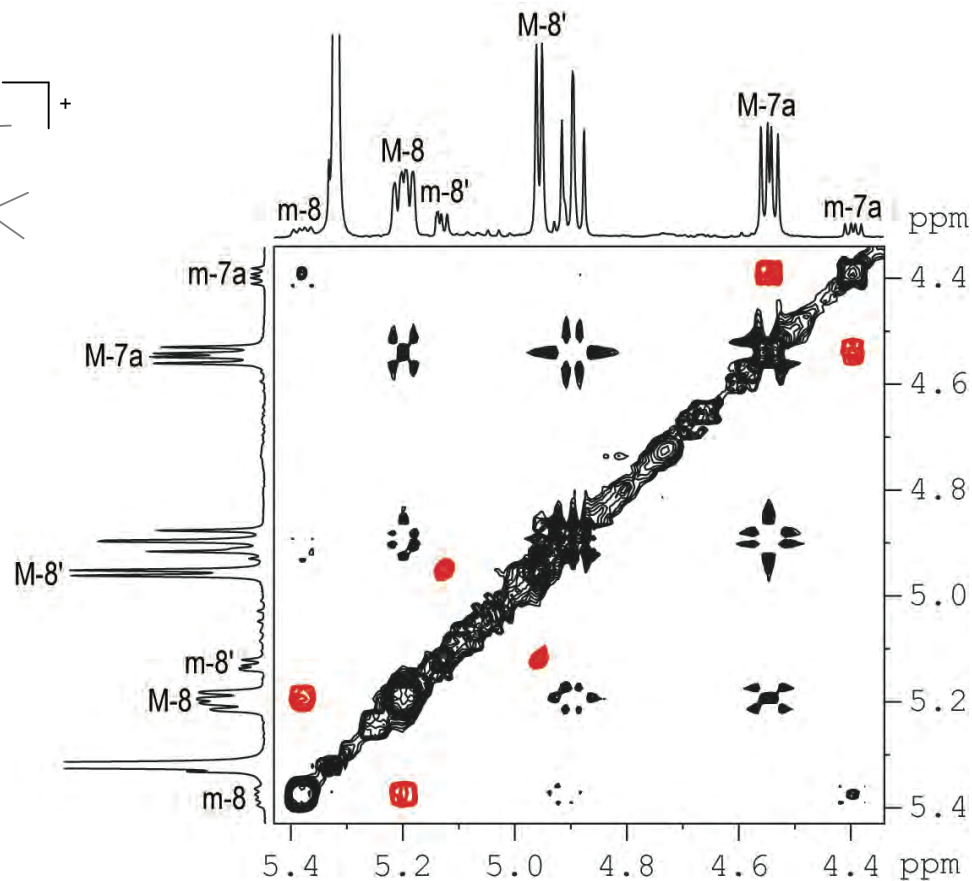
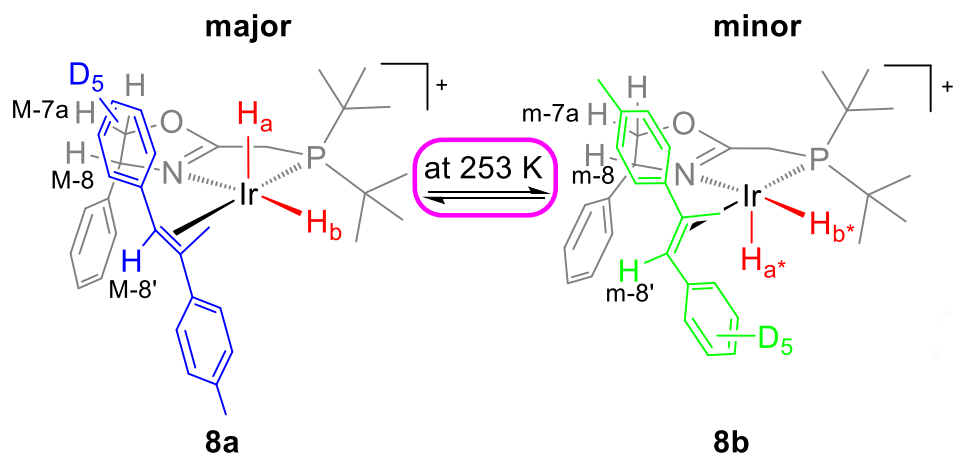


S. P. Smidt
C. Mazet



Stefan Gruber

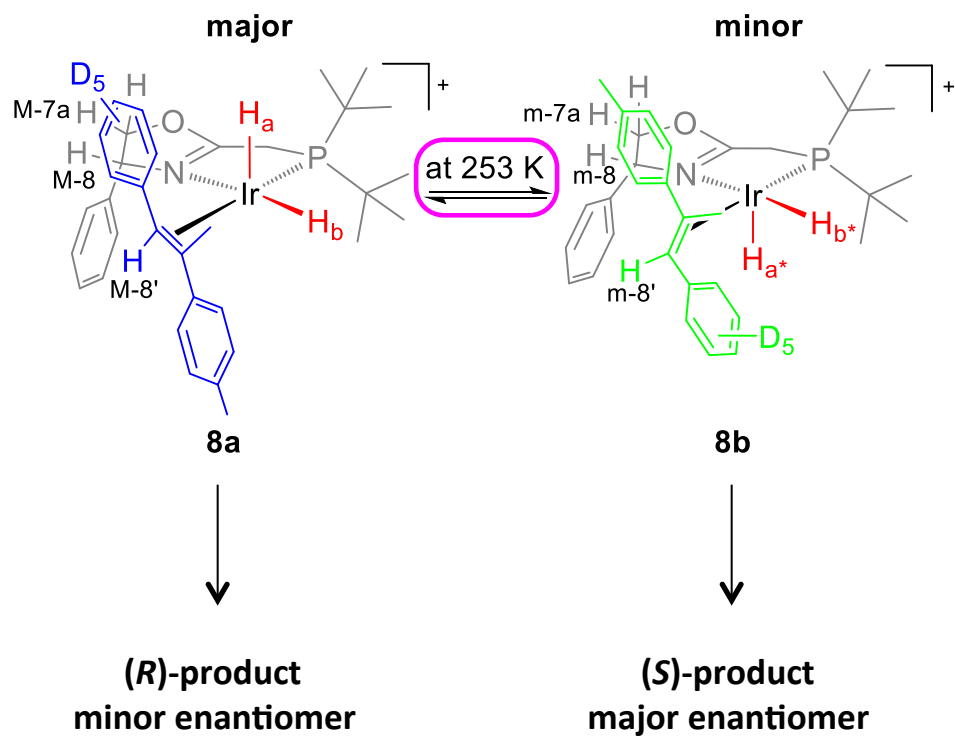
Rapid enantioface exchange of Ir dihydride alkene complexes



