

# *Understanding and Controlling Gold Catalysis the Hard Way*



KAUST

Luigi Cavallo

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King Abdullah University of Science and Technology



[www.kaust.edu.sa](http://www.kaust.edu.sa)

# What is KAUST?



- University town & campus on the Red Sea banks
- Today about 8,000 people of 106 nationalities!
- Excellent experimental and computational facilities
- Ambition to become a reference center for catalysis

# Today presentation

- **First half (around 45-50 mins)**
  - My personal view of gold catalysis
  - You ask me questions (5 mins)
- **Second half (until 10.30)**
  - Being a computational chemist for a day
  - I ask you questions (30 mins)

# My personal view of gold catalysis

- Introduction
- The Call for Help
- The Golden Carousel
- After the Puzzle
- Conclusions

## Why computational catalytic chemistry ?

- Catalysis
- Computational chemistry

# Catalysis contributes to ~30% of global gross national product

One of the main goals of chemists is “catalyst design”

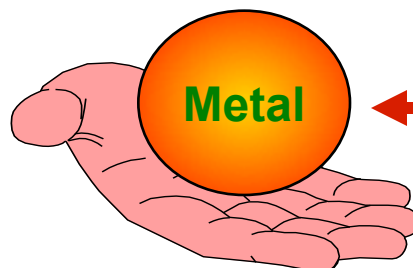
**Reagents**

**Catalysis**

**Products**

**Catalysis in:**

- Oil refining
- Energy & H<sub>2</sub> production
- Agrochemicals
- Pharma industry
- Life sciences

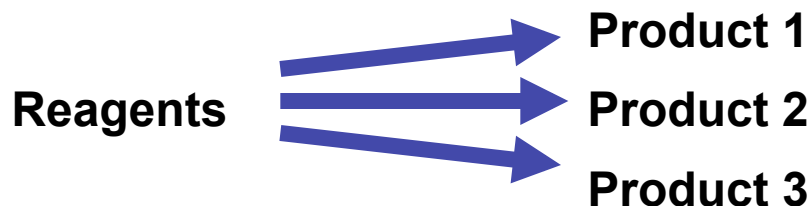


**The Catalyst**

**Active metal**

**Homogeneous,  
heterogeneous or  
biological “ligand”**

**Bad Catalyst**



**Good Catalyst**



**Keywords of an excellent catalyst**

- Selectivity
- Efficiency
- Stability
- Activity
- Environmentally friendly
- Energetically moderate

Catalysis contributes to ~30% of global gross world product

One of the main goals of chemists is “catalyst design”

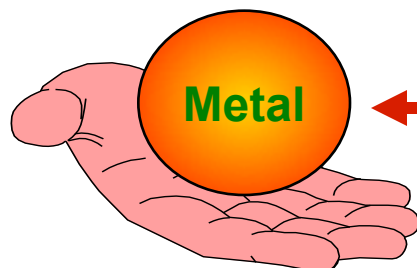
Reagents

Catalysis

Products

Catalysis in:

- Oil refining
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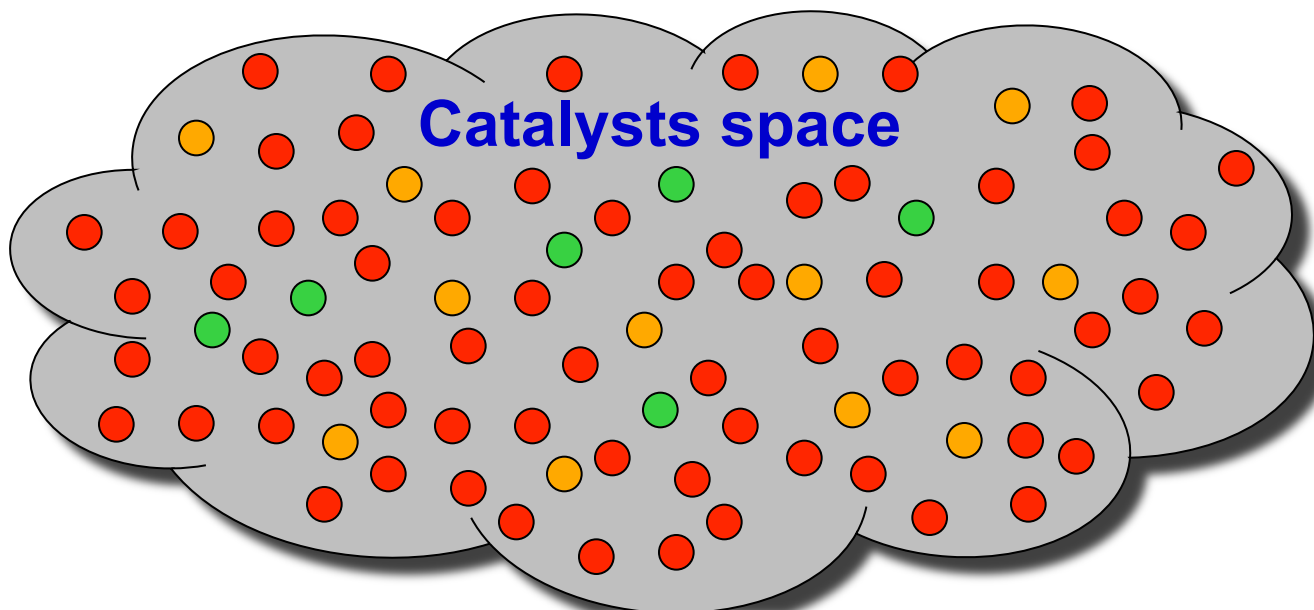


Active metal

The Catalyst

Homogeneous,  
heterogeneous or  
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- Bad catalyst
- So so catalyst
- Good catalyst



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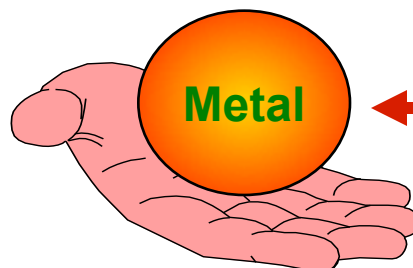
Reagents

Catalysis

Products

Catalysis in:

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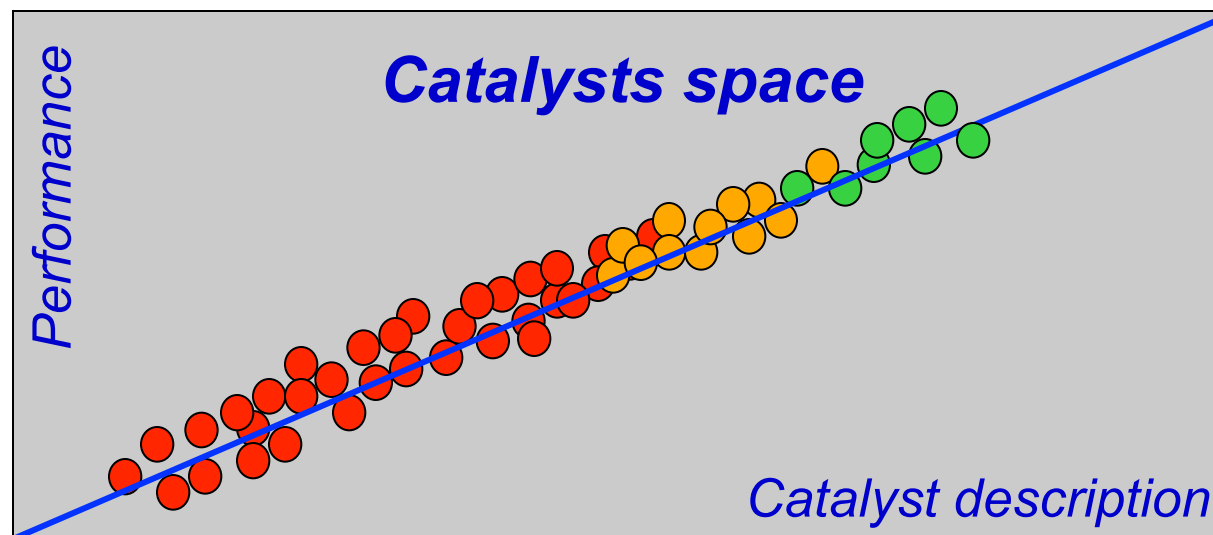


The Catalyst

Active metal

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Catalysis contributes to ~30% of global gross world product

One of the main goals of chemists is “catalyst design”

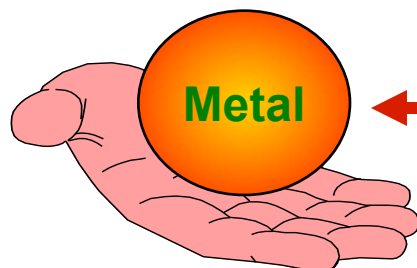
Reagents

Catalysis

Products

Catalysis in:

- Oil refining
- Energy & H<sub>2</sub> production
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- Life sciences



The Catalyst

Active metal

Homogeneous,  
heterogeneous or  
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Excellent catalysts are like golden nuggets.

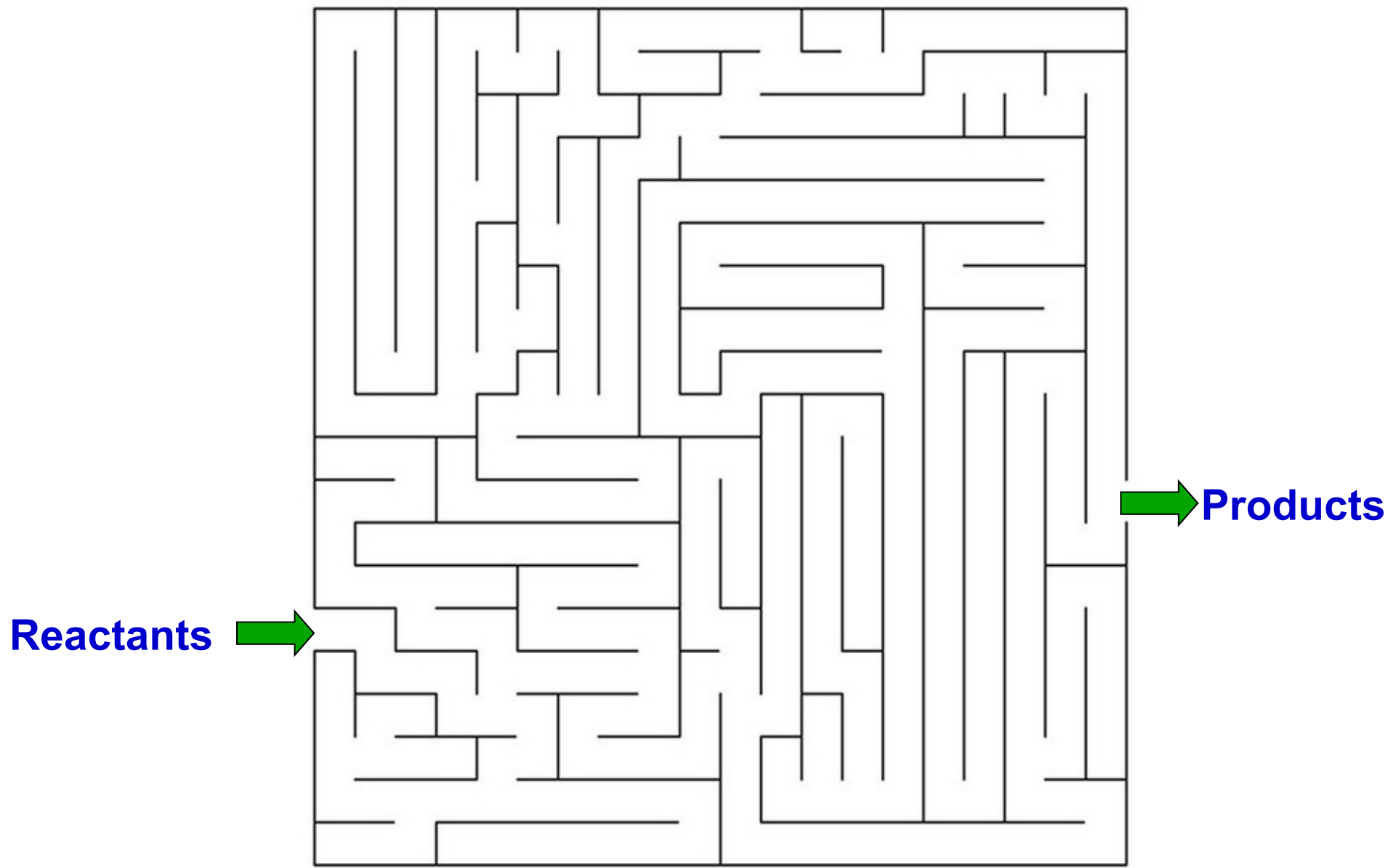
Very few of them, hidden among a huge amount of bad catalysts.

Chemists are like gold miners.

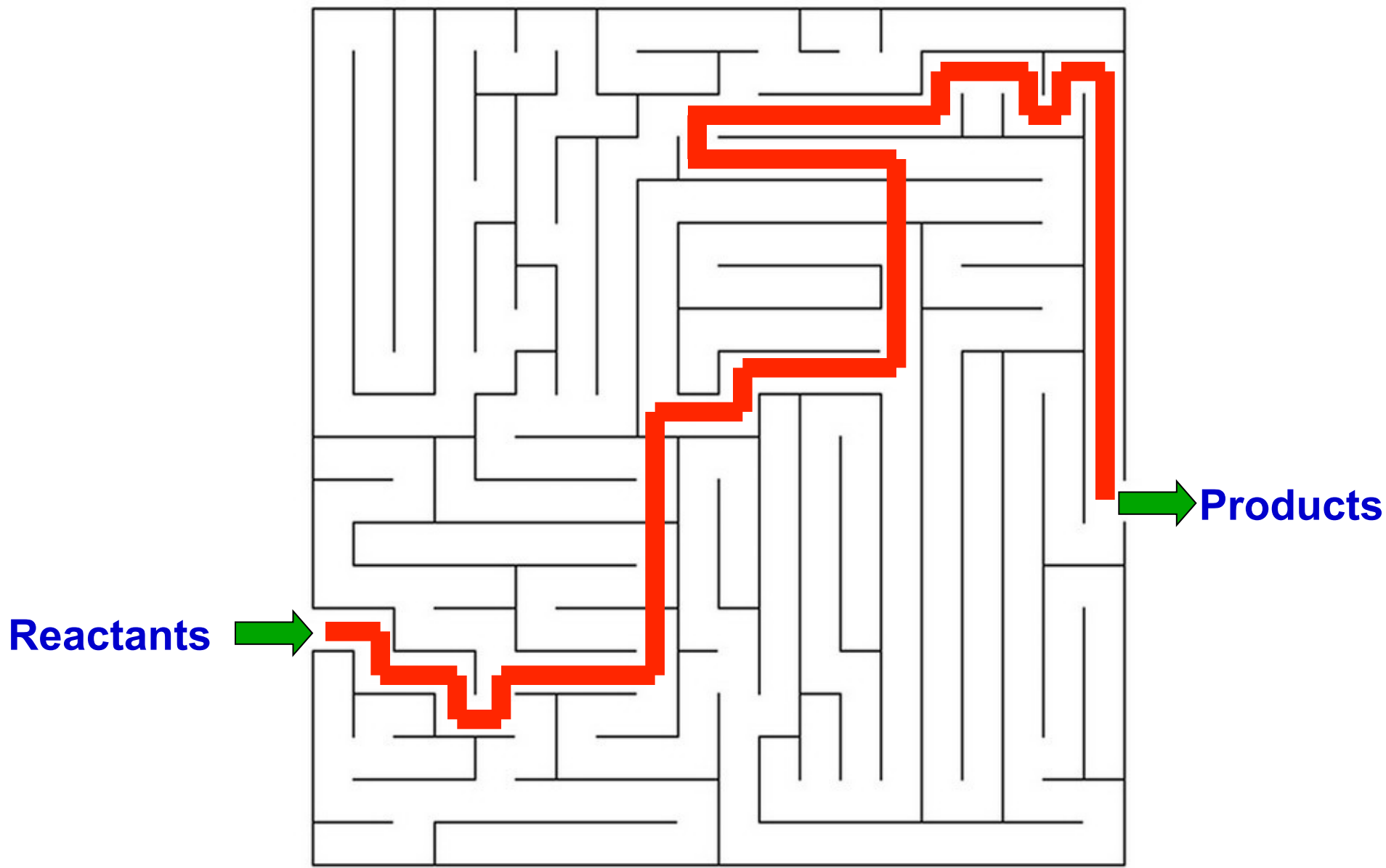
A tedious search among mud and sand to find the nugget.

A few excellent chemists have a talent to search in the right place.

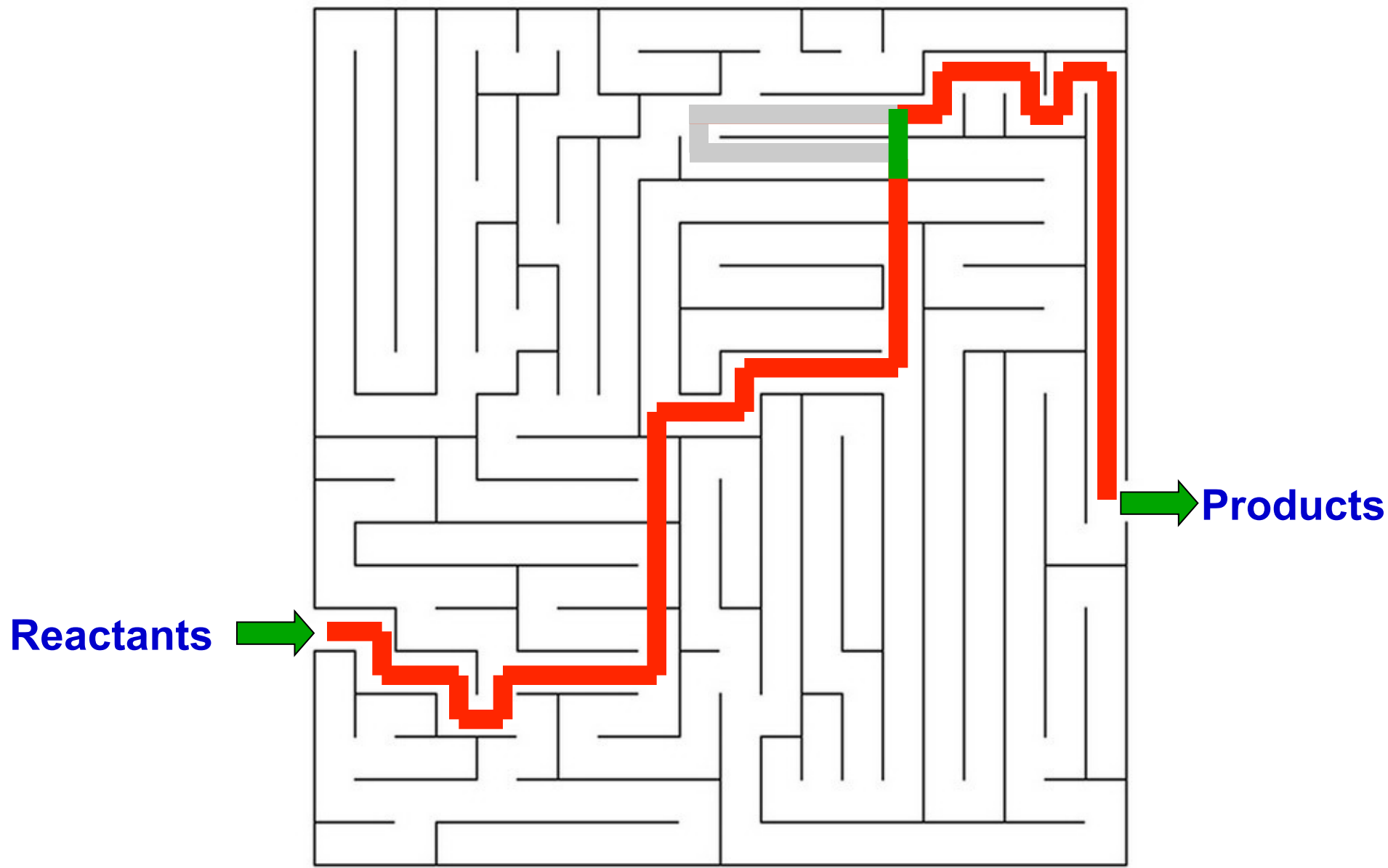
# Experimentally difficult to know the reaction pathway