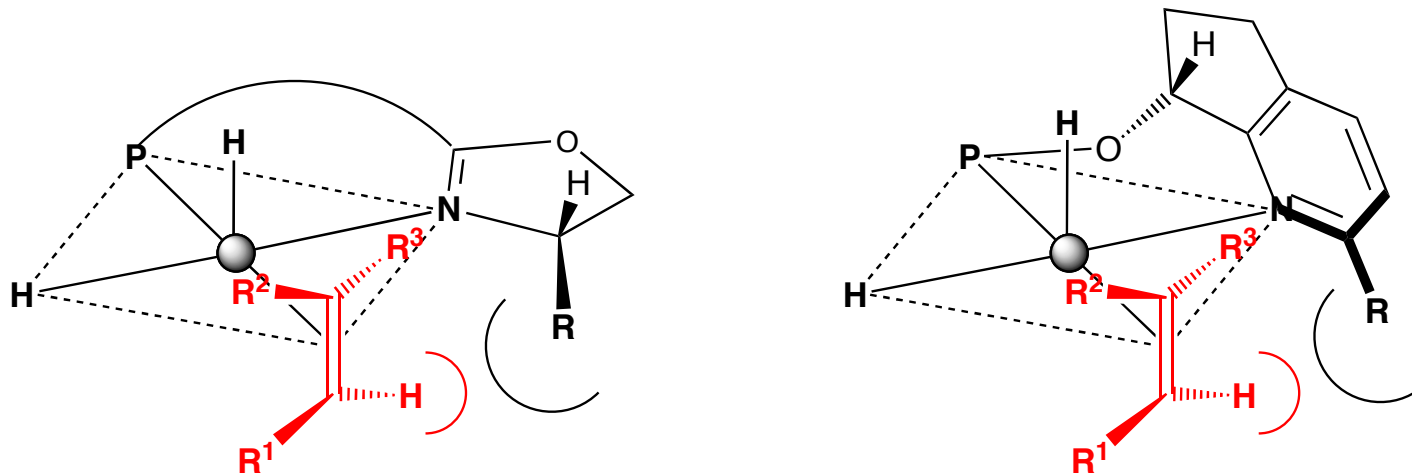
Section of the 2D NOESY spectrum showing the exchange cross-peaks (253 K, 500 MHz, CD₂Cl₂).

Stefan Gruber

Rapid enantioface exchange of Ir dihydride alkene complexes



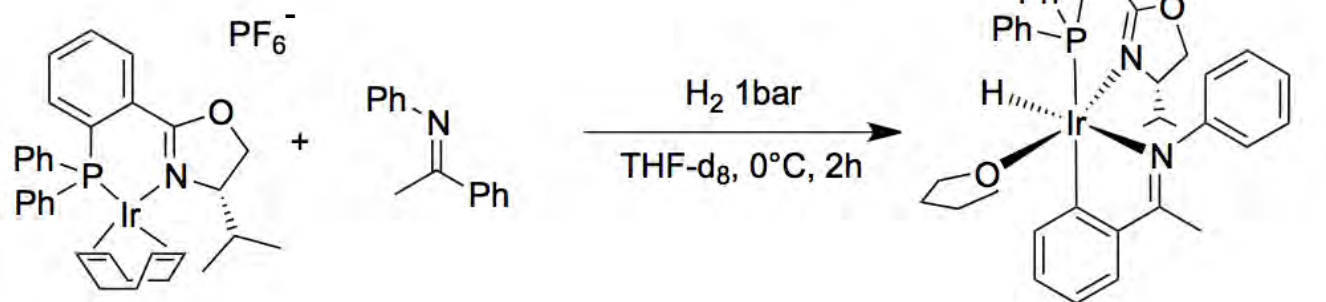
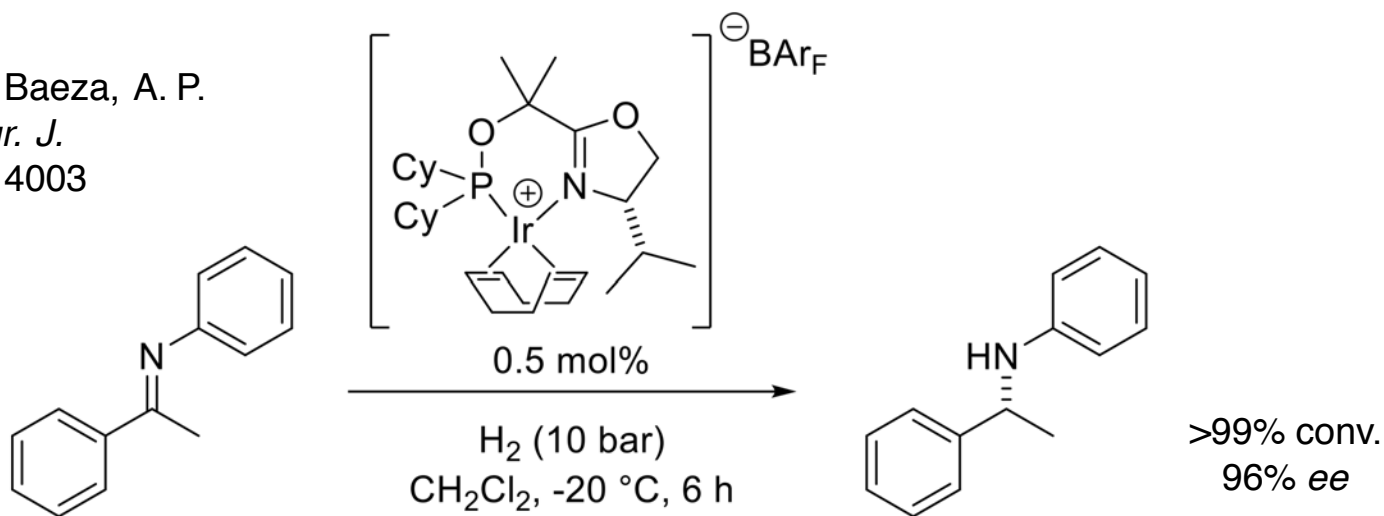
Mechanistic Model