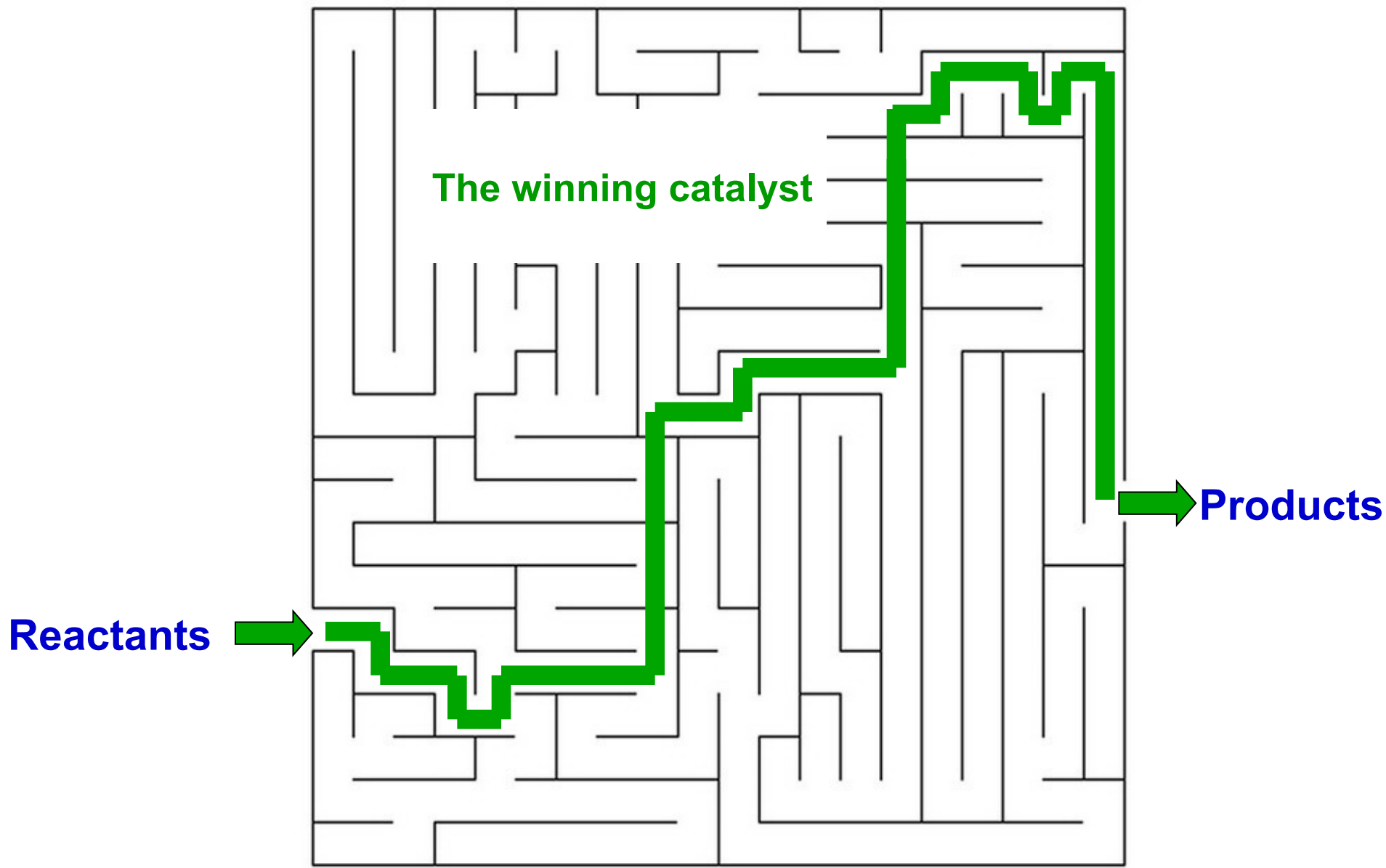
# Computational chemistry can help to solve the maze



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# Computational chemistry can help to solve the maze



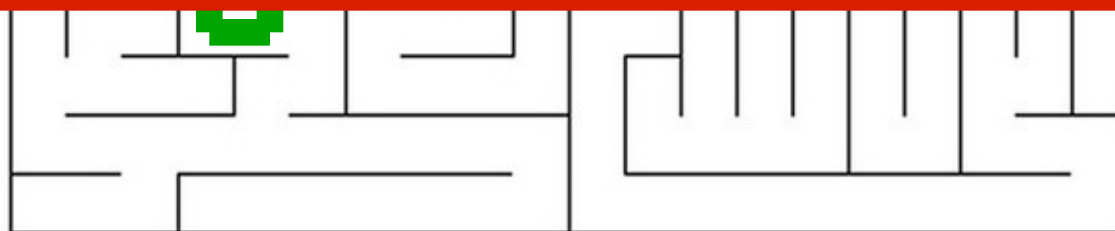
Understanding reaction pathways with computers

Towards a knowledge oriented approach

or

*Catalysis by design*

F



Now let's move to Gold in catalysis

# The Explosion of Gold Catalysis

Tunable Gold Catalysts for Selective Hydrocarbon Oxidation under Mild Conditions

Hutchings, G. J. et al., *A. S. Nature* **2005**, 437, 1132

Gold Rush

Haruta M. *Nature* **2005**, 437, 1098

Raising the Gold Standard

Hashmi, A. S. *Nature* **2007**, 449, 292

Catalytic Gold Rush

Nolan, S. P. *Nature* **2007**, 445, 496

Golden Opportunities in Stereoselective Catalysis

Bongers, N.; Krause, N. *ANIE* **2008**, 47, 2178

One full issue of Chem. Rev. on “Coinage Metals in Organic Synthesis”

*Chem. Rev.* **2008**, 108, 2793-3442

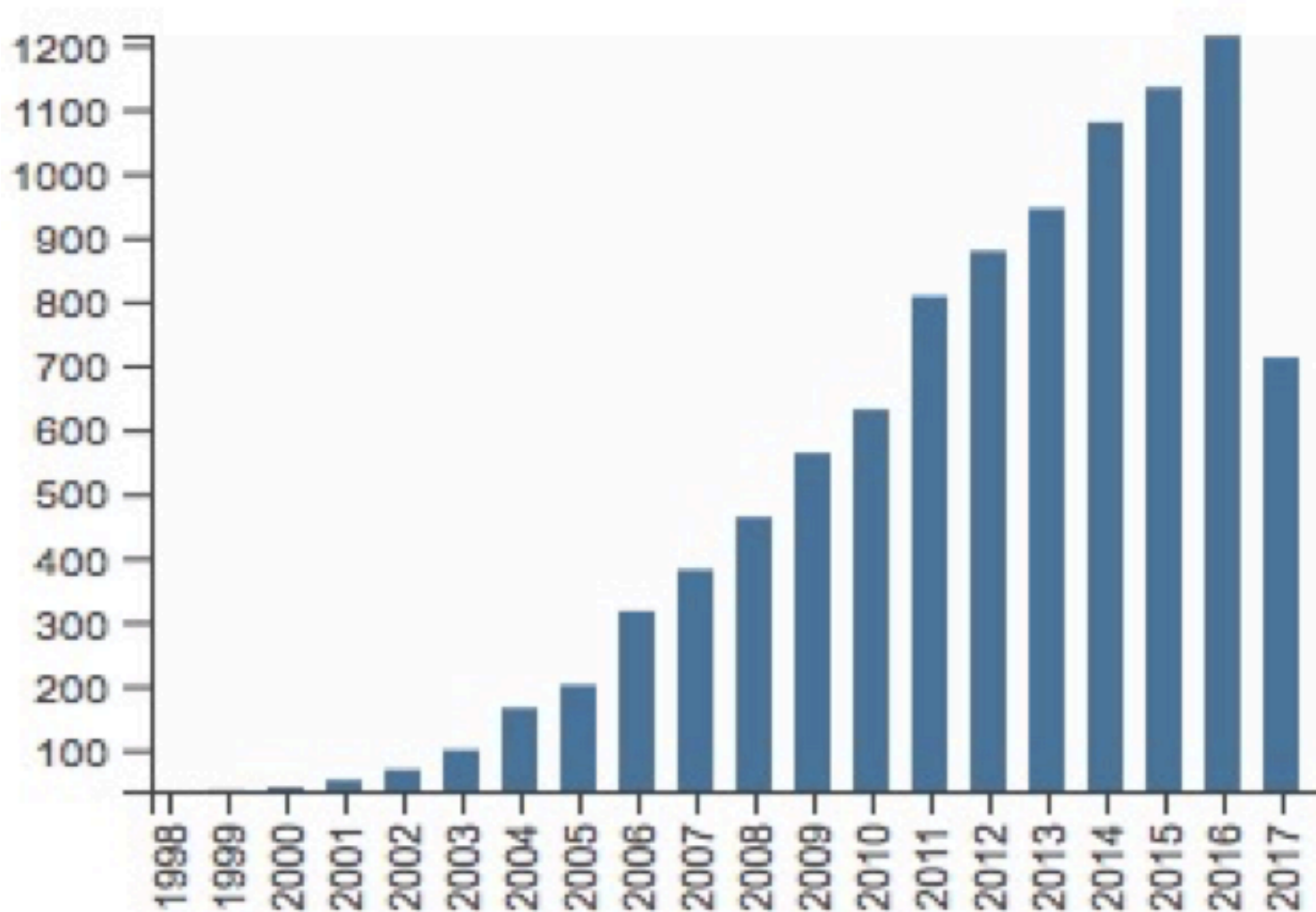
One full issue of Acc. Chem. Res. on “Gold Catalysis”

*Acc. Chem. Res.* **2014**, 47, 729-978.



## The Gold Rush

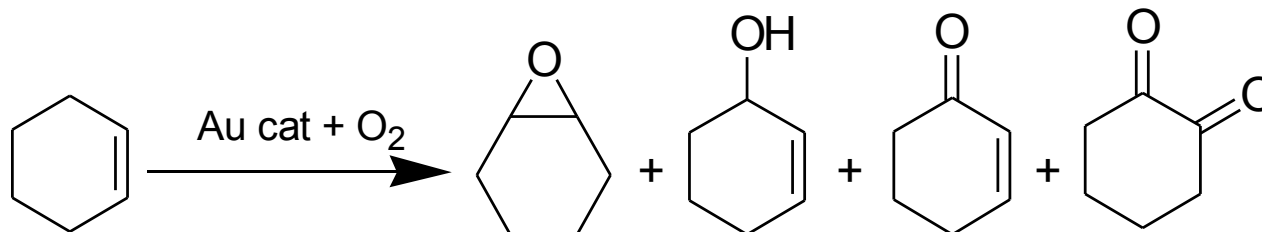
The chemical gold rush started at the beginning of the '90s  
Yearly number of papers dealing with “Gold Catalysis”



# Golden Examples of Gold-Mediated Reactions

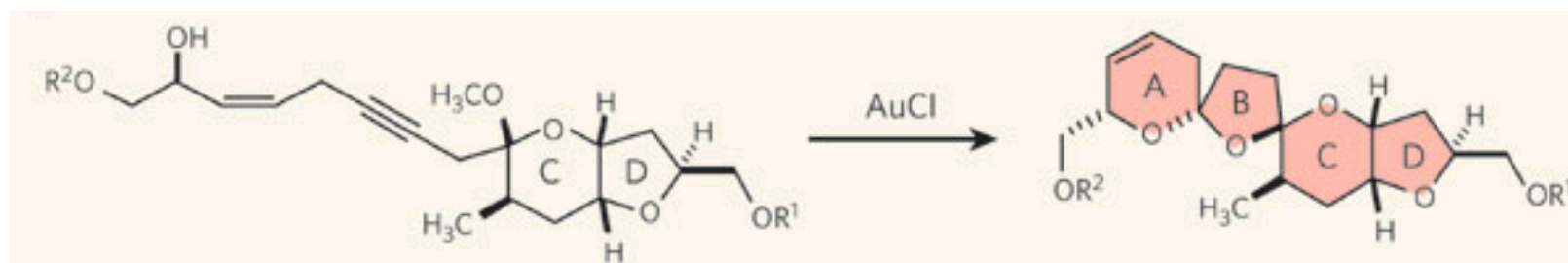
## 1) Hydrocarbon oxidation under mild conditions

Hutchings, G. J. et al., *A. S. Nature* **2005**, 437, 1132



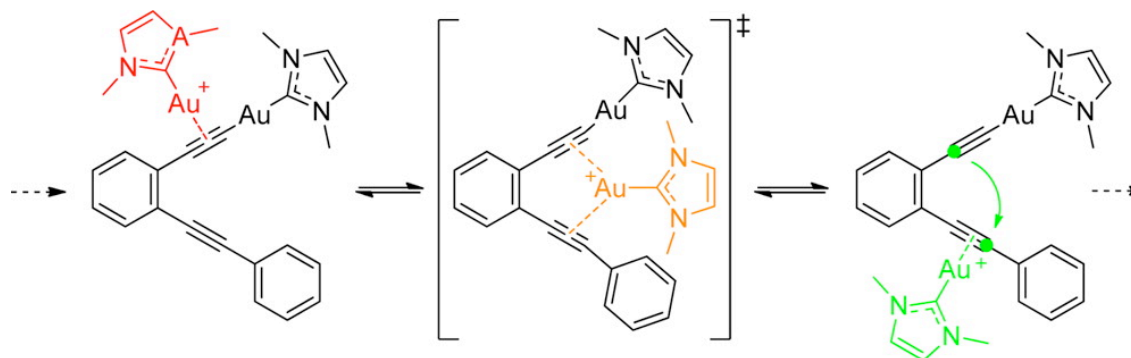
## 2) Synthesis of the A-D rings of Azaspiracids

Li, Y.; Zhou, F.; Forsyth, C. J. *ANIE* **2007**, 46, 279



## 3) Dual gold catalysis

Hashmi S. *Acc. Chem. Res.* **2014**, 47, 864.



# Outline

- Introduction
- **The Call for Help**
- The Golden Carousel
- After the Puzzle
- Conclusions

## The Beginning of the Project



29 August 2005, the Kathrina Hurricane hits New Orleans, and Steve Nolan escapes with the whole group to Canada, hosted at the University of Ottawa by Deryn Fogg.

31 October 2005, his group is back at work, and he writes this email

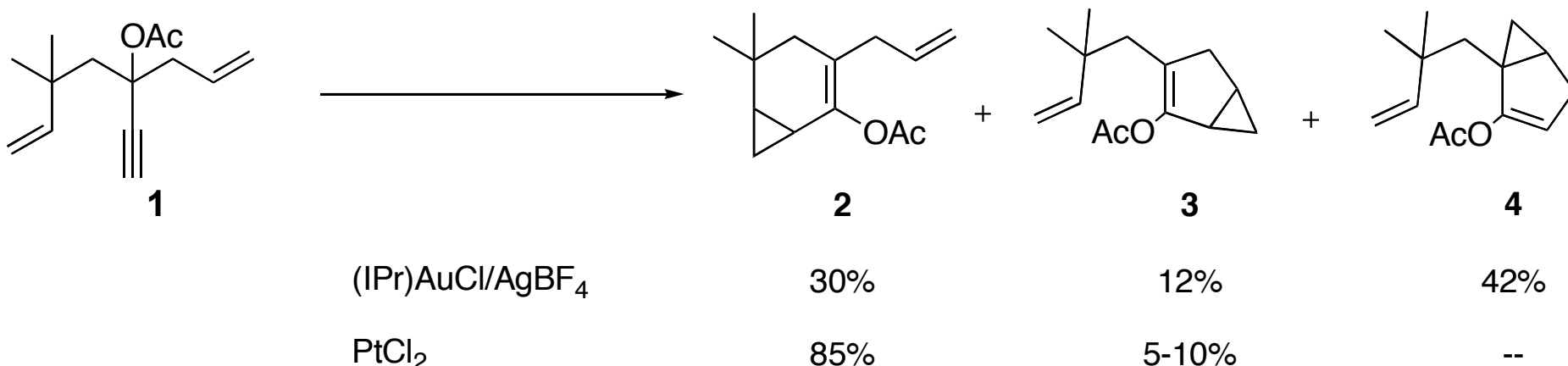
From: Steve P. Nolan  
Sent: Mon 10/31/2005 4:24 PM  
To: Luigi Cavallo  
Subject: EIDorado

Hi GG,  
we are getting strange results on a Au assisted cycloisomerization, see the attachment.  
Do you think you can help to explain results ?  
Looking forward to hearing from you.

Cheers,  
Steve

# The Attachment

We tested some (NHC)AuCl in the cycloisomerization of dienyne **1**. The benefits of using gold instead of platinum is that the reaction can be done at rt (vs 80°C) in short reaction time and with a lower catalyst loading. Interestingly, we have observed the formation of the unprecedented product **4**.



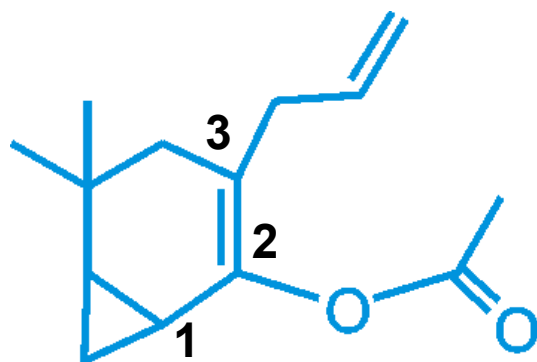
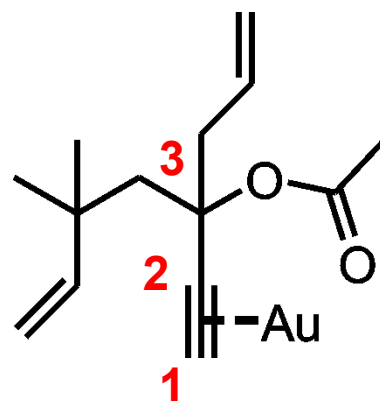
Obviously, these results raise a lot of questions, notably on a plausible mechanism for the formation of **4**. Traditionally, after a 1,2-migration of the acetate on the C=C, the formation of a metalcarbene that performs a cyclopropanation with the olefin is proposed. Products **2** and **3** can be explained with that mechanism but not **4**.

Any idea ?

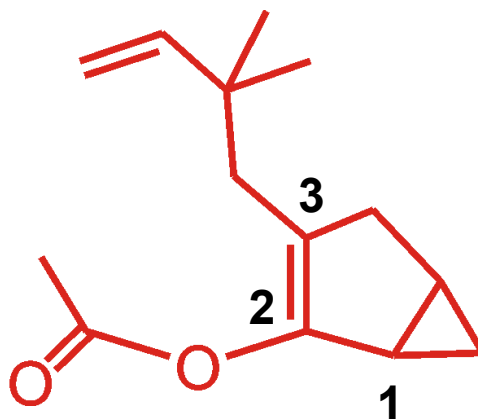
Nicolas

(Nicolas Marion, PhD student in Nolan's group)