Computational studies: Pher Andersson (Uppsala University)
Kevin Burgess (Texas A&M)
Kathrin Hopmann (University of Tromsø)
Markus Meuwly (University of Basel))

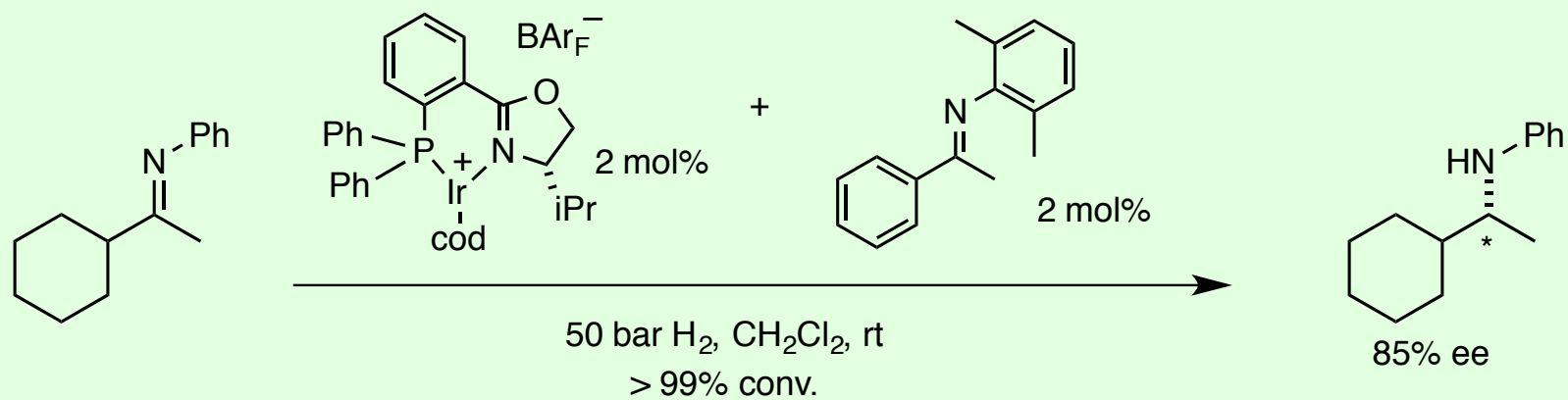
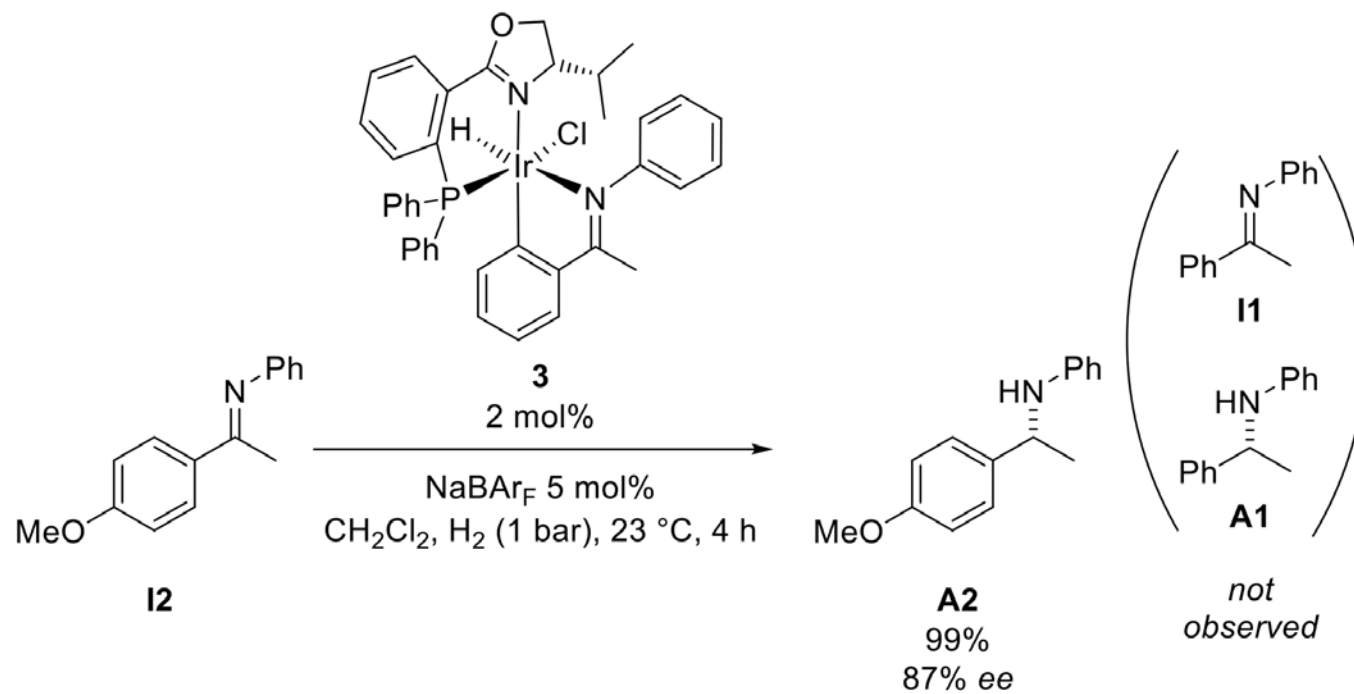
Imine hydrogenation: unexpected mechanistic results

Alejandro Baeza, A. P.
Chem. Eur. J.
2010, *16*, 4003

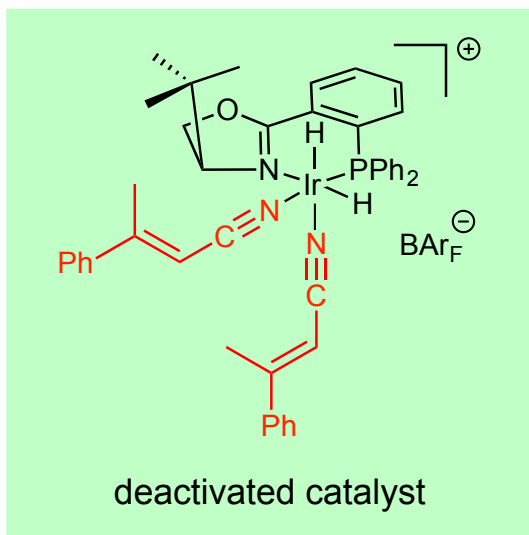
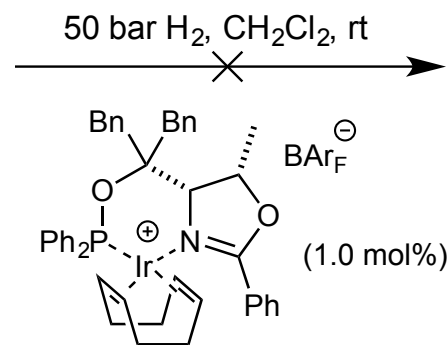
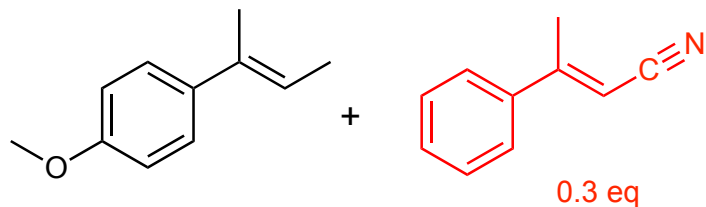


Y. Schramm, F. Barrios-Landeros, A. P. *Chem. Sci.* **2013**, *4*, 2760





α,β -Unsaturated Nitriles

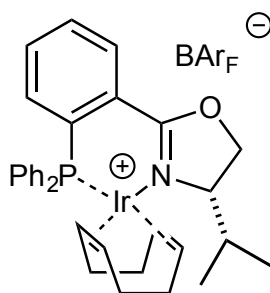
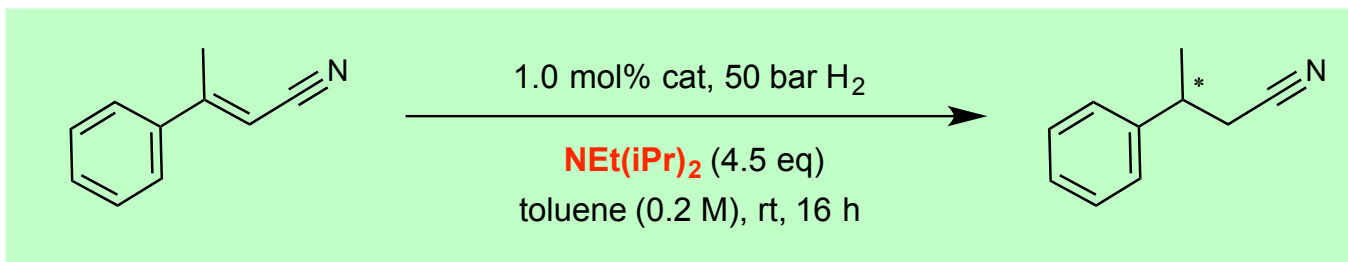


Marc-André Müller

Hydrogenation of electrophilic $C=C$ bonds with base-activated Ir-PHOX catalysts:
V. Semeniuchenko, V. Khilya, U. Groth, *Synlett* **2009**, 271

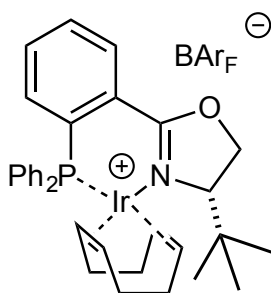
Addition of $NEt(iPr)_2 \rightleftharpoons ?$

α,β -Unsaturated Nitriles



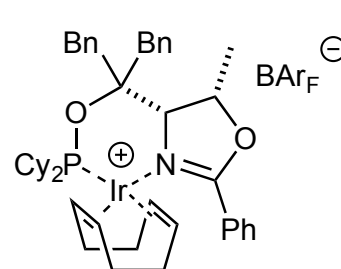
1

0.3% conv.