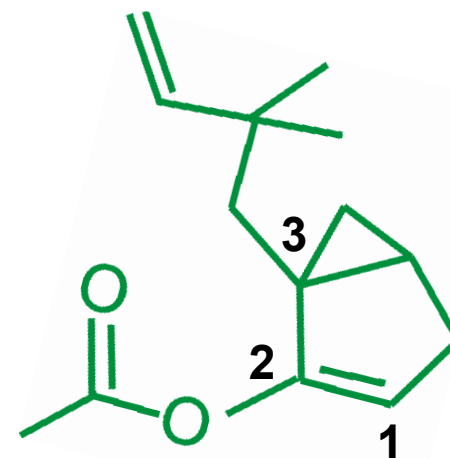
## The Products



**P2**



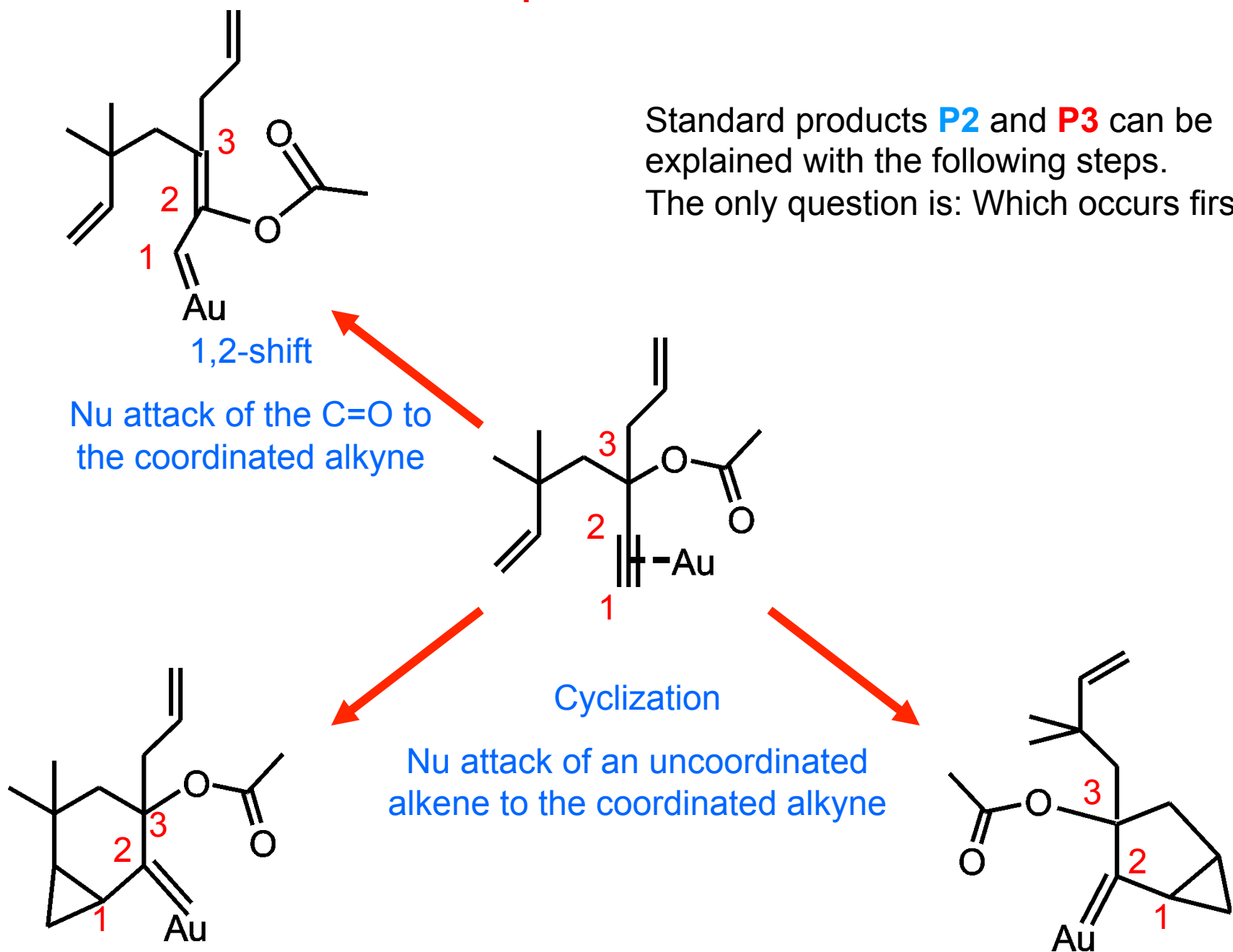
**P3**



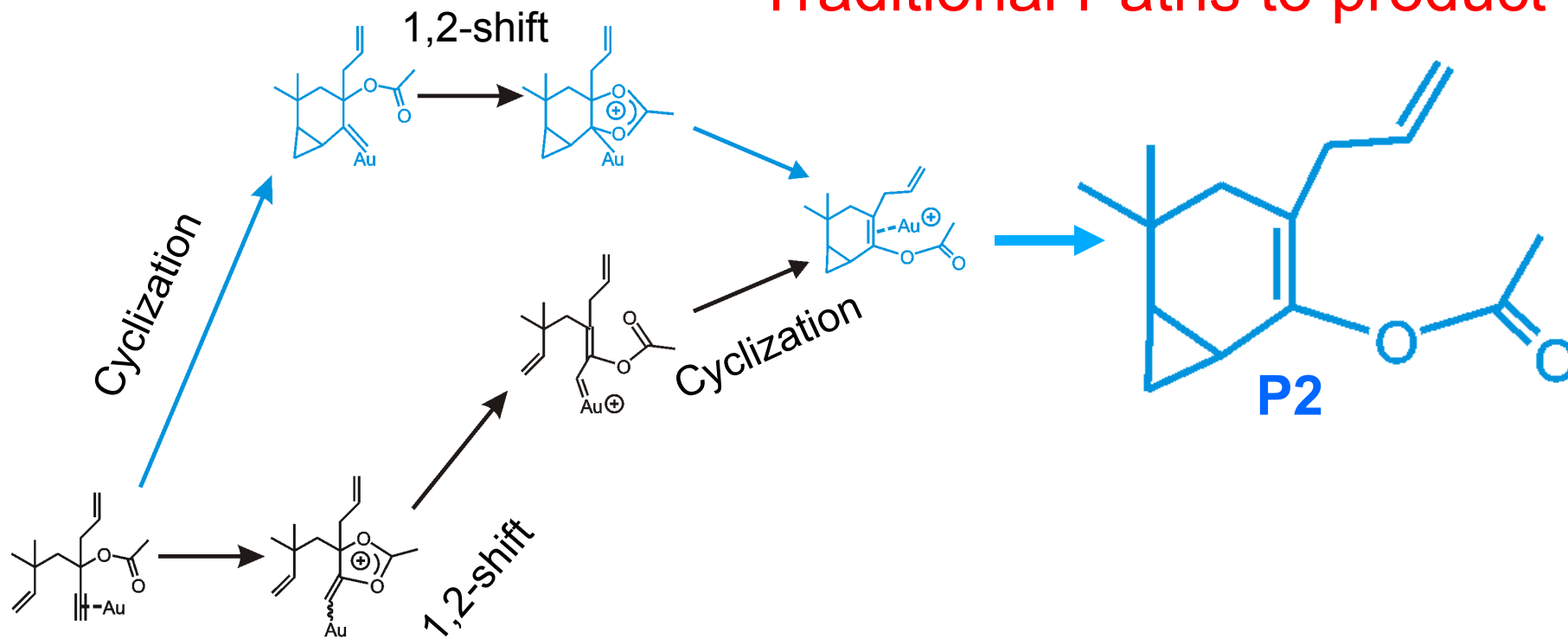
**P4**

All products present the Ac group migrated to position 2 of the reactant

# The Basic Steps of the Traditional Mechanisms

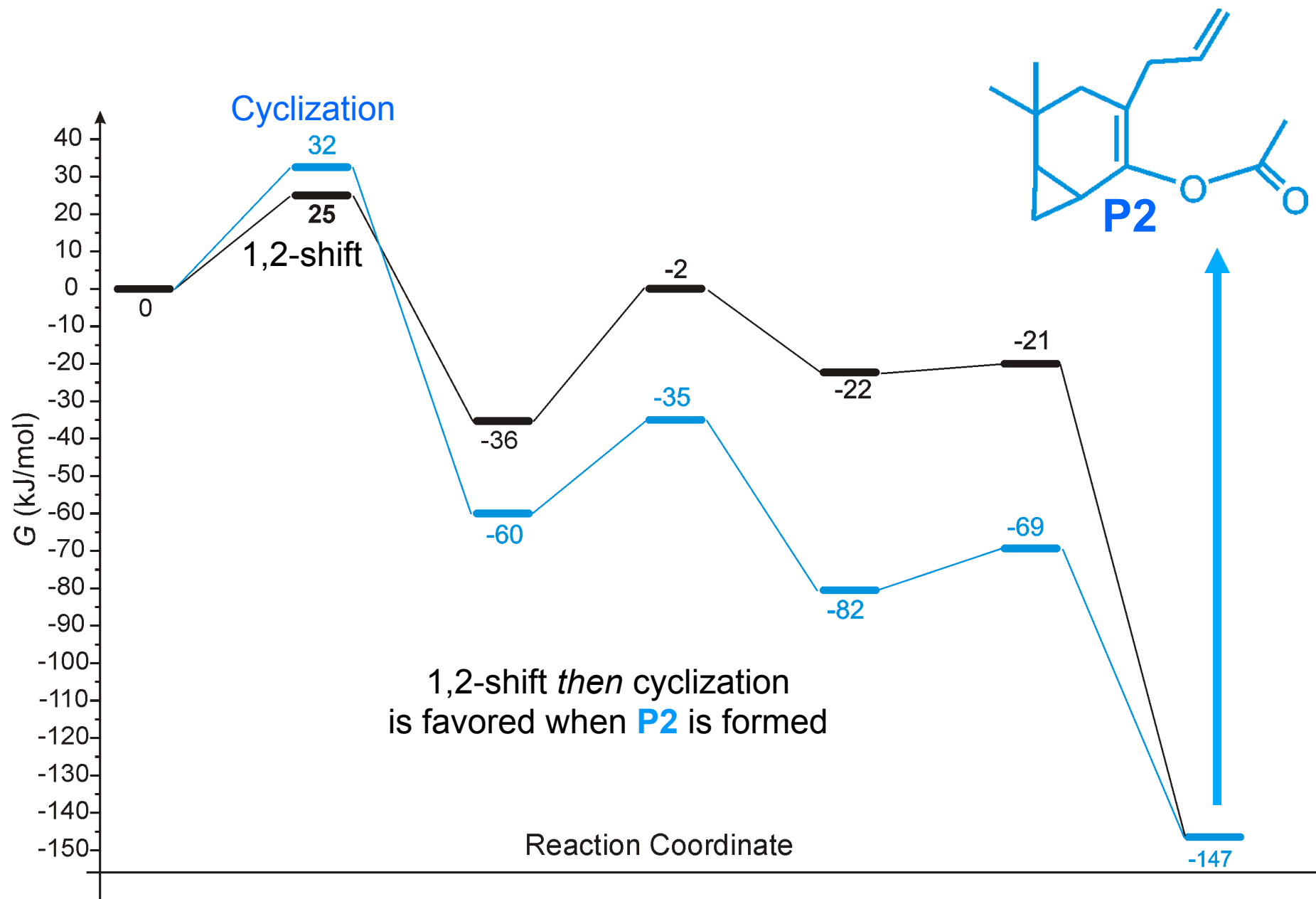


# Traditional Paths to product P2

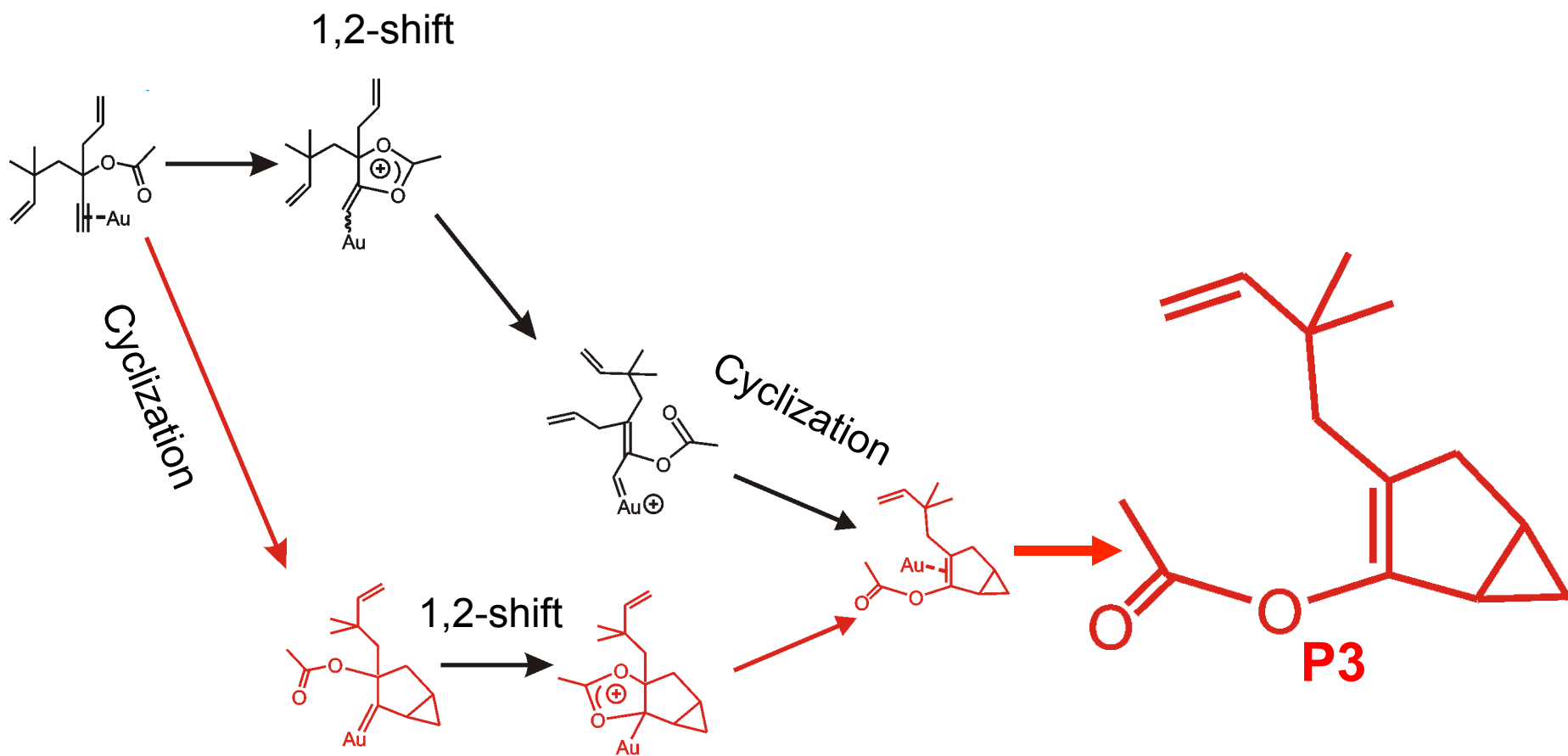




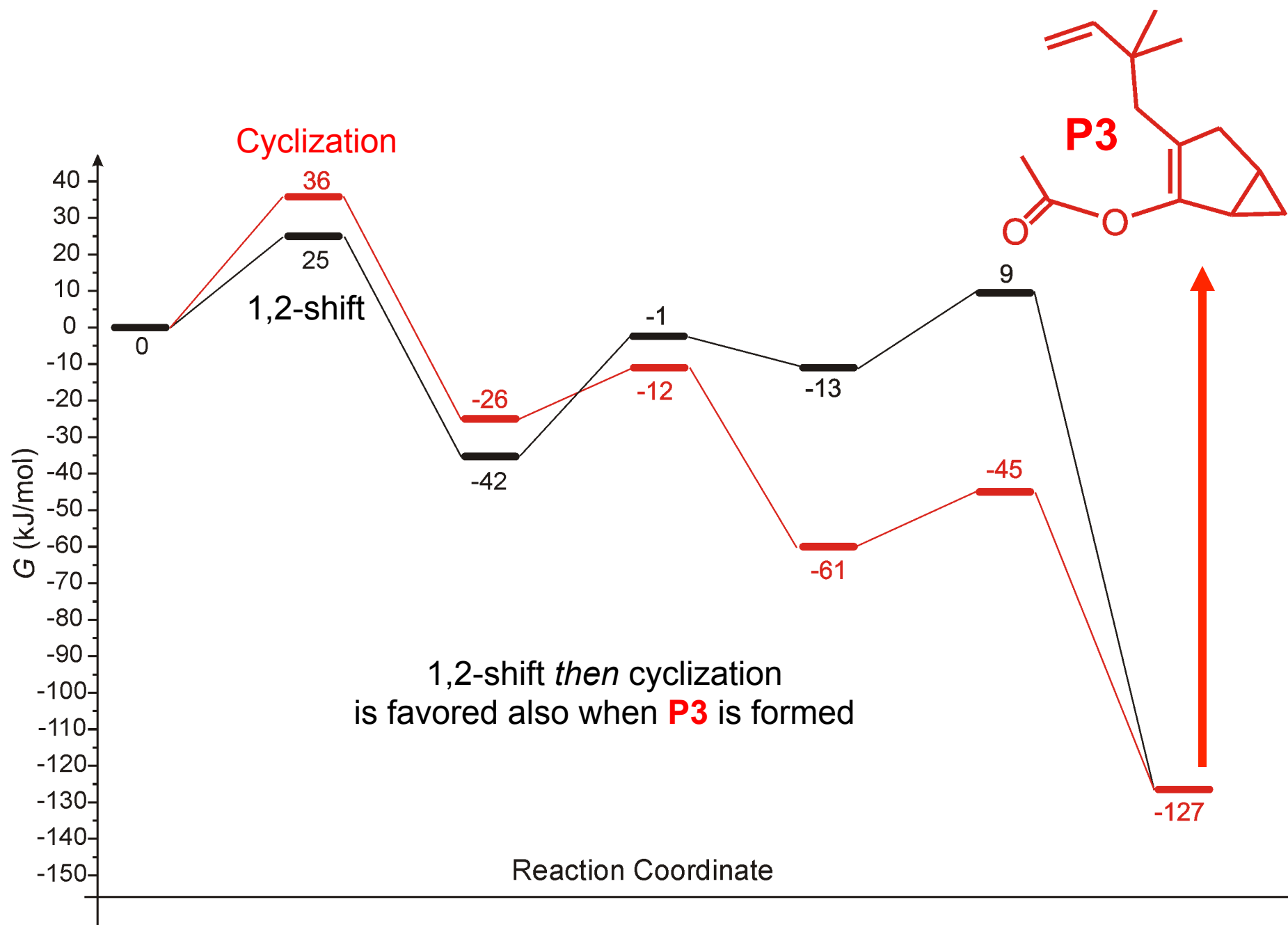
# Traditional Paths to product **P2**



# Traditional Paths to product P3

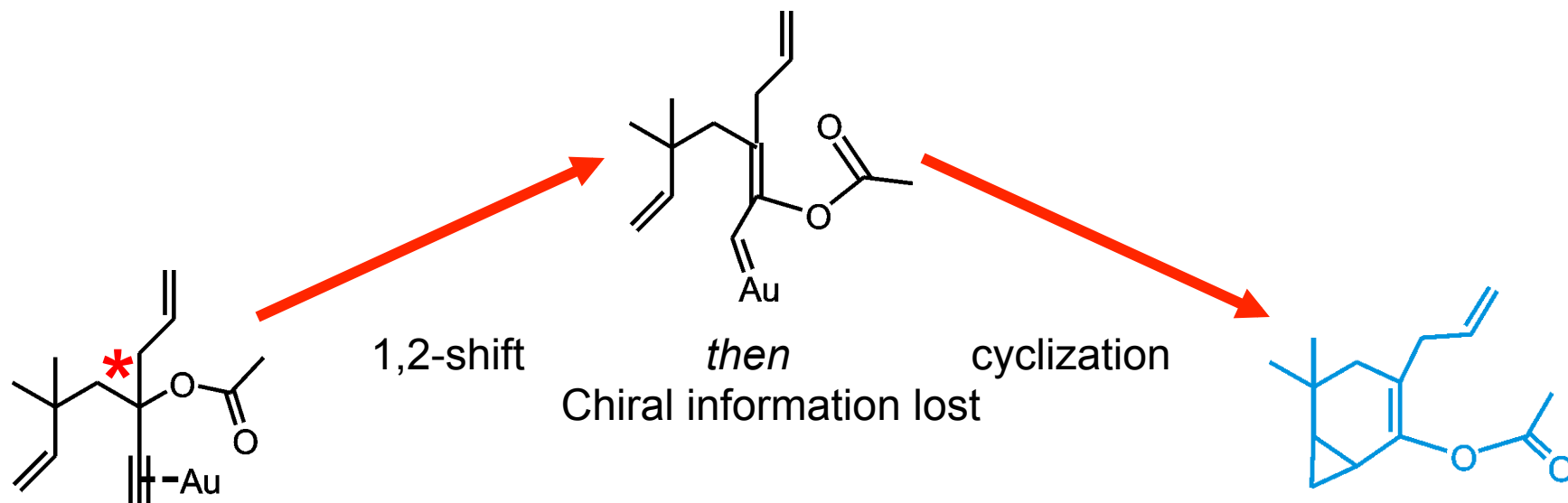


# Traditional Paths to product P3



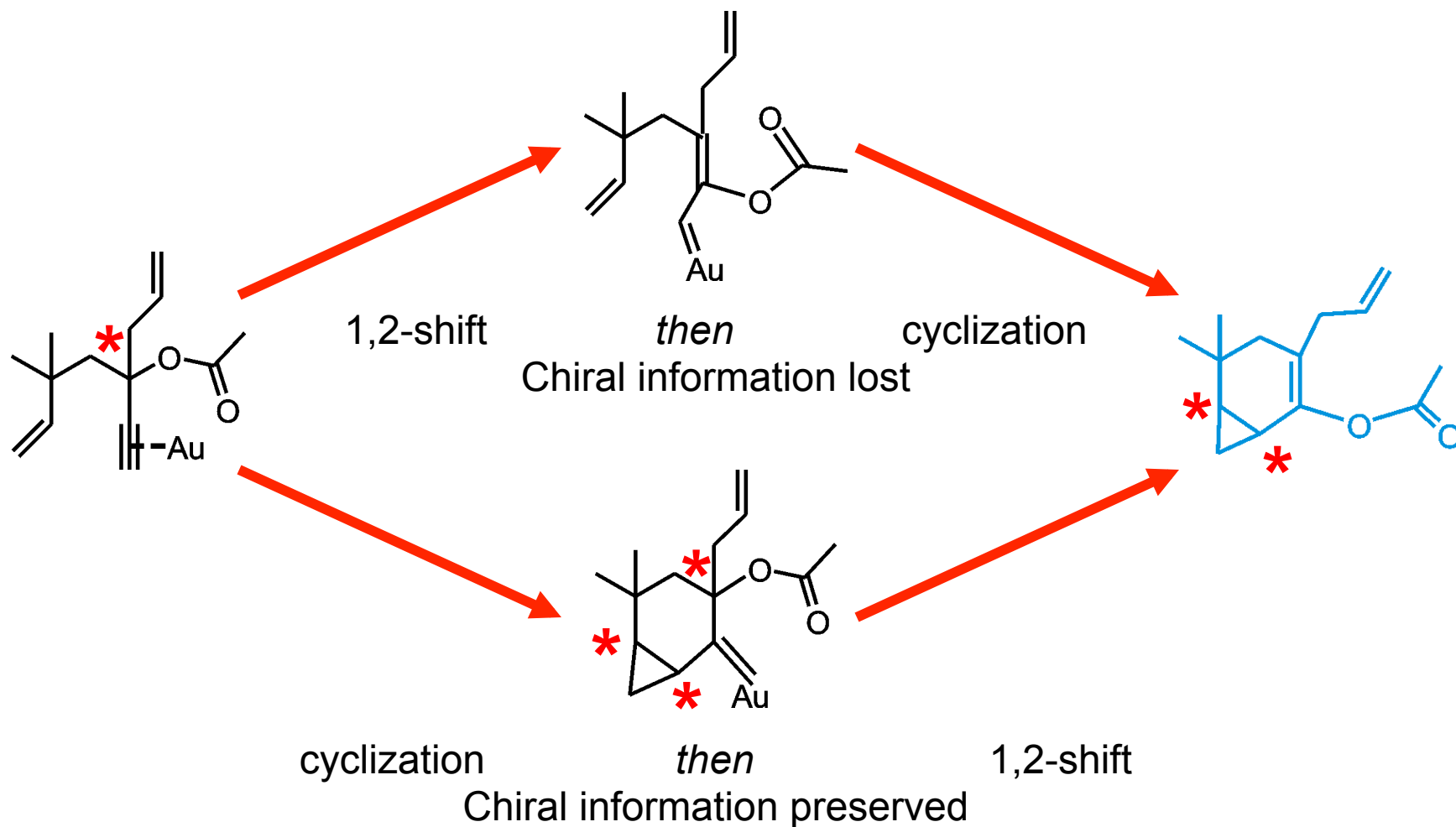
# First cross check between theory and experiments

Which step occurs first can be determined by  
cycloisomerization of enantioenriched substrates



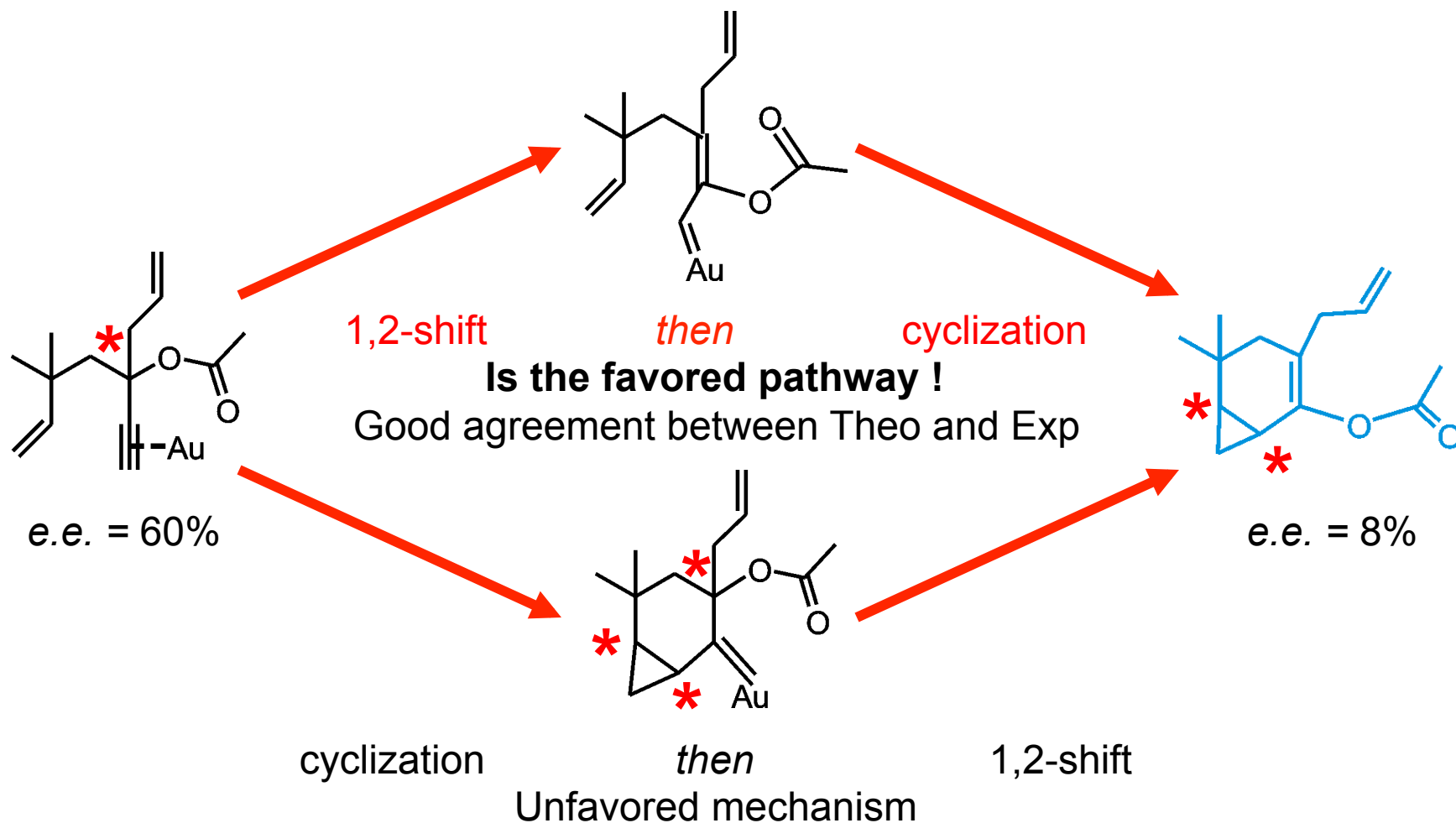
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# First cross check between theory and experiments

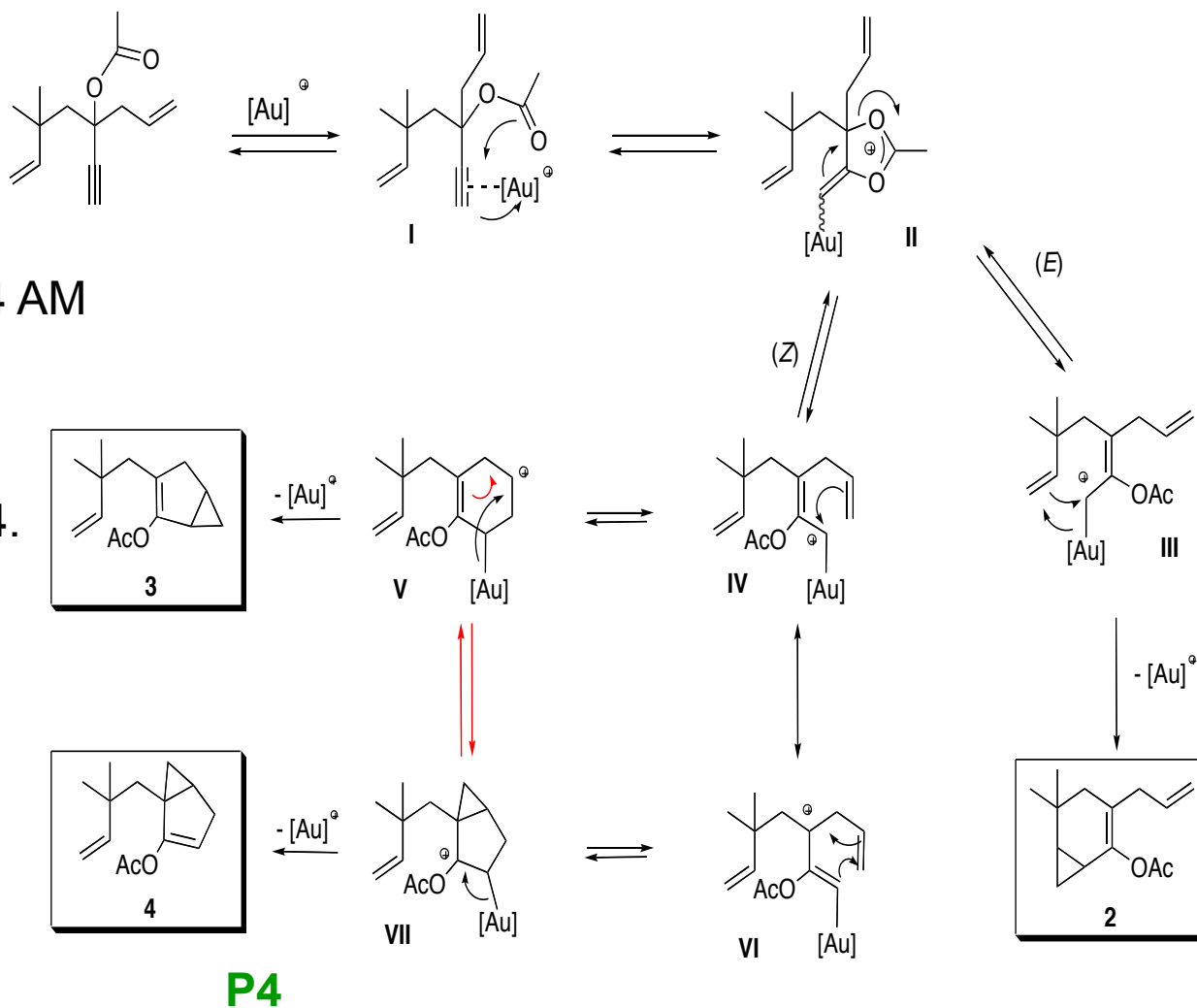
Which step occurs first can be determined by  
cycloisomerization of enantioenriched substrates



# Spring 2006: the Search of the Path to P4 Begun

By spring 2006 we fully characterized pathways to products **P2** and **P3**.  
We optimistically started to search for possible pathways to product **P4**....

The first trial...

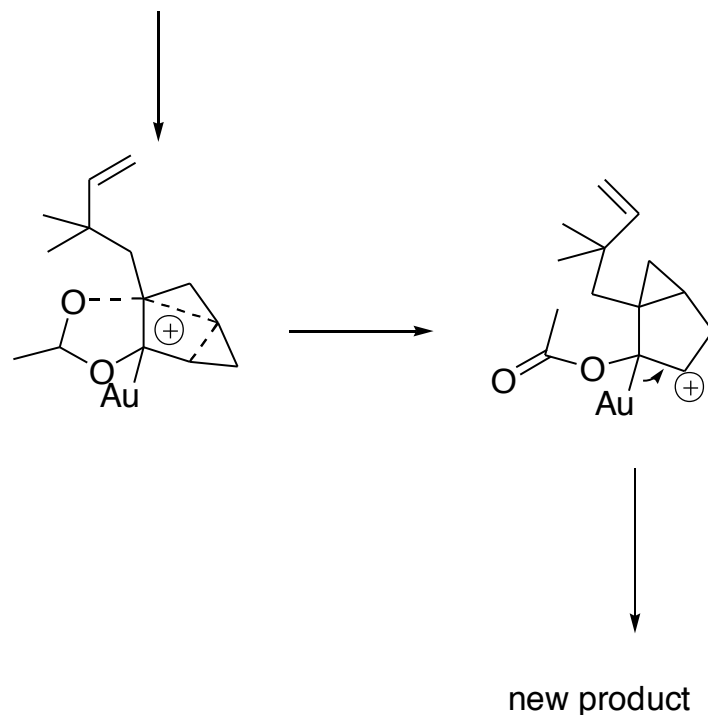


From: Steven P. Nolan  
Sent: Wed 02/18/2006 11:14 AM  
To: Luigi Cavallo  
Subject: RE: Re: EIDorado

This is the possible path to 4.  
Bye,  
steve

## Beginning of 2007: Keep Searching...

A great number of paths (some of them clearly unrealistic) were tested.  
None was the solution...



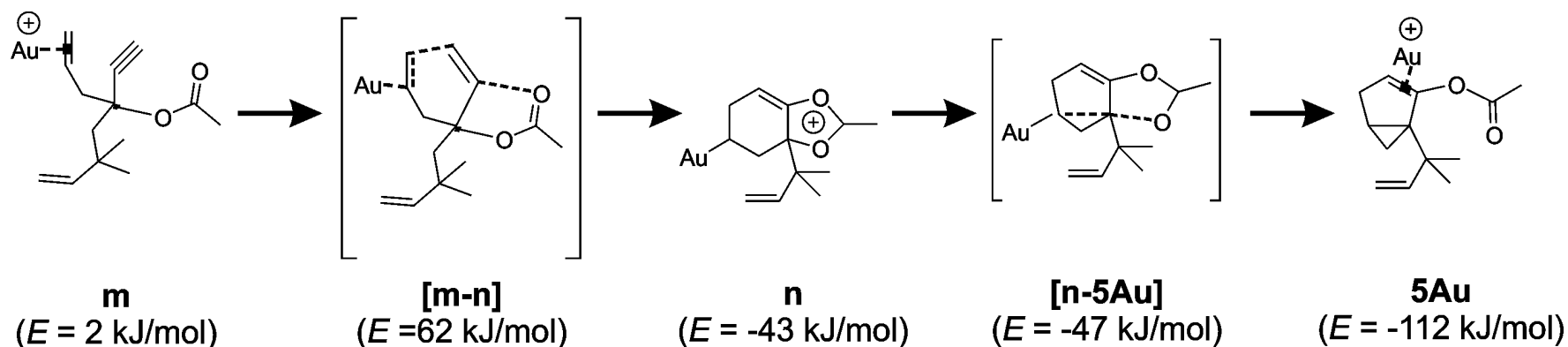
From: Nicolas Marion  
Sent: Tue 02/24/2007 04:56 PM  
To: Luigi Cavallo  
Subject: The last chance ?

Hi GG,  
here is the last hypothesis for the Au-mecha. I don't remember if you tried that one, it consists of a sequence cyclopropanation/OAc migration and then rearrangement of the cationic intermediate. cheers,  
nicolas



## Spring 2007: the Blue Days...

In spring 2007 the best we had is the very high energy pathway that starts with a C=C bond coordinated. Almost giving up...



From: Steven P. Nolan

Sent: Fri 03/27/2007 11:22 AM

To: Luigi Cavallo

Subject: RE: Re: RE: Re: RE: Re: RE: Re: RE: Re: RE: Re: Re: RE: EIDorado

hola gg,

we are about to put together a full paper on gold...

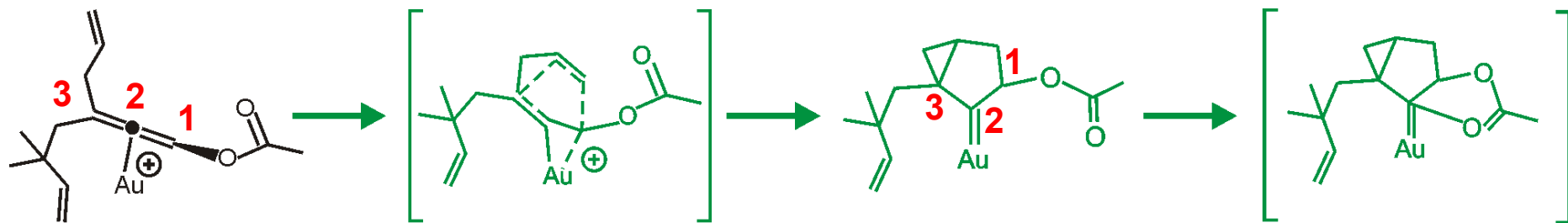
cheers,

steve

Marion, de Frémont, Lemière, Stevens, Fensterbank, Malacria, Nolan  
*Chem. Comm.* **2006**, 2048

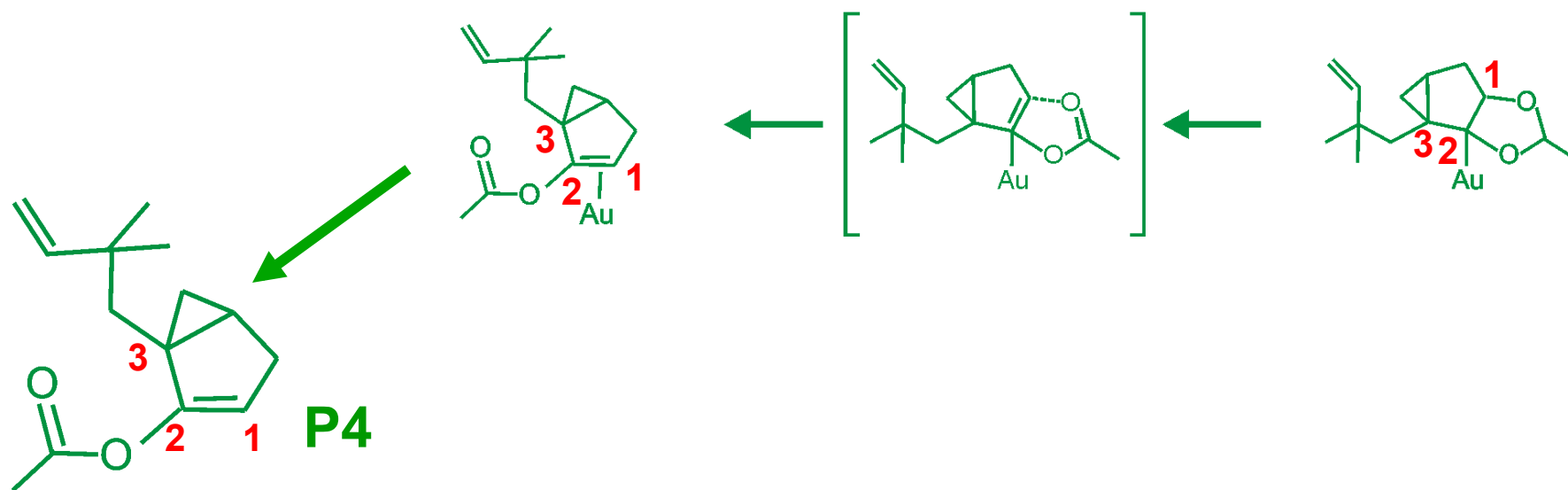
One day...

... we found that an allene complex could be connected to the strange product **P4**.



Allene complex  
**Ac migrated to 1!**

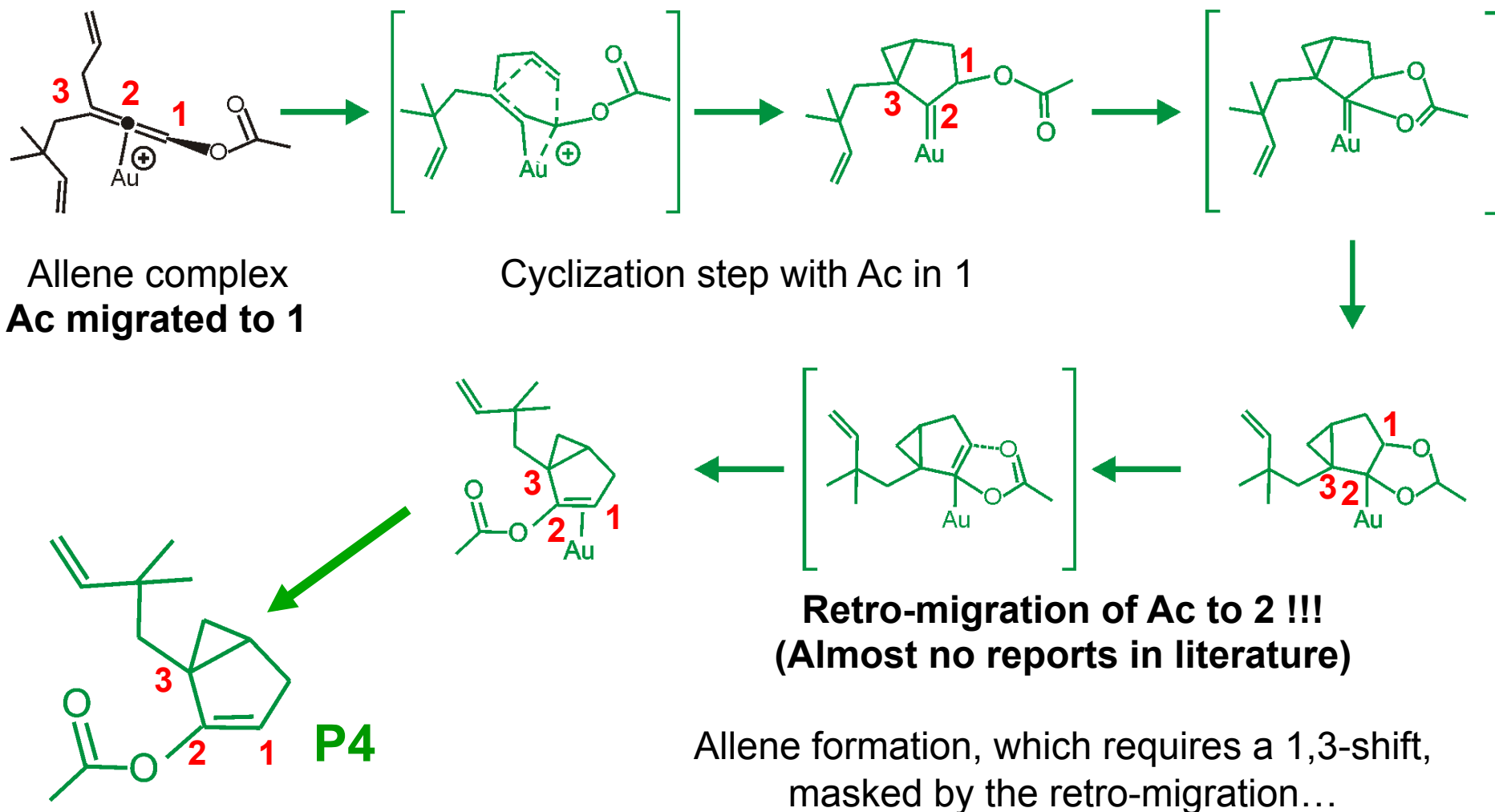
Cyclization step with Ac in 1



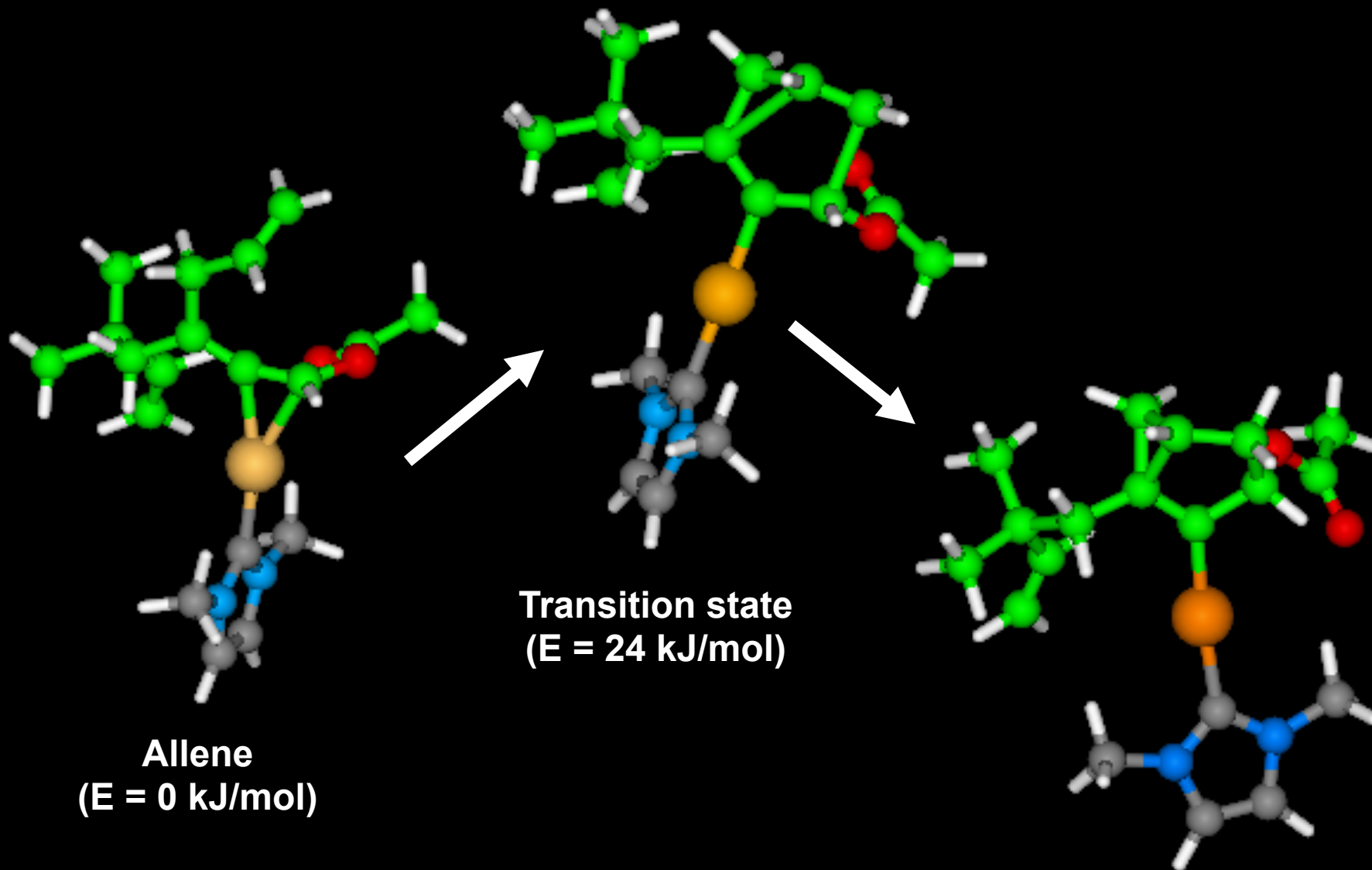
**P4**

One day...