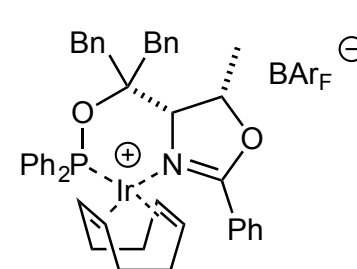
2

10% conv.; 34% ee



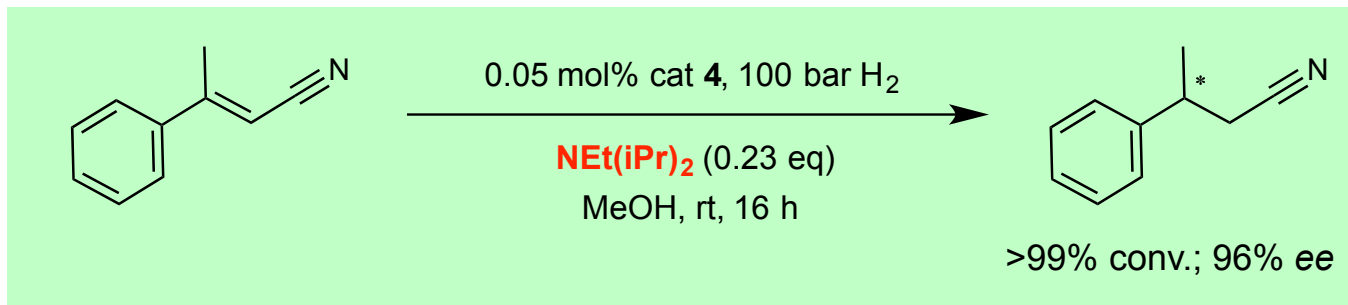
3

8% conv.; 47% ee

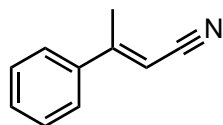
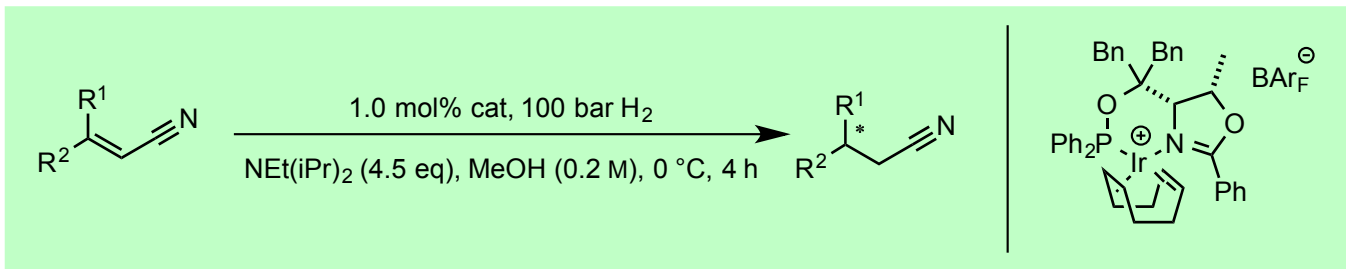


4

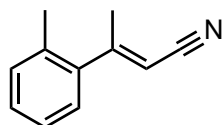
98% conv.; 94% ee



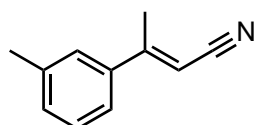
α,β -Unsaturated Nitriles



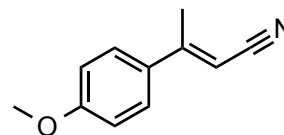
conv. = >99%
ee = 98%



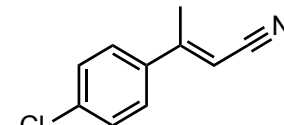
conv. = 71%
ee = 82%



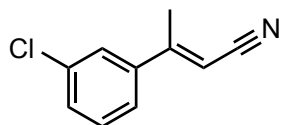
conv. = >99%
ee = 97%



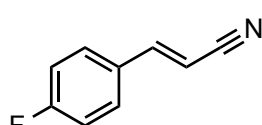
conv. = 97%
ee = 96%



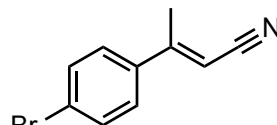
conv. = >99%
ee = 97%



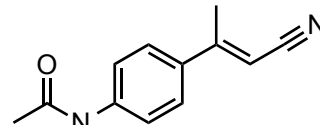
conv. = >99%
ee = 98%



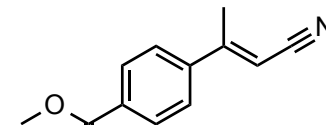
conv. = 99%
ee = 96%



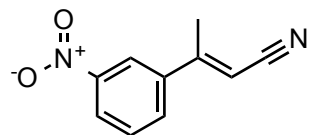
conv. = >99%
ee = 97%



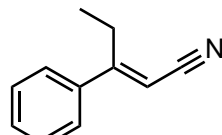
conv. = >99%
ee = 98%



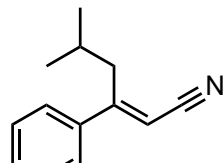
conv. = 98%
ee = 98%



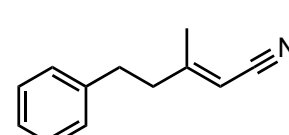
conv. = >99%
ee = 93%



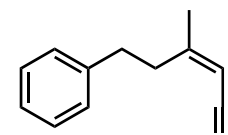
conv. = >99%
ee = 99%



conv. = 97%
ee = 97%

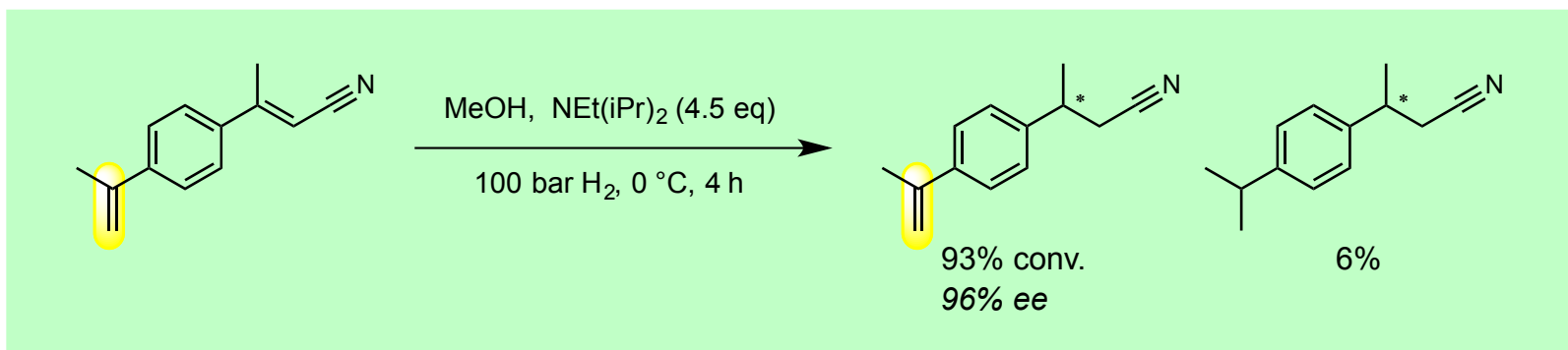
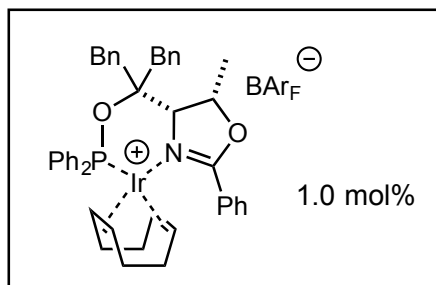
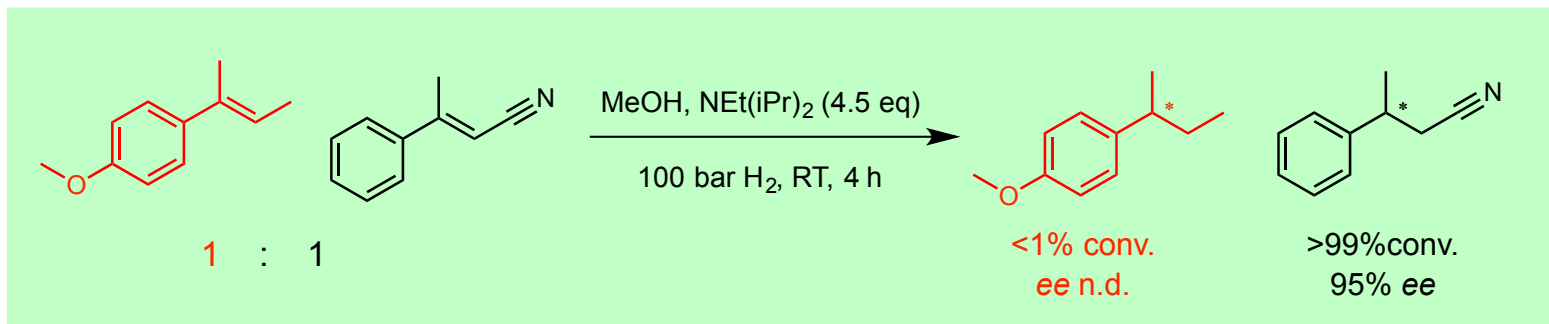