... we found that an allene complex could be connected to the strange product **P4**.



# The Key Step



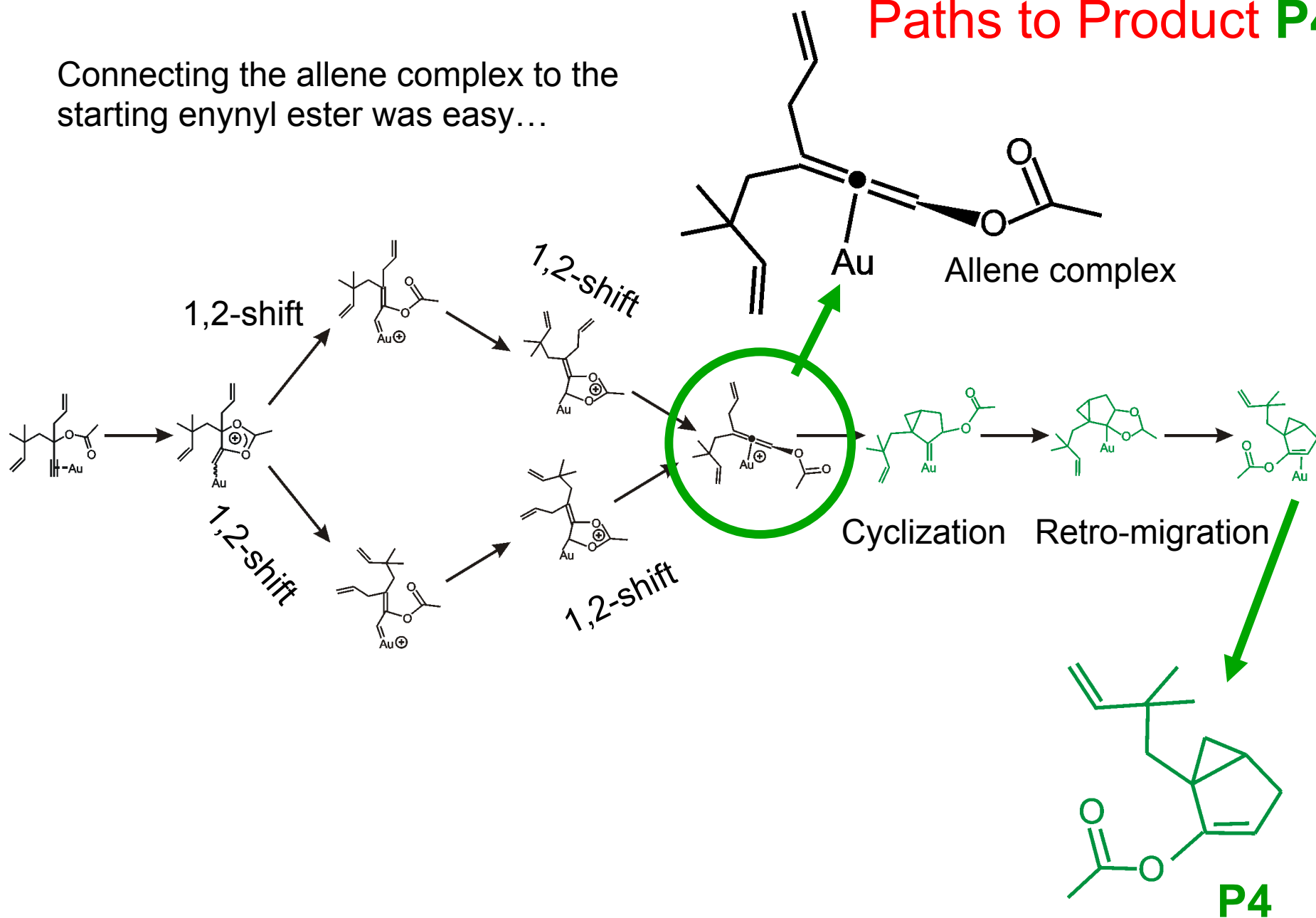
Allene  
(E = 0 kJ/mol)

Transition state  
(E = 24 kJ/mol)

Finally product P4!  
(E = -18 kJ/mol)

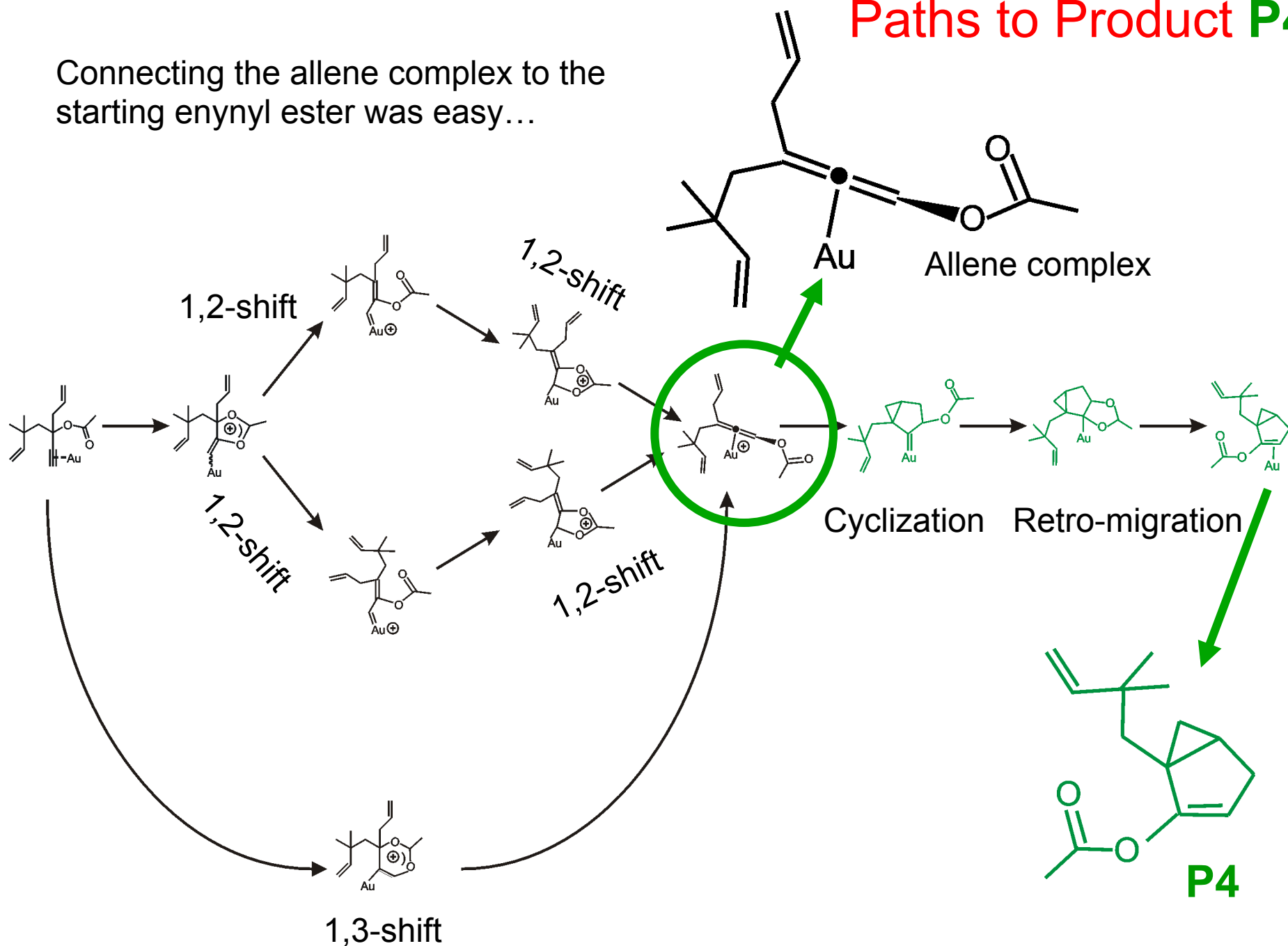
# Paths to Product P4

Connecting the allene complex to the starting enynyl ester was easy...

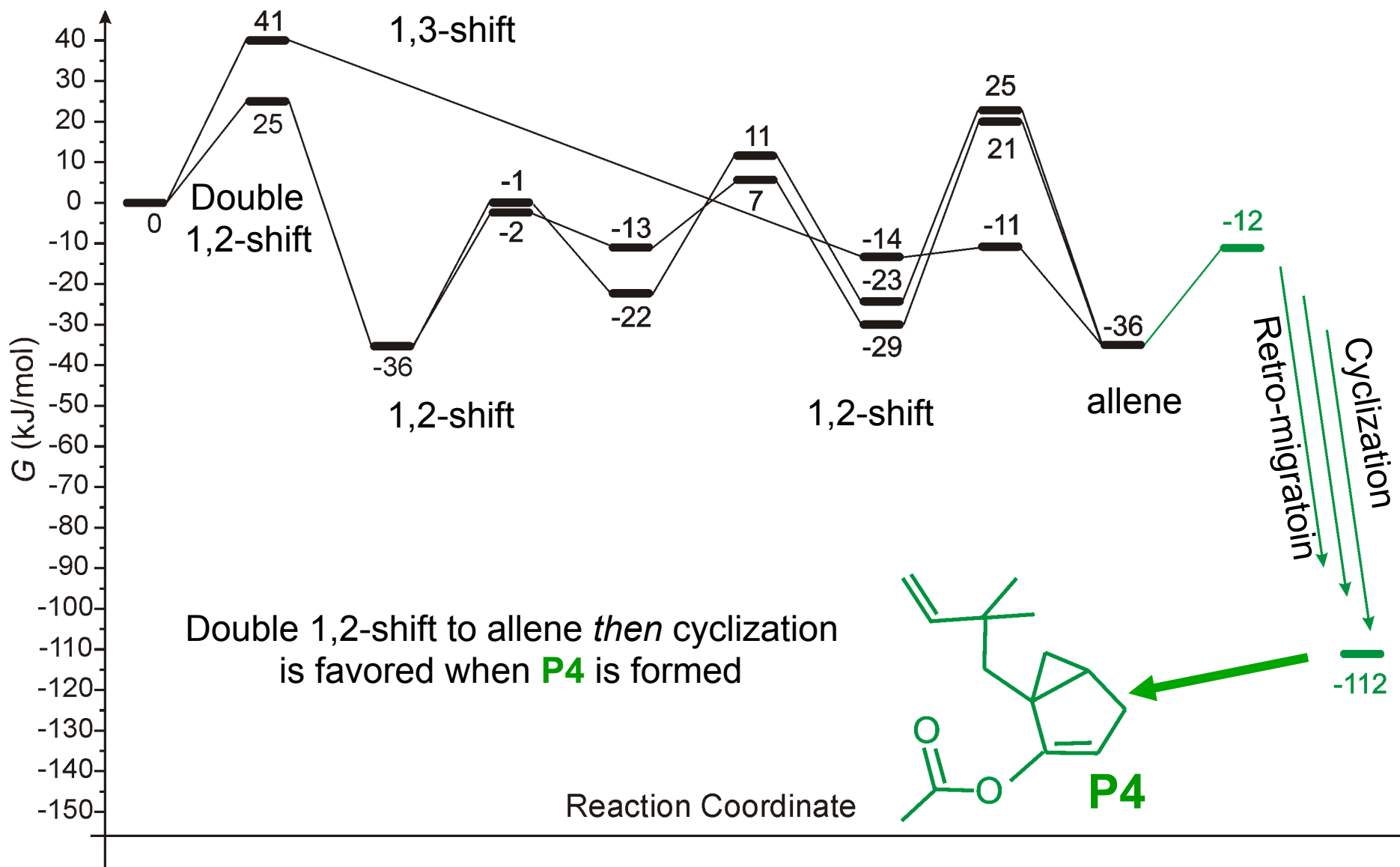


# Paths to Product P4

Connecting the allene complex to the starting enynyl ester was easy...



# Paths to Product P4



# Validating the New Path

From: Luigi Cavallo  
Sent: Fri 06/01/2007 07:41  
To: Steve Nolan  
Cc: Nicolas Marion  
Subject: Last chance for the naughty gold...

Hola Spain,

maybe (maybe) we found a solution (see the attachment)!  
Waiting for your comments...

Andrea is cleaning/checking that we have all the pieces, and that they actually fit together.

Ciao,  
gg

(Andrea Correa, post-doc in my group)



# Validating the New Path

From: Nicolas Marion

Sent: Fri 06/01/2007 10:28

To: Luigi Cavallo,snolan@iciq.es,acorrea@unisa.it

Subject: Re: Last chance for the naughty gold...

Hi Luigi, hi all,

I'm really excited by your new proposal...

I'll try to start from the preformed allene and activate it with Au<sup>+</sup>.

Unfortunately I won't be in the lab before monday but as soon as I come back, I will try experimentally.

I'll let you know asap.

cheers,  
nicolas

# Validating the New Path

From: Nicolas Marion  
Sent: Mon 06/04/2007 23:43  
To: Luigi Cavallo,snolan@iciq.es,acorrea@unisa.it  
Subject: Re: Re: Last chance for the naughty gold...

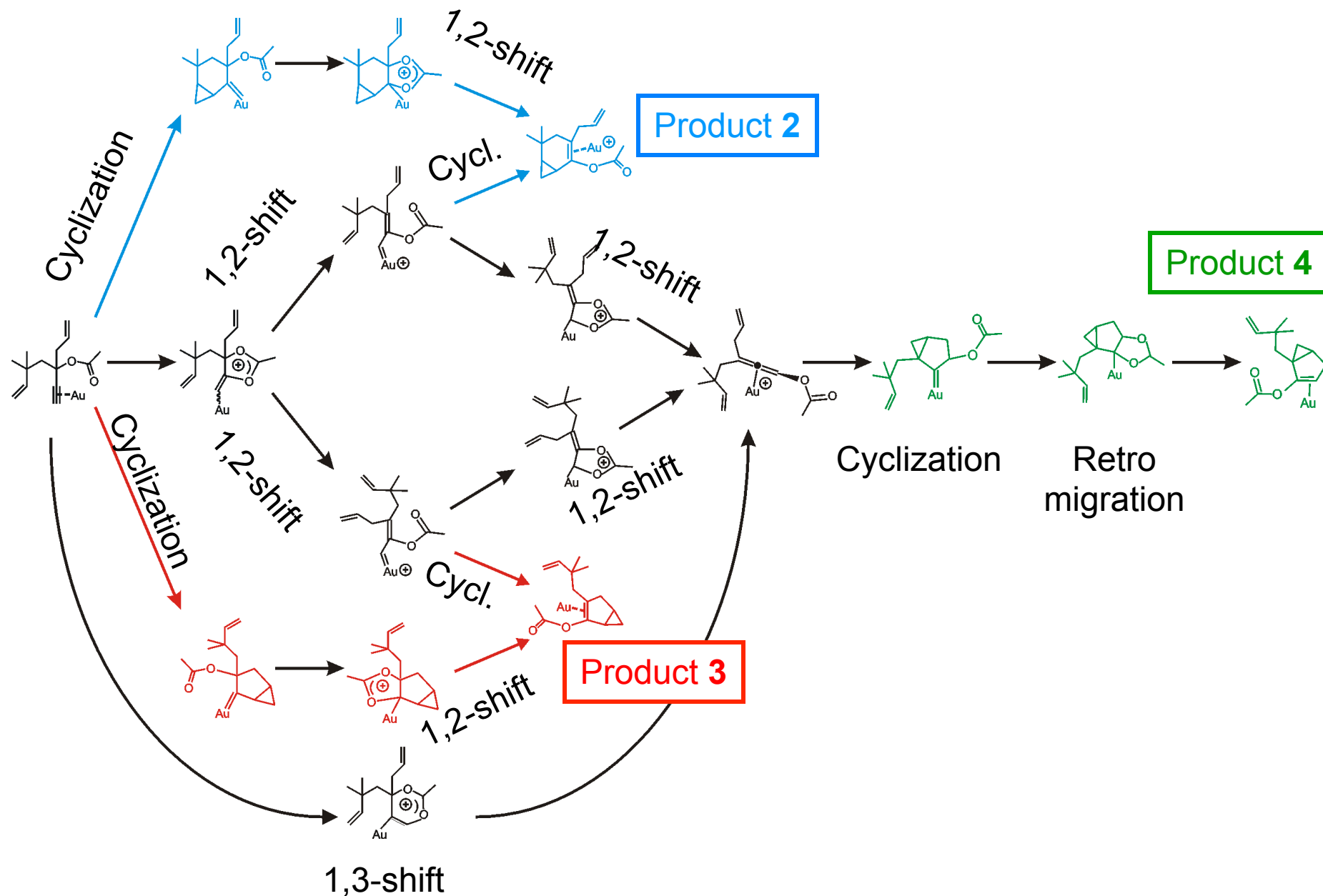
I have excellent news... I made the allene today and put it under Au-conditions and guess what... **P4** as the major cyclised product along with a little bit (around 15%) of **P3**. And as a bonus, the reaction seems quantitative with no formation of **P2**.

It was just a test reaction, so chemical yields will come later but I think that what you proposed is well backed up now, so enjoy.

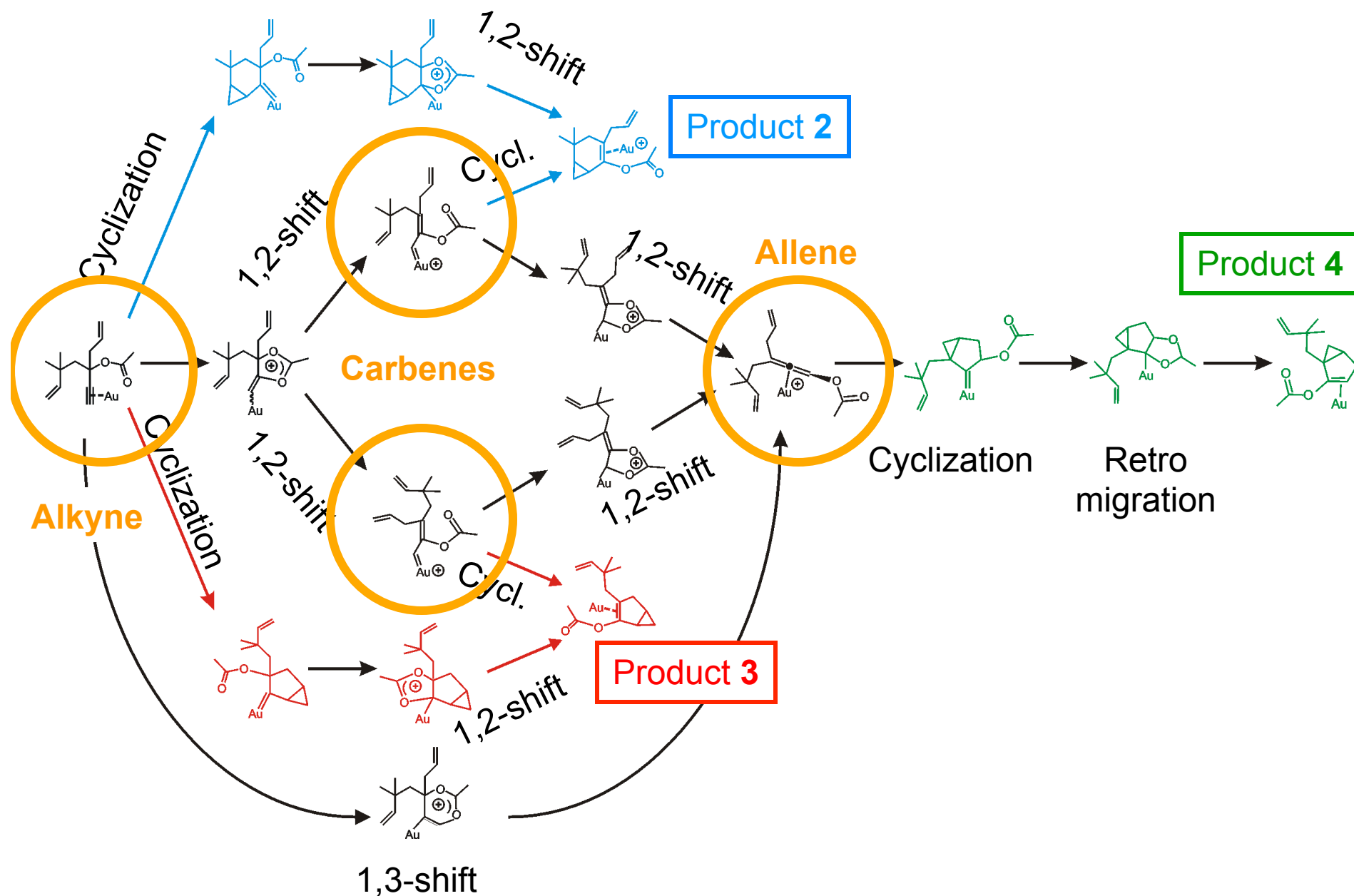
Cheers,  
A very happy Nicolas.

June 2007, the game was (finally) over...

# All Paths Together



# All Paths Together

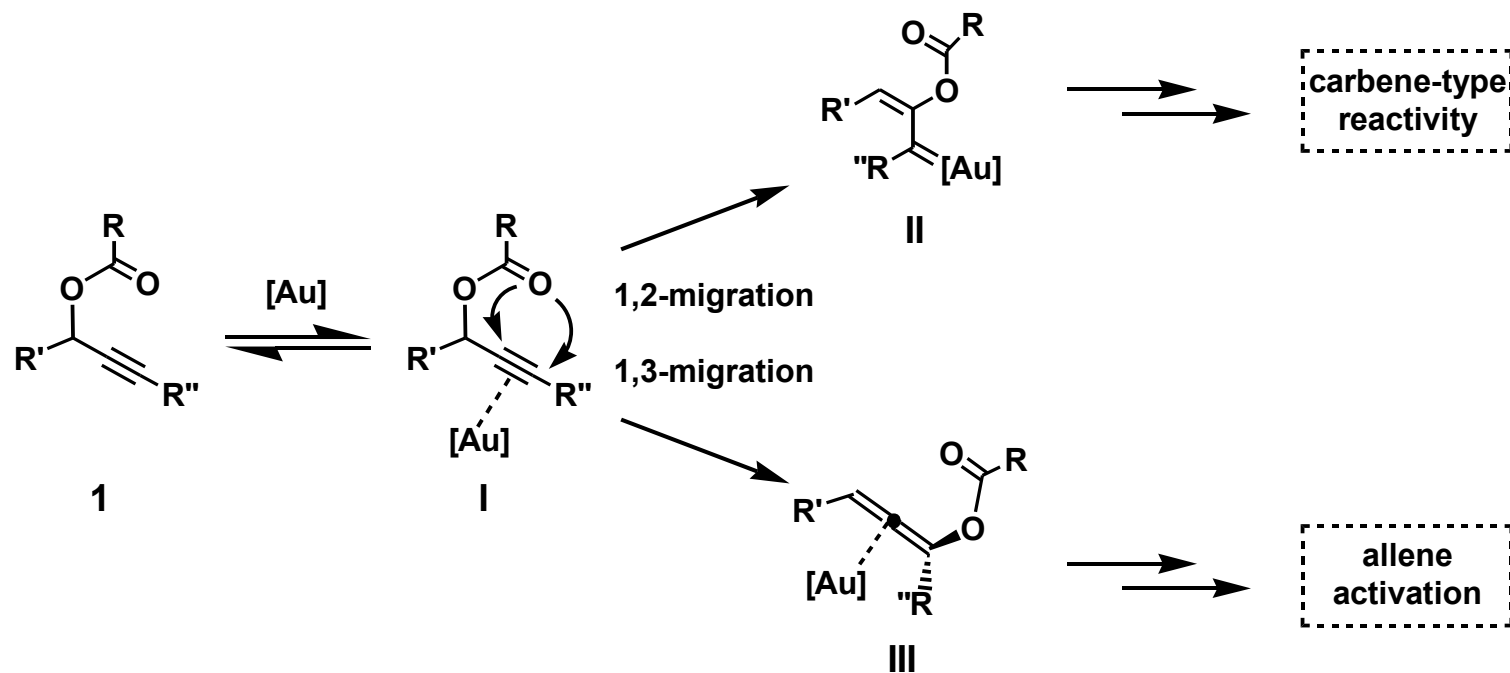


# Outline

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- **The Golden Carousel**
- After the Puzzle
- Conclusions

# Current Knowledge at the Time

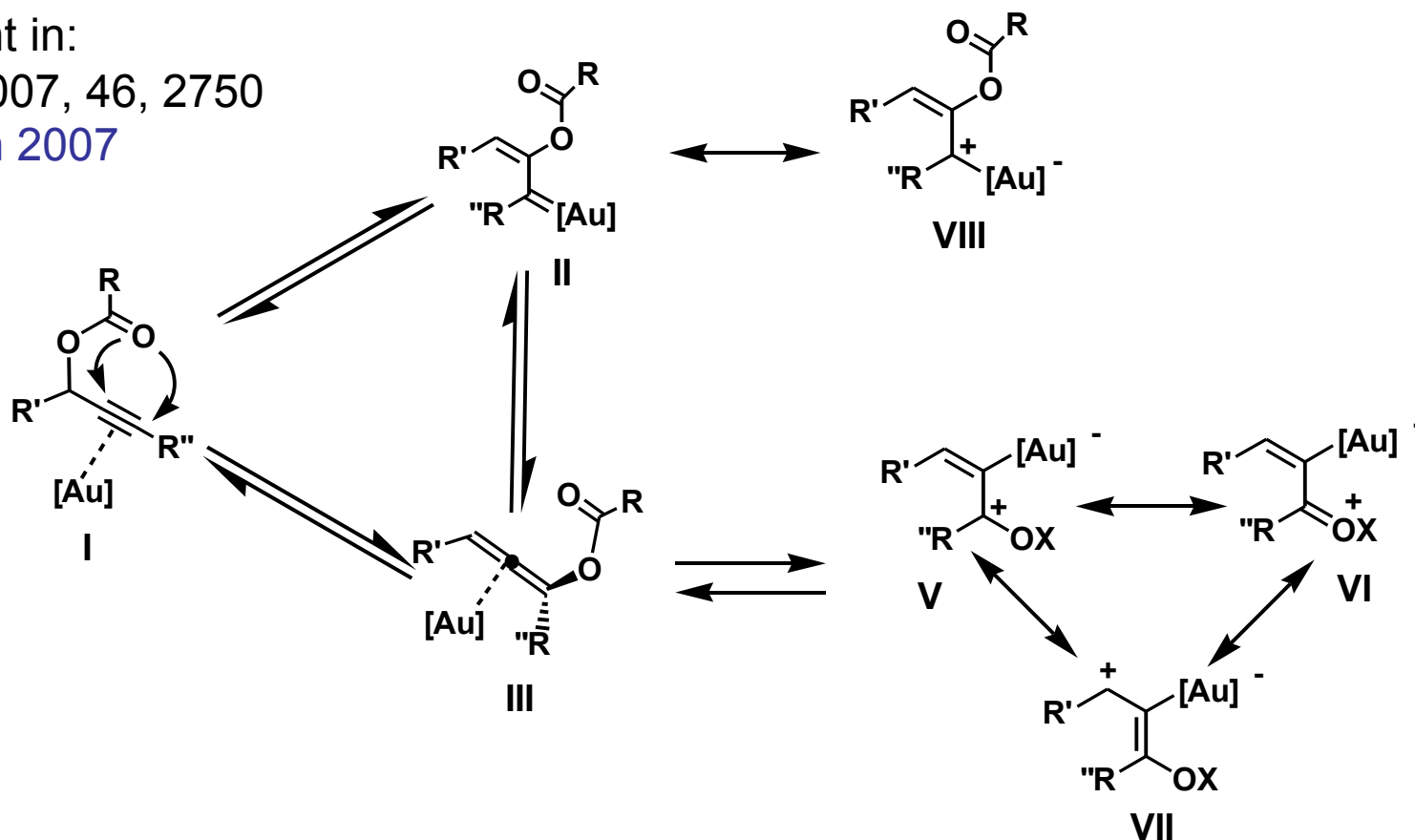
Marion & Nolan  
Highlight in:  
ANIE 2007, 46, 2750  
9 march 2007



However, it was unclear if the carbene and the allene are just intermediates along different branches or there is some connection between them

Marion & Nolan  
Highlight in:  
ANIE 2007, 46, 2750  
9 march 2007

## What Was Hypothesized...



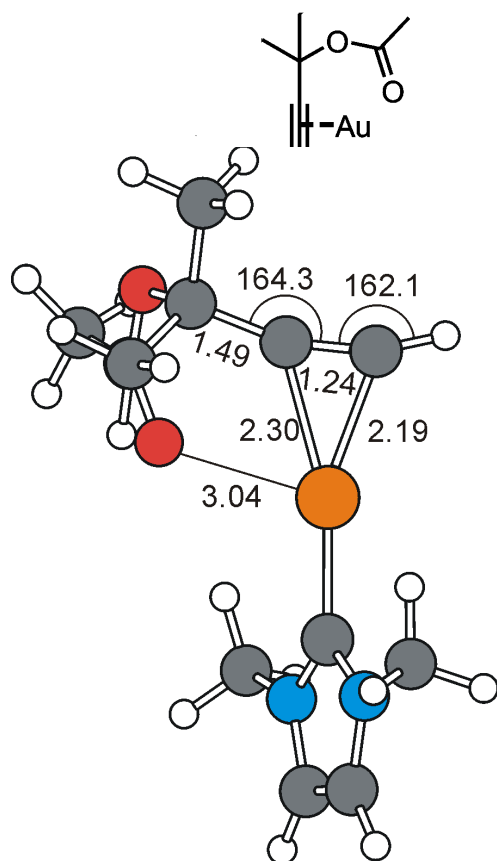
**Scheme 4.** Plausible intermediates in equilibrium upon coordination of oxionic Au onto a propargylic ester.

*“...it is most likely that the intermediates in Scheme 4 are all in equilibrium and react further as a function of the tethered groups.”*

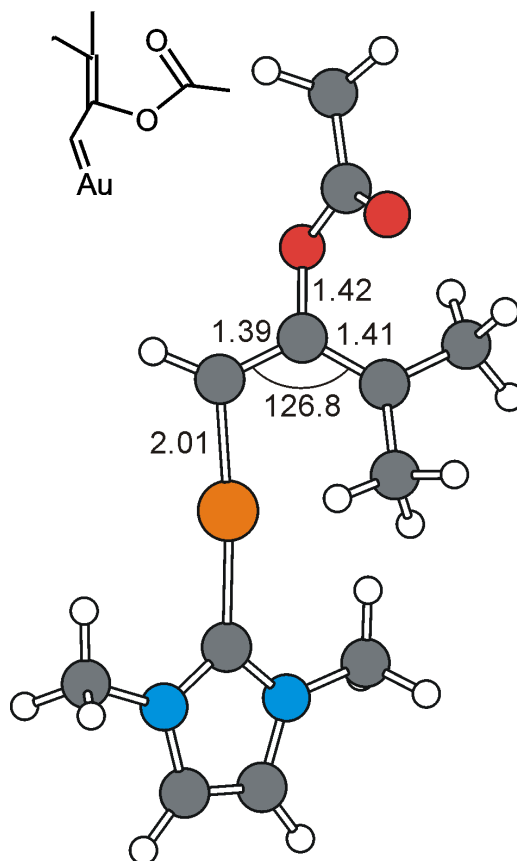
*“...furthermore, numerous mechanistic questions, including the nature of the 1,3-acyl shift (1,3- or double 1,2-shift) are still subjects of debate.”*

# Simplifying the Key Intermediates

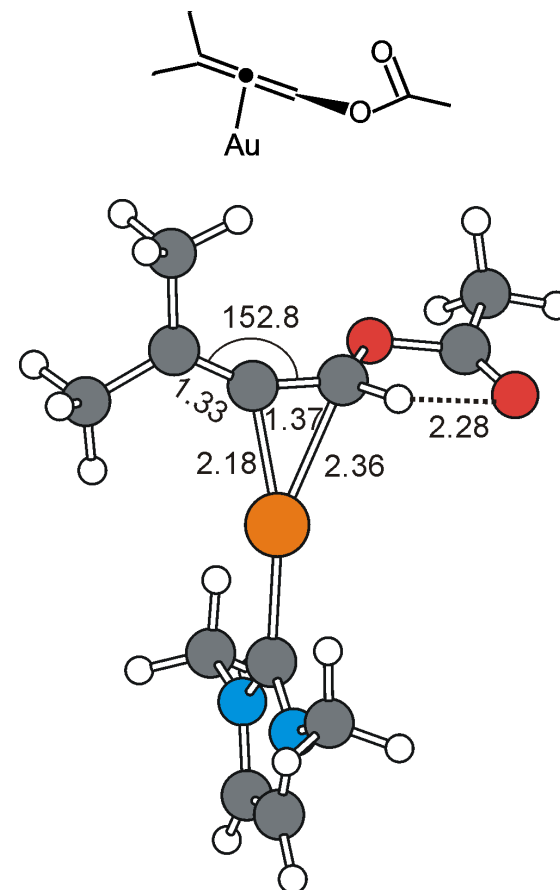
Coordinated Alkyne



Au-carbene



Allene

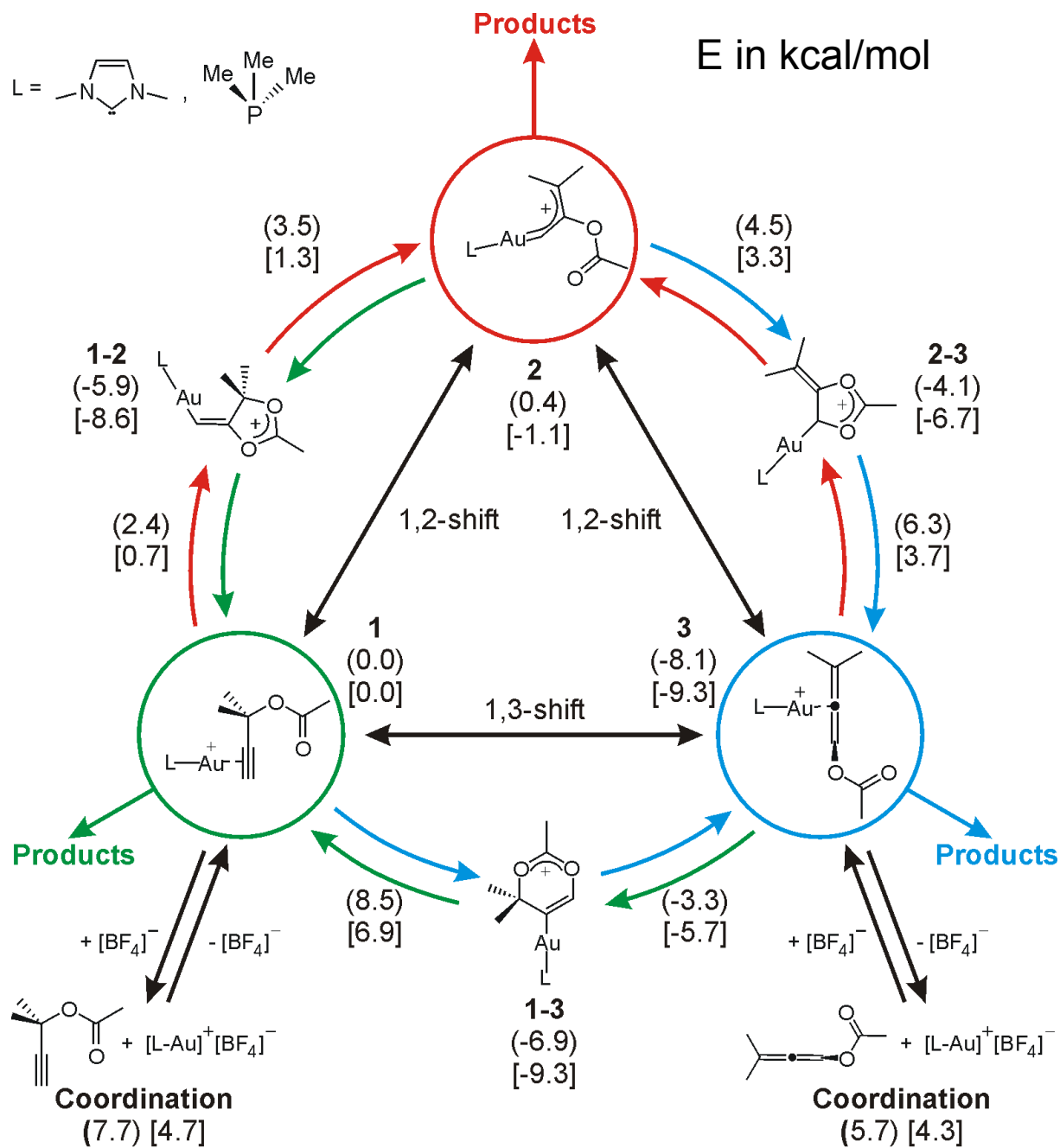


## New Questions We Decided To Tackle!

- 1) Are they in equilibrium ?
- 2) How do they interconvert into each other ?
- 3) How to explain the products distribution from starting conditions ?



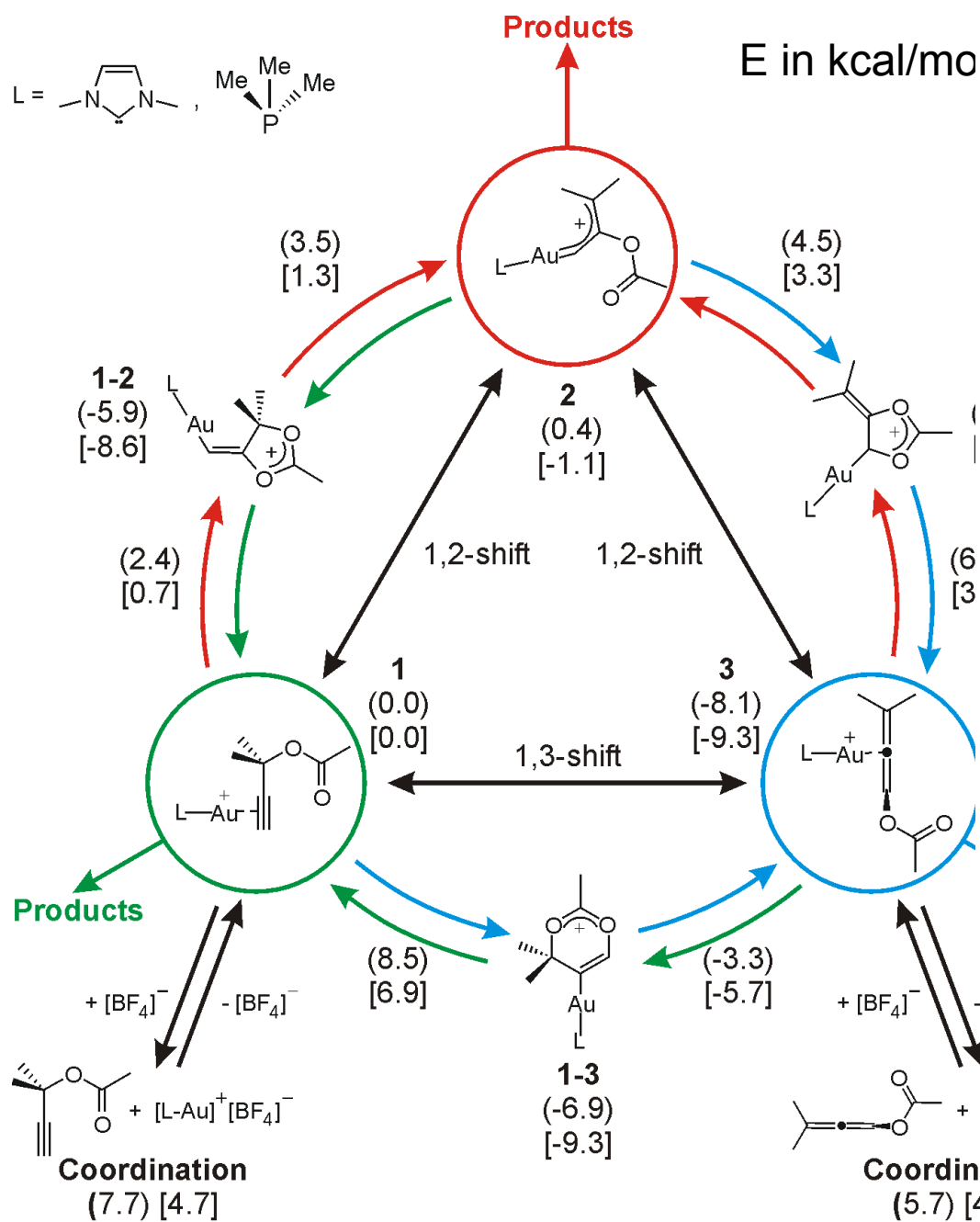
# The Cationic Gold-Propargylic Ester Cycle



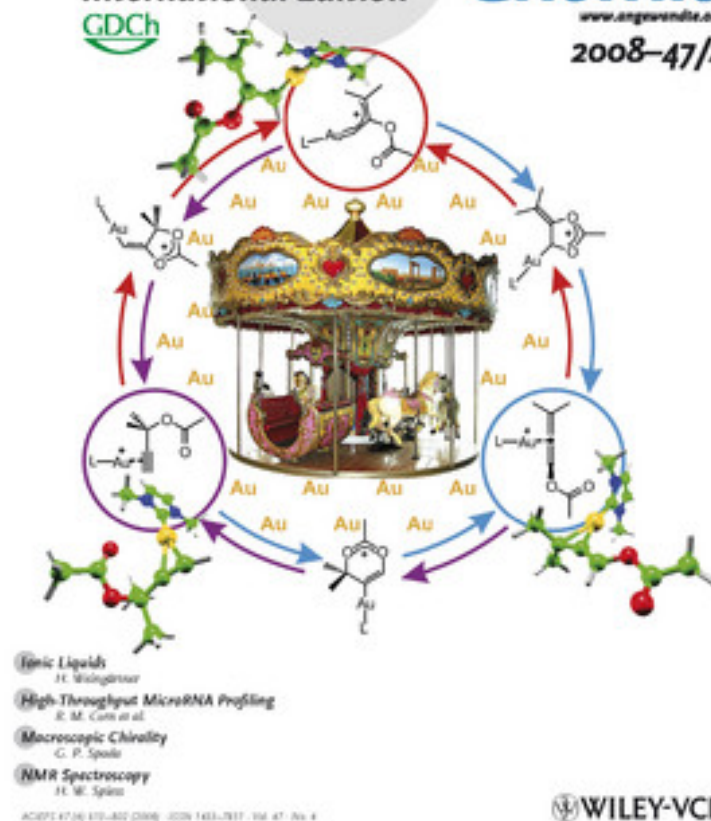
**A Golden Carousel**

Correa, Marion,  
 Fensterbank, Malacria,  
 Nolan, Cavallo  
*ANIE* **2008**, 47, 718  
 (Hot paper)  
 Submitted 16/08/07