conv. = >99%
ee = 64%



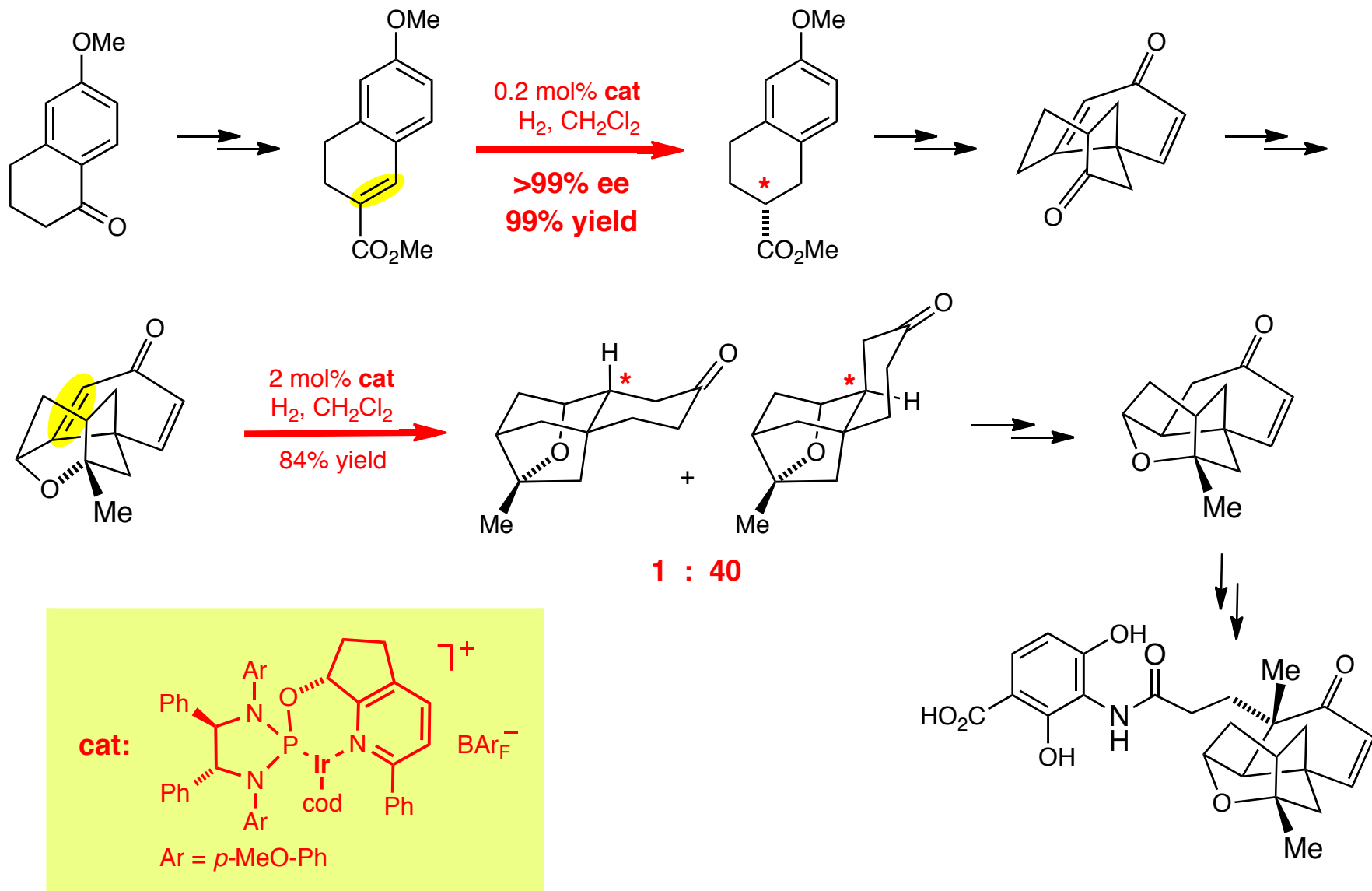
conv. = >99%
ee = 82%

Selective Hydrogenation of Cyano-Substituted C=C Bonds

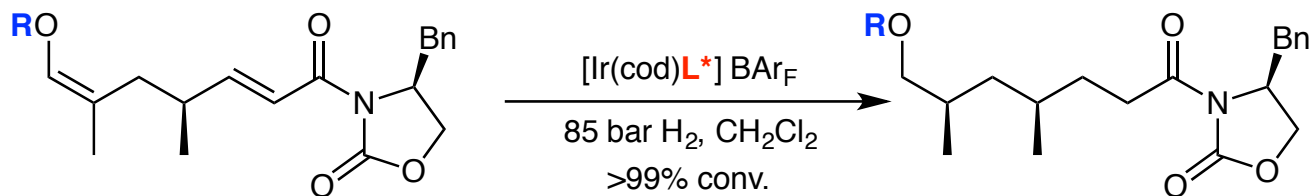
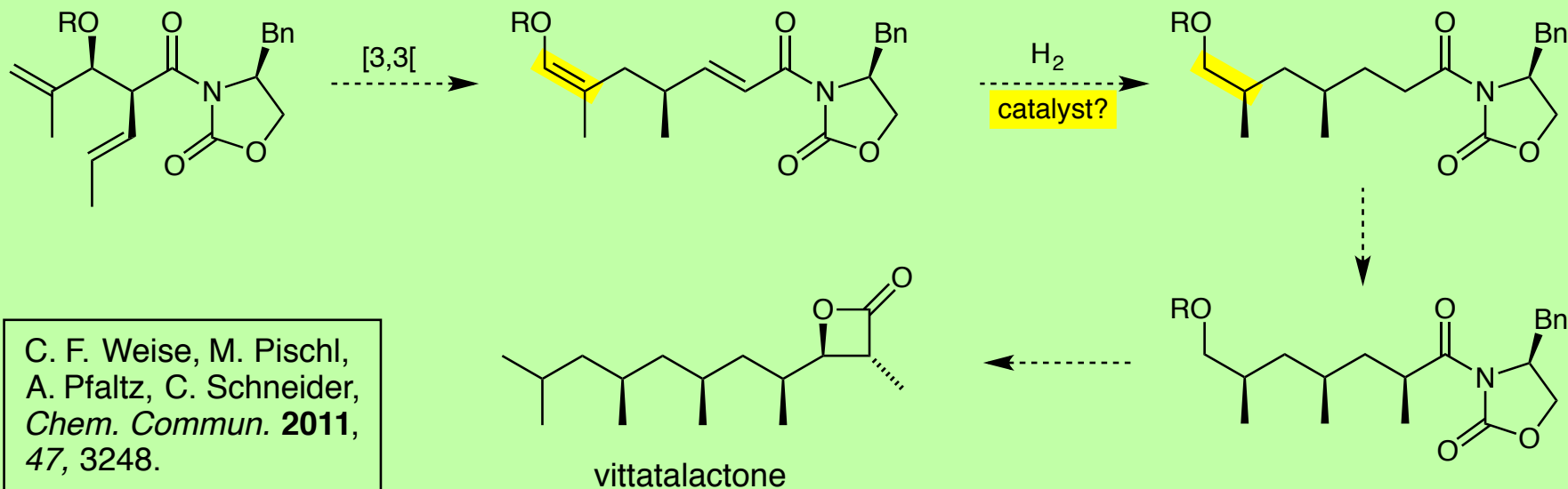