# The Cationic Gold-Propargylic Ester Cycle

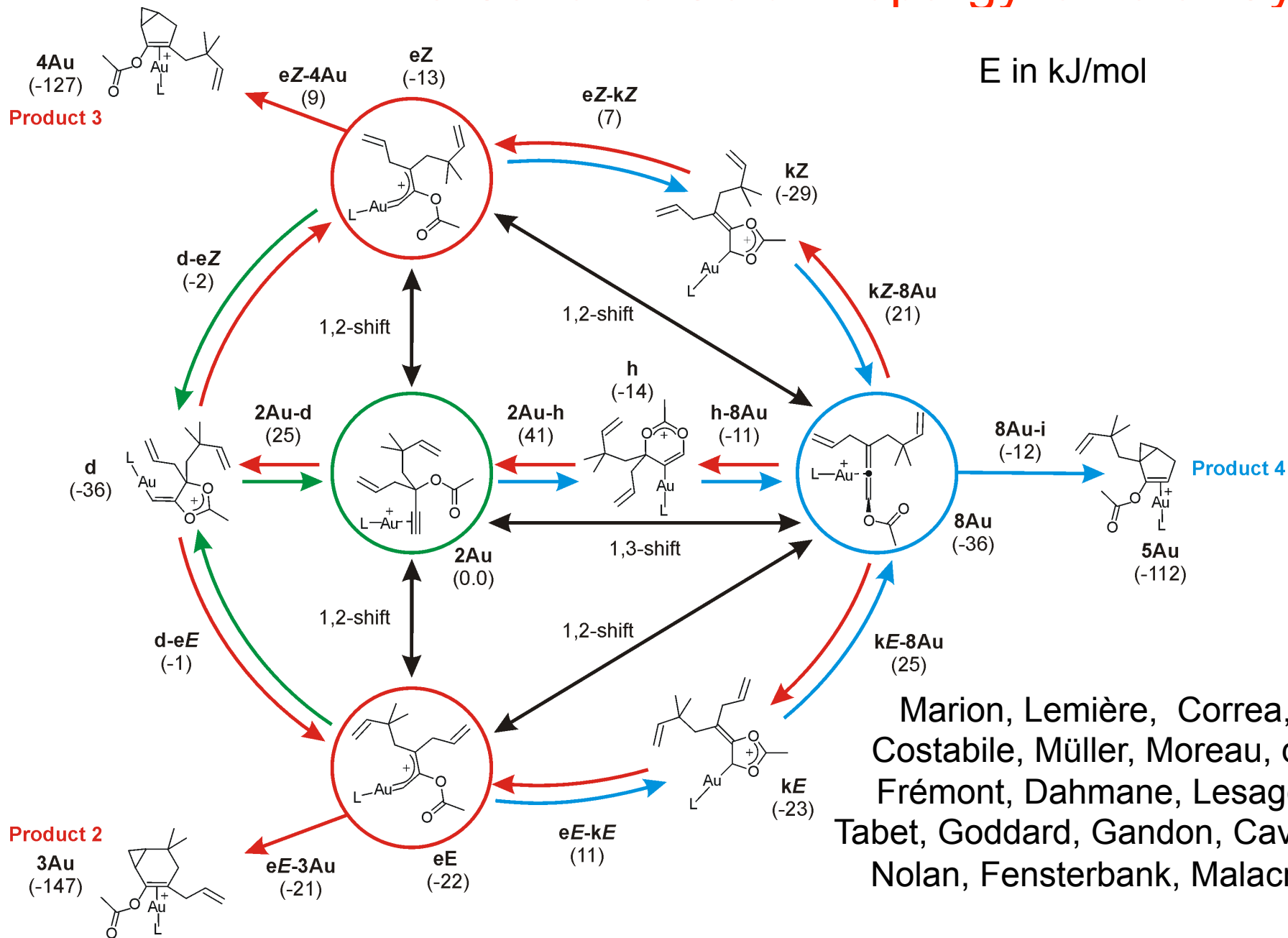


A Journal of the Gesellschaft Deutscher Chemiker  
**Angewandte Chemie**  
 International Edition  
 GDCh  
 www.angewandte.org  
 2008-47/4



Nolan, Cavallo  
**ANIE 2008, 47, 718**  
 (Hot paper)  
 Submitted 16/08/07

# The Cationic Gold-Propargylic Ester Cycle



Marion, Lemière, Correa,  
 Costabile, Müller, Moreau, de  
 Frémont, Dahmane, Lesage,  
 Tabet, Goddard, Gandon, Cavallo,  
 Nolan, Fensterbank, Malacria

*Chem. Eur. J.* **2009**, *15*, 3243.

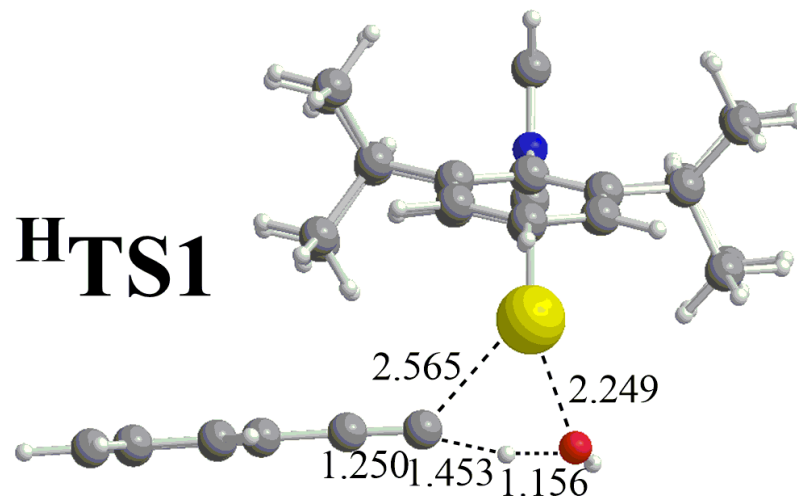
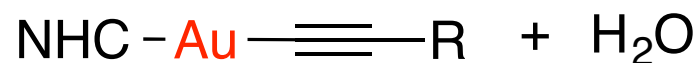
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How to best use these 3 years experience ?

## 2010 : Au promoted C-H activation

A versatile gold synthon for acetylene C-H bond activation

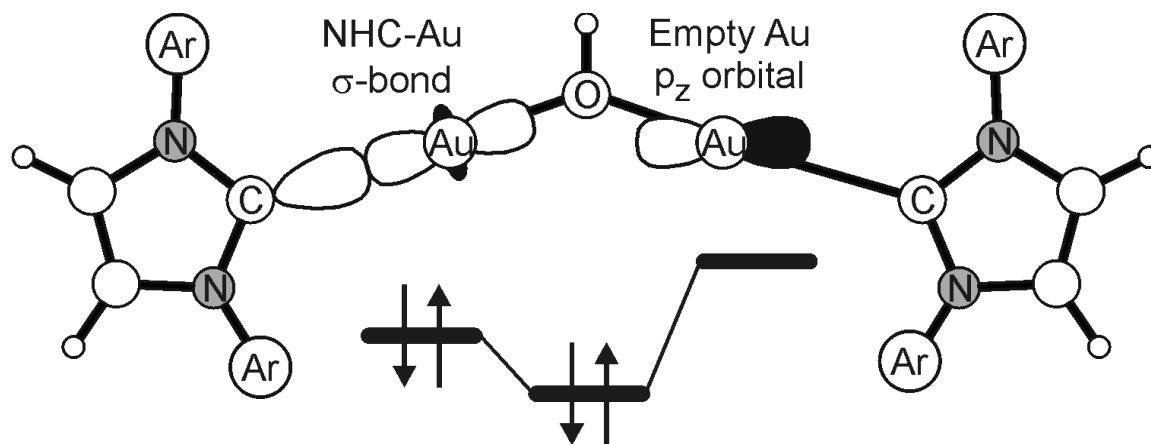
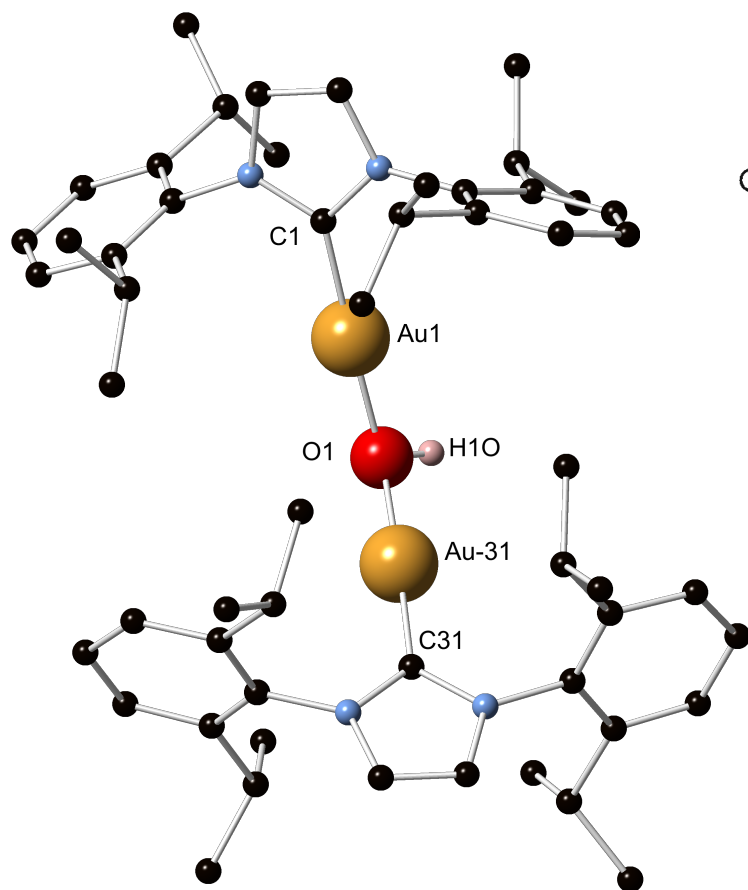


19.1

**Calculations indicated a concerted TS for C-H activation**

Fortman, Poater, Levell, Gaillard, Slawin, Samuel, Cavallo and Nolan  
*Dalton Trans.* **2010**, 39, 10382.

# 2011 : 1-Characterization of Au-dimers



A bond order of 0.14 between the Au centers indicated they interact.

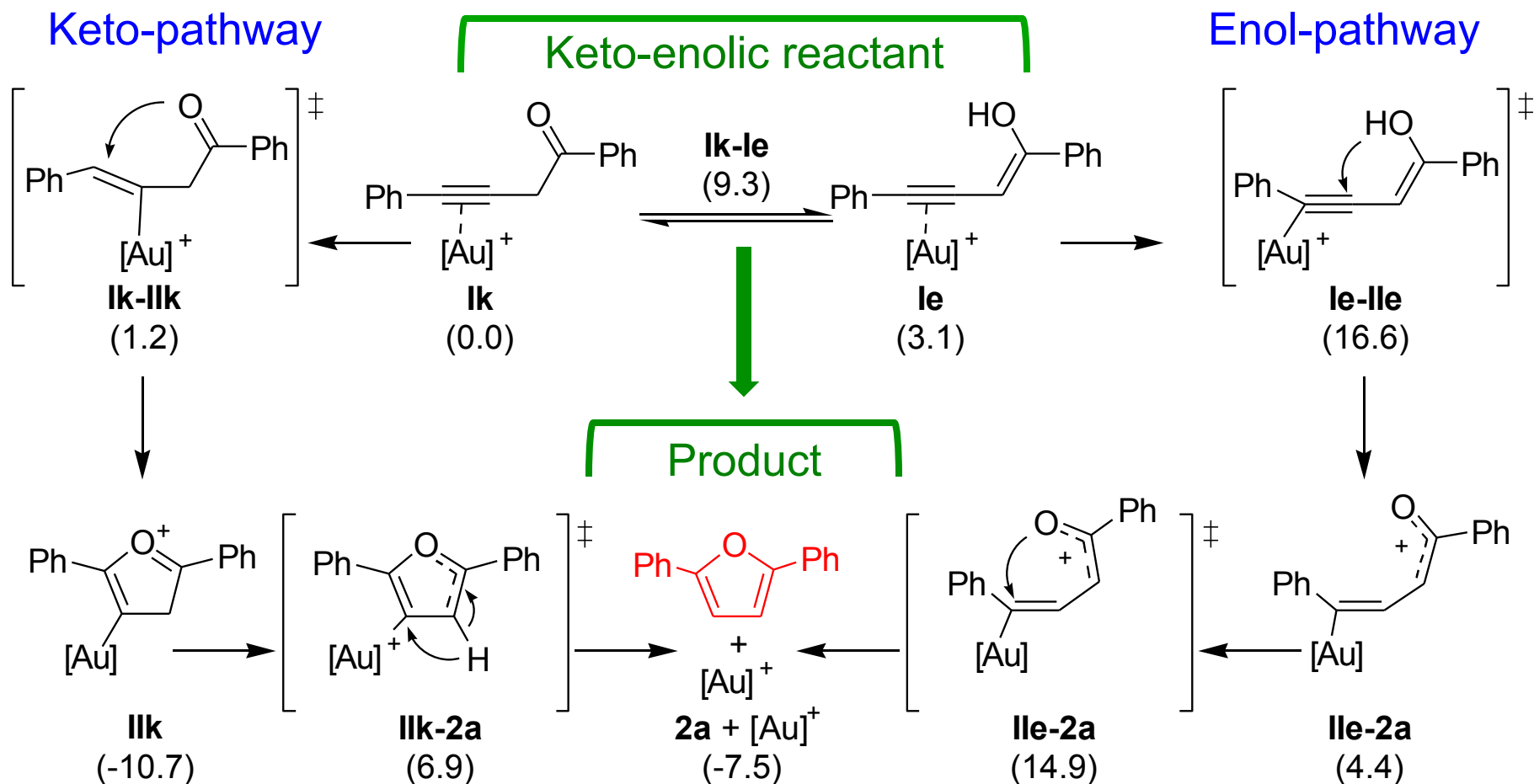
Donation is from each [Au(IPr)]  $\sigma$ -bond into an empty MO on the other Au center.

## Calculations gave insight on the bonding mode

[{Au(IPr)}<sub>2</sub>( $\mu$ -OH)]X Complexes: Synthetic, Structural and Catalytic Studies

Ramon, Gaillard, Poater, Cavallo, Slawin, Nolan *Chem. Eur. J.* **2011**, *17*, 1238.

# 2011 : 2-Synthesis of Furanes and Pyrroles



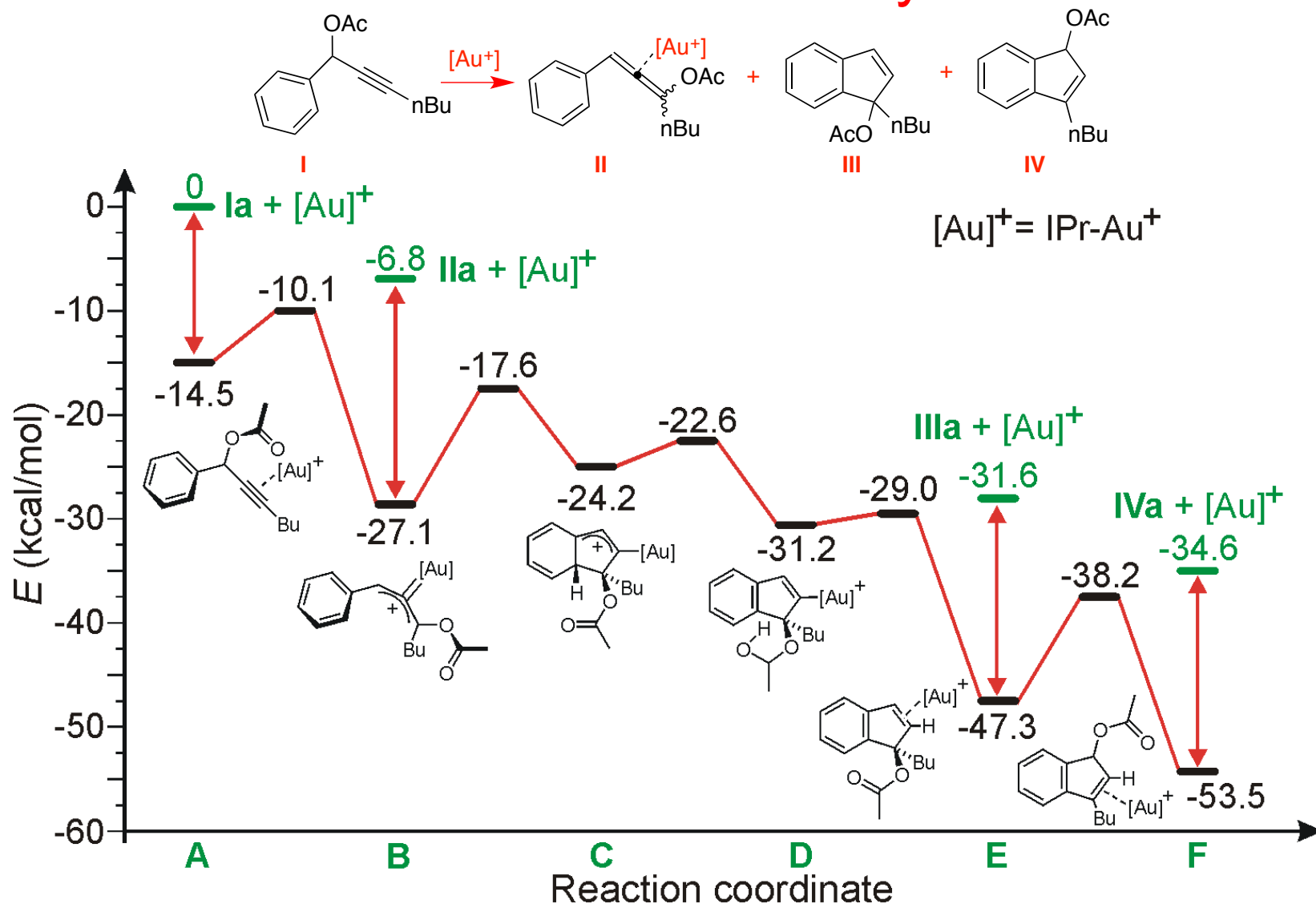
**Calculations indicated that the keto pathway is favored**

Gold(I)-catalyzed synthesis of furanes and pyrroles via alkyne hydration

Nun, Dupuy, Gaillard, Poater, Cavallo and Nolan *Catal. Sci. Tech.* **2011**, 1, 58.



# 2011 : 3-Synthesis of Indenes

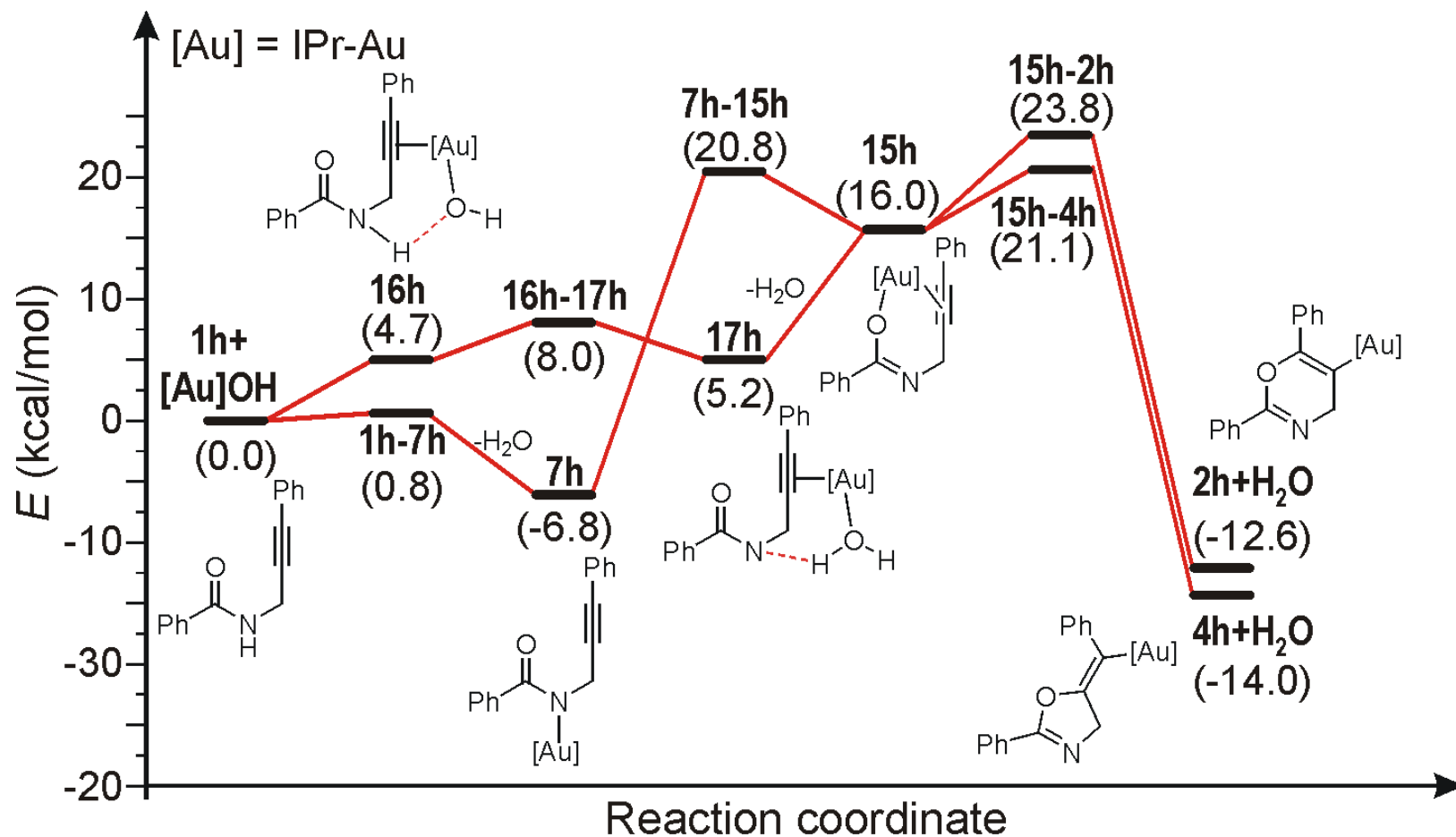


Insight on the Gold(I)-catalyzed synthesis of substituted indenes via [3,3] Rearrangement-Hydroarylation-[1,3] O-Acyl shift sequence.

Nun, Gaillard, Poater, Cavallo and Nolan *Org. Biomol. Chem.* **2011**, 9, 101.