Applications in the Synthesis of Natural Products

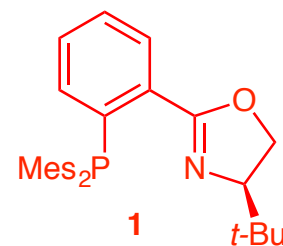
Synthesis of Platensimycin



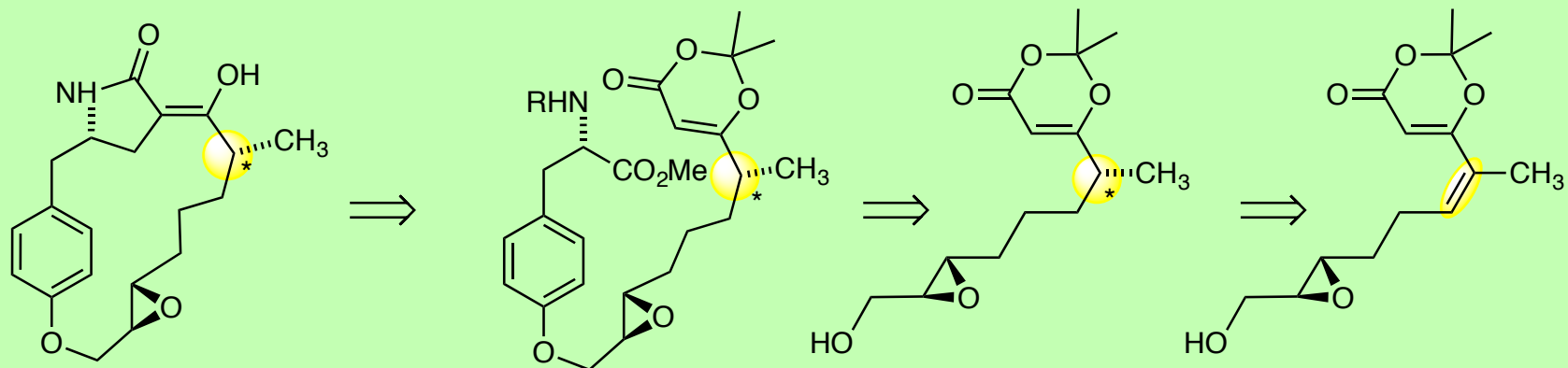
Synthesis of the Cucumber Beetle Pheromone Vittatalactone



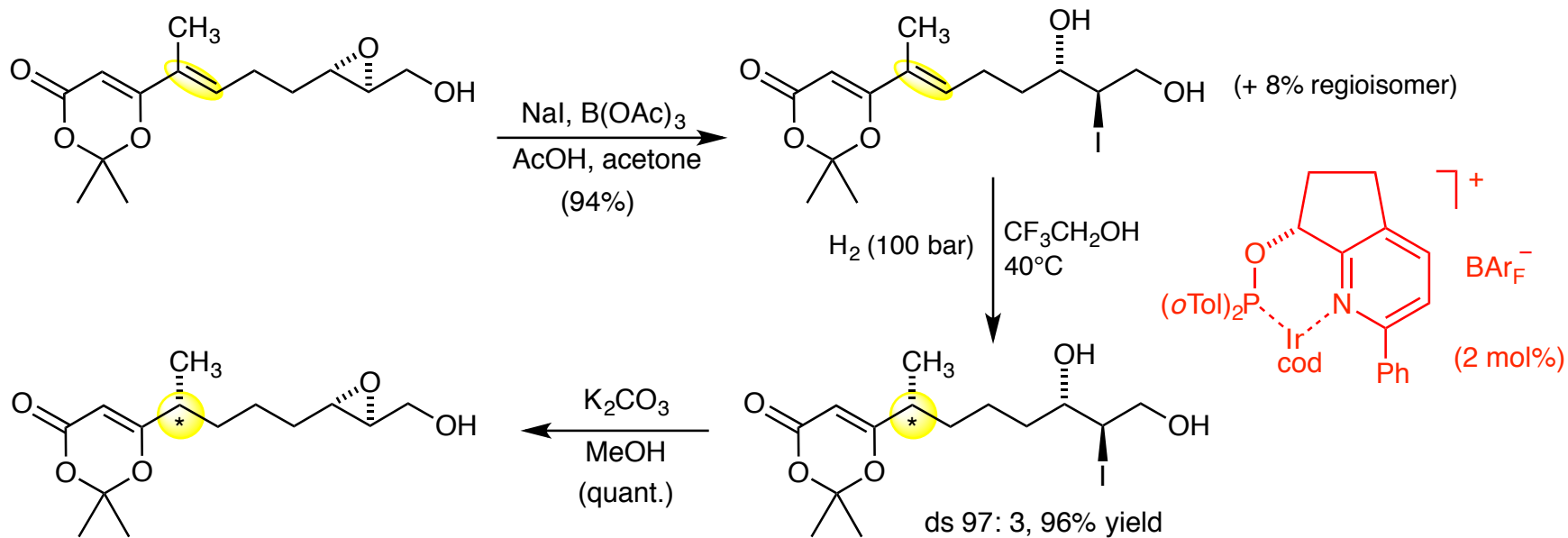
R	L*	syn/anti
COPh	1	97 : 3
COPh	<i>ent-1</i>	4 : 96
CONH <i>t</i> -Bu	1	97 : 3
CONH <i>t</i> -Bu	<i>ent-1</i>	2 : 98



Total Synthesis of Macrocidin A



T. Yoshinari, K. Ohmori, M. G. Schrems, A. Pfaltz, K. Suzuki, *Angew. Chem. Int. Ed.* **2010**, *49*, 881



Tomohiro Yoshinari, Marcus Schrems

Patrick Schnider

Roger Prétôt

Guido Koch

Dr. Olivier Legrand

Dr. Andrew Lightfoot

Jörg Blankenstein

Frederik Menges

Steven McIntyre

Robert Hilgraf

Marc Schönleber

Bettina Wüstenberg

Prof. Masahiko Hayashi

Dr. Martine Keenan

Nicole Zimmermann

Dr. William F. Drury III

Stefan Kaiser

Sebastian Smidt

Dr. Clément Mazet

Dr. Stephen Roseblade

Eva Neumann

Dr. Sharon Bell

Dr. Aie Wang

Dr. Rui Fraga

Marcus Schrems

David Woodmansee

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(Scripps Research Institute)

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Dr. Hans-Ulrich Blaser, Dr. Martin Studer

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(Solvias AG, Basel)

Dr. Thomas Netscher, Dr. Werner Bonrath

(DSM Nutritional Products)

Swiss National Science Foundation

Federal Commission for Technology & Innovation

Solvias AG, Basel - DSM Nutritional Products