## 2012 : Formation of another 5-membered ring

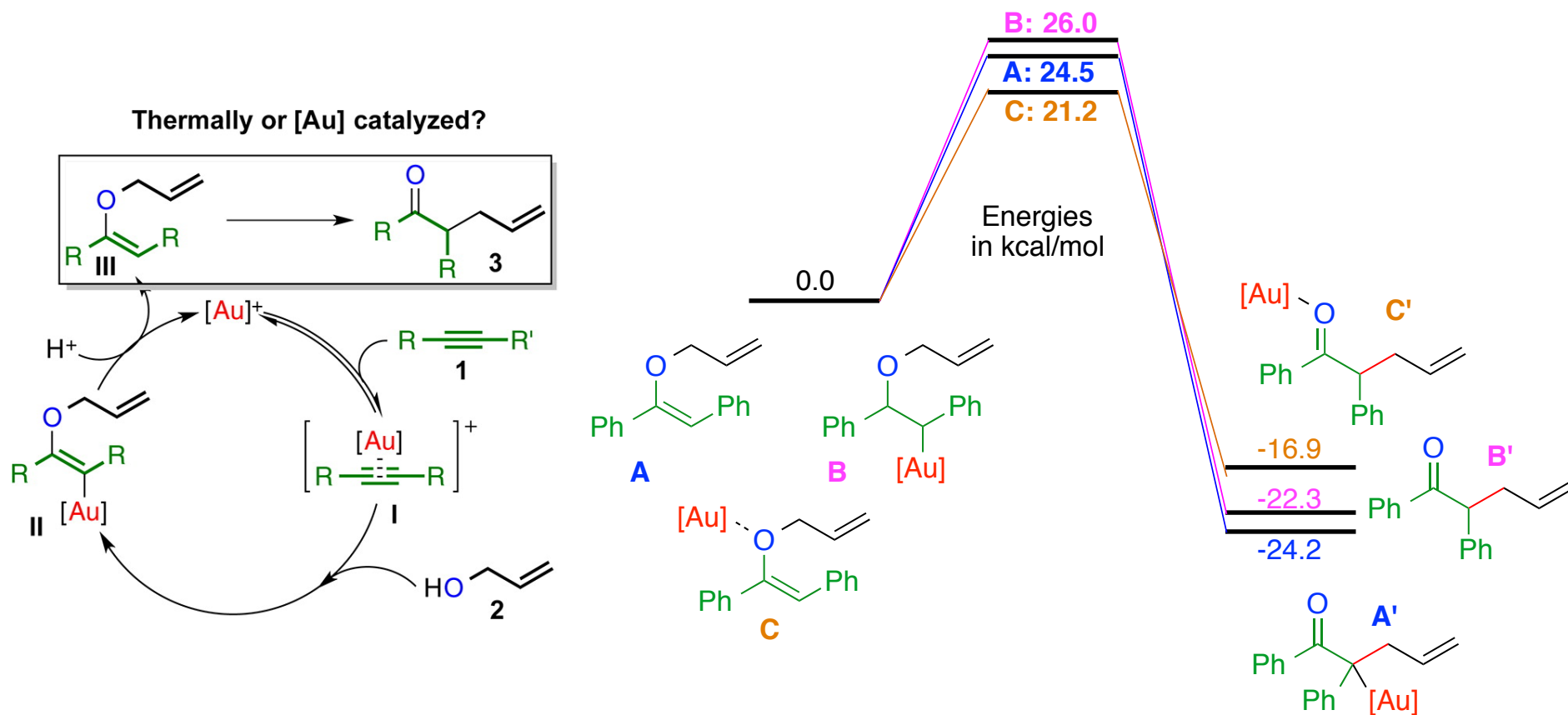
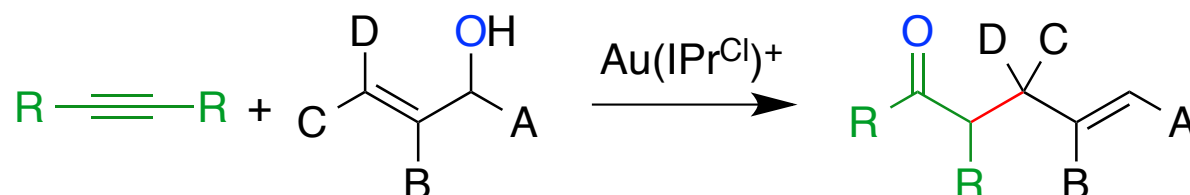
Calculations explained the switch to 5-exo cyclization with NHC-Au-OH versus the known 6-endo cyclization with NHC-Au-Cl



Selectivity Switch in the Synthesis of Vinylgold(I)-Intermediates

Hashmi, Schuster, Gaillard, Cavallo, Poater and Nolan *OM* **2012**, 30, 6328

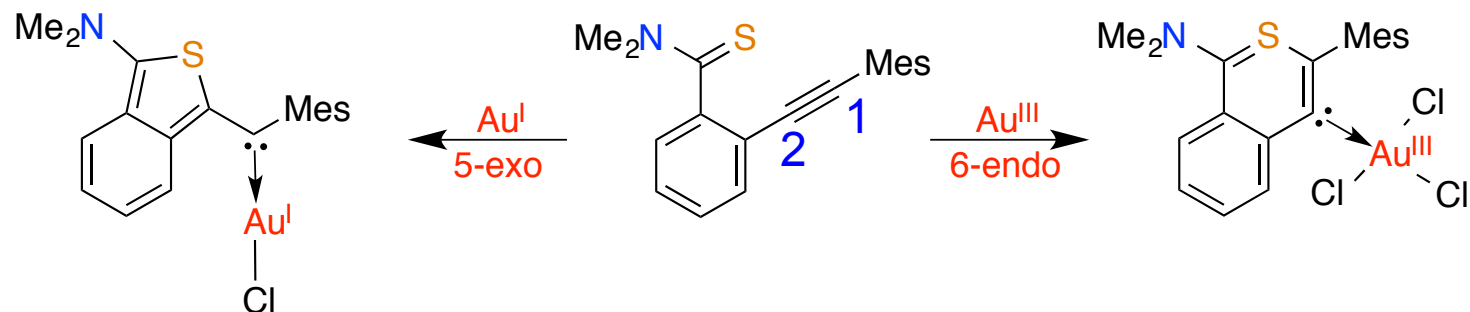
# 2014 : Synthesis of homoallylic ketones



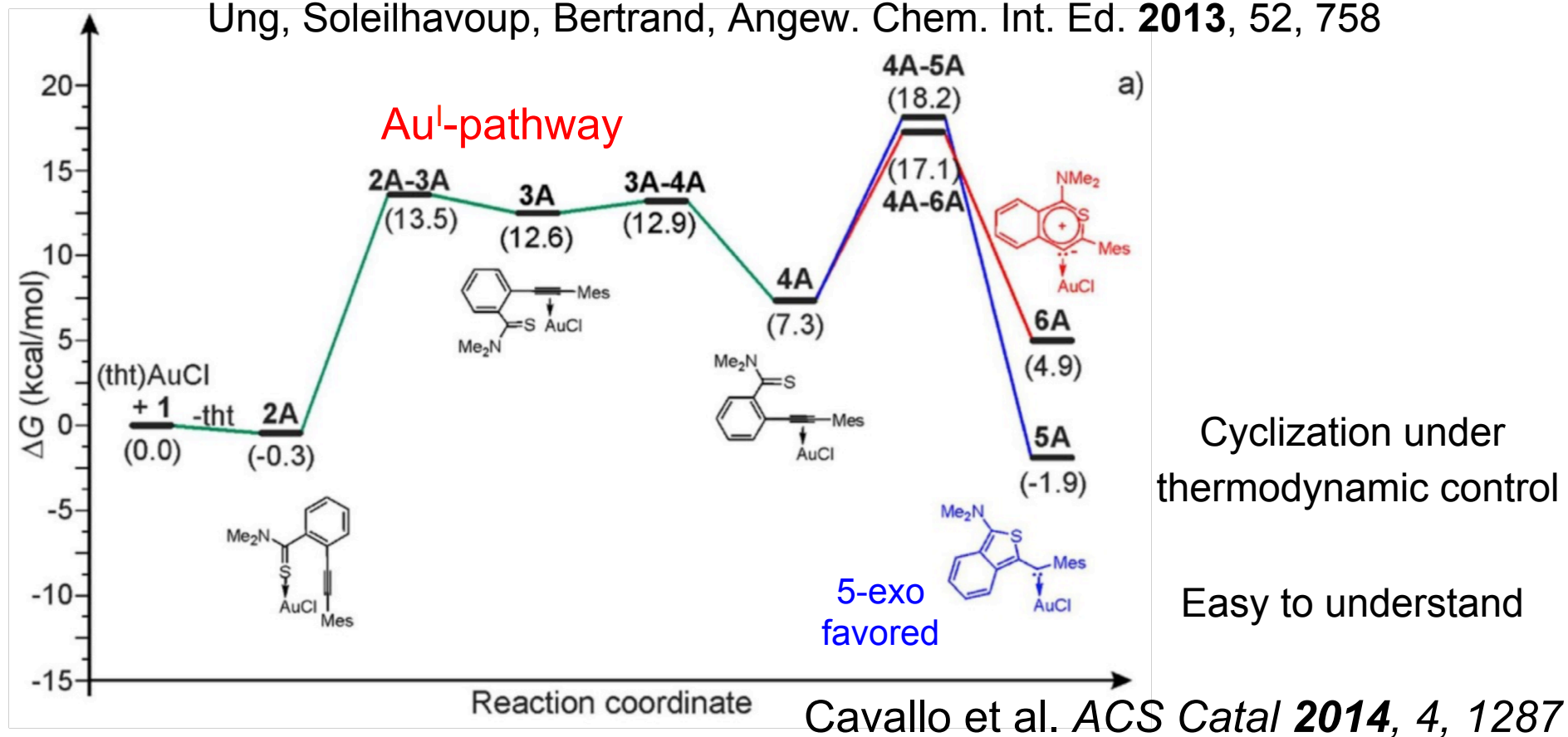
**Calculations indicated the Claisen step as assisted by Au-O interaction**

Gomez-Suarez, Gasperini, Vummaleti, Poater, Cavallo and Nolan  
*ACS Catal* **2014**, 4, 2701

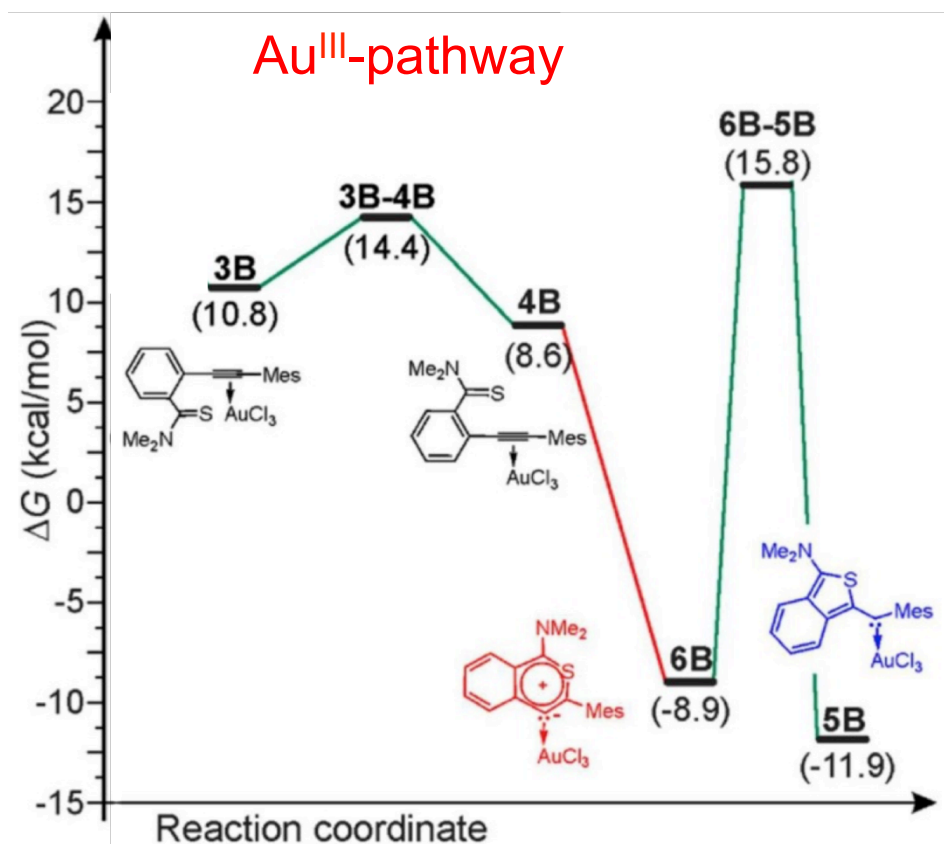
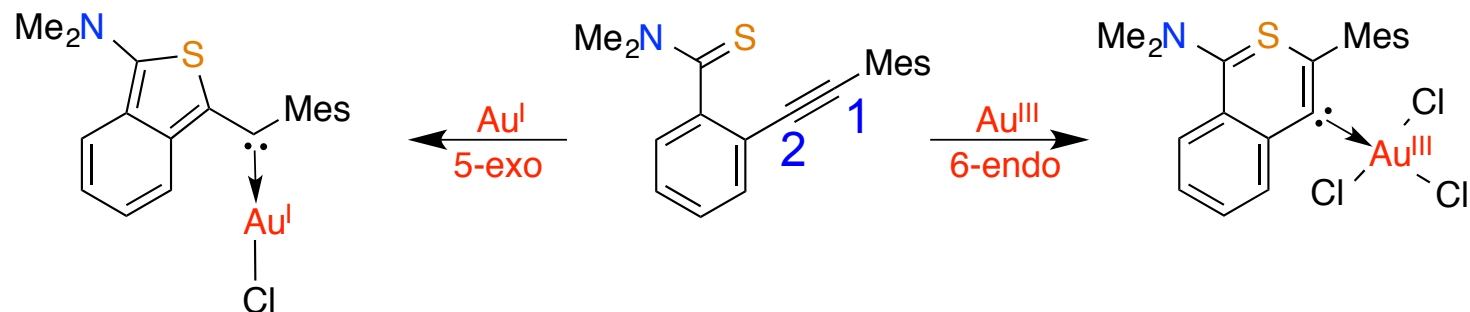
# 2014 : 5-exo vs 6-endo-dig cyclization-Au<sup>I</sup> vs Au<sup>III</sup>



The selectivity dictated by the oxidation state of the gold promoter  
 Ung, Soleilhavoup, Bertrand, *Angew. Chem. Int. Ed.* **2013**, 52, 758



# 2014 : 5-exo vs 6-endo-dig cyclization-Au<sup>I</sup> vs Au<sup>III</sup>



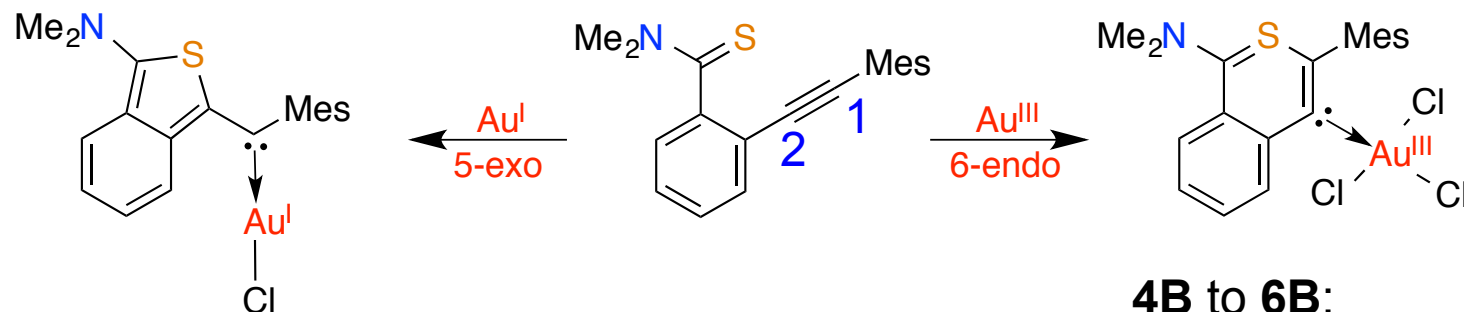
No way to find a TS connecting 4B to either 5B or 6B

We found a TS between 5B and 6B

MD trajectories started from TS 3B-4B collapse into 3B (stable) or 4B (after a while they collapse into 6B).

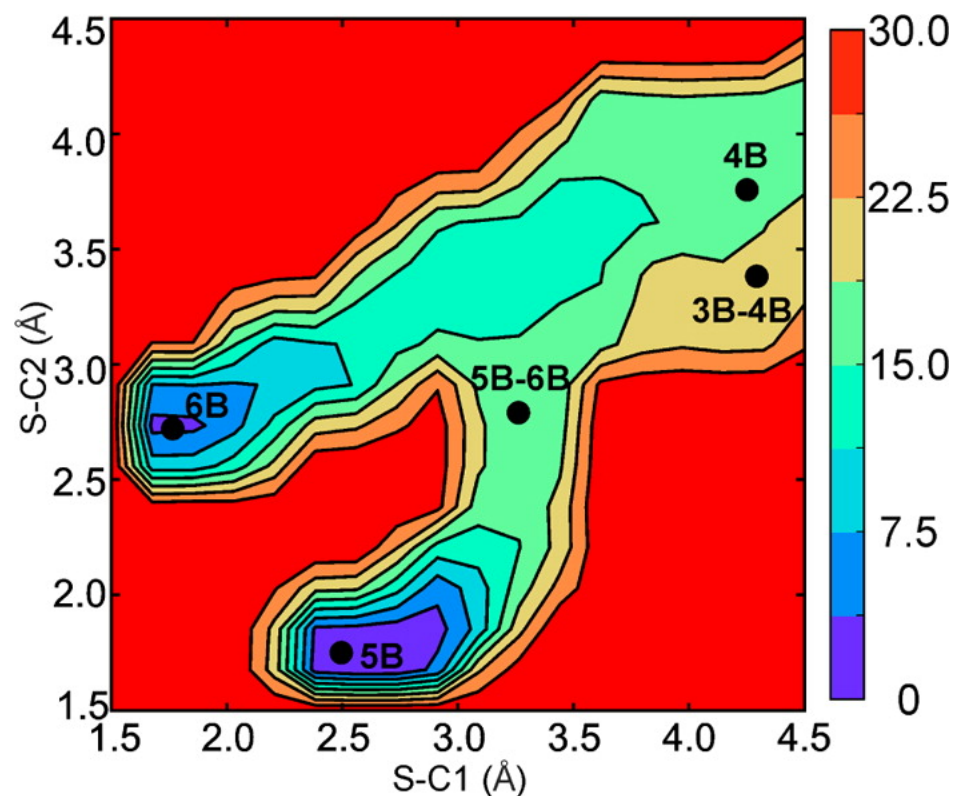
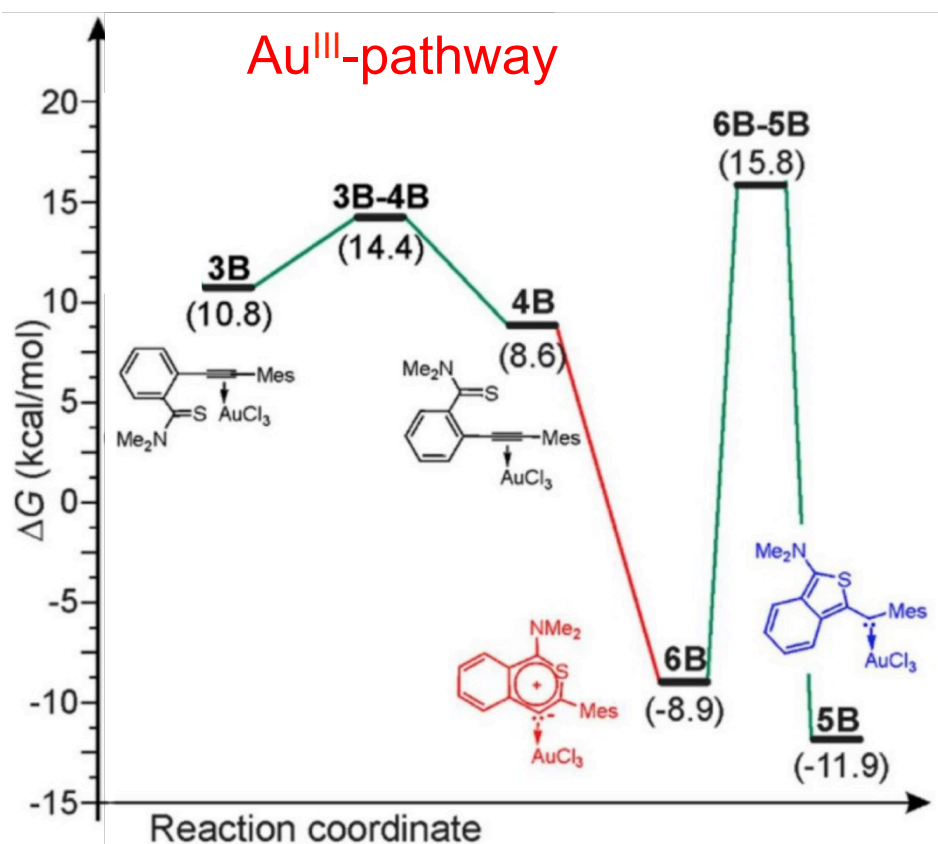
MD trajectories started from TS 5B-6B collapse into 5B (stable) or 4B (after a while they collapse into 6B).

# 2014 : 5-exo vs 6-endo-dig cyclization-Au<sup>I</sup> vs Au<sup>III</sup>



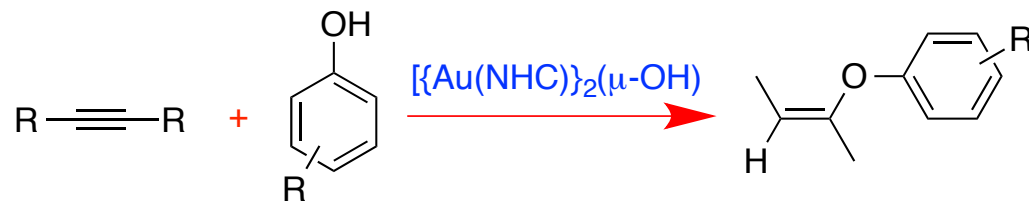
**4B to 6B:**

2 intermediates no TS.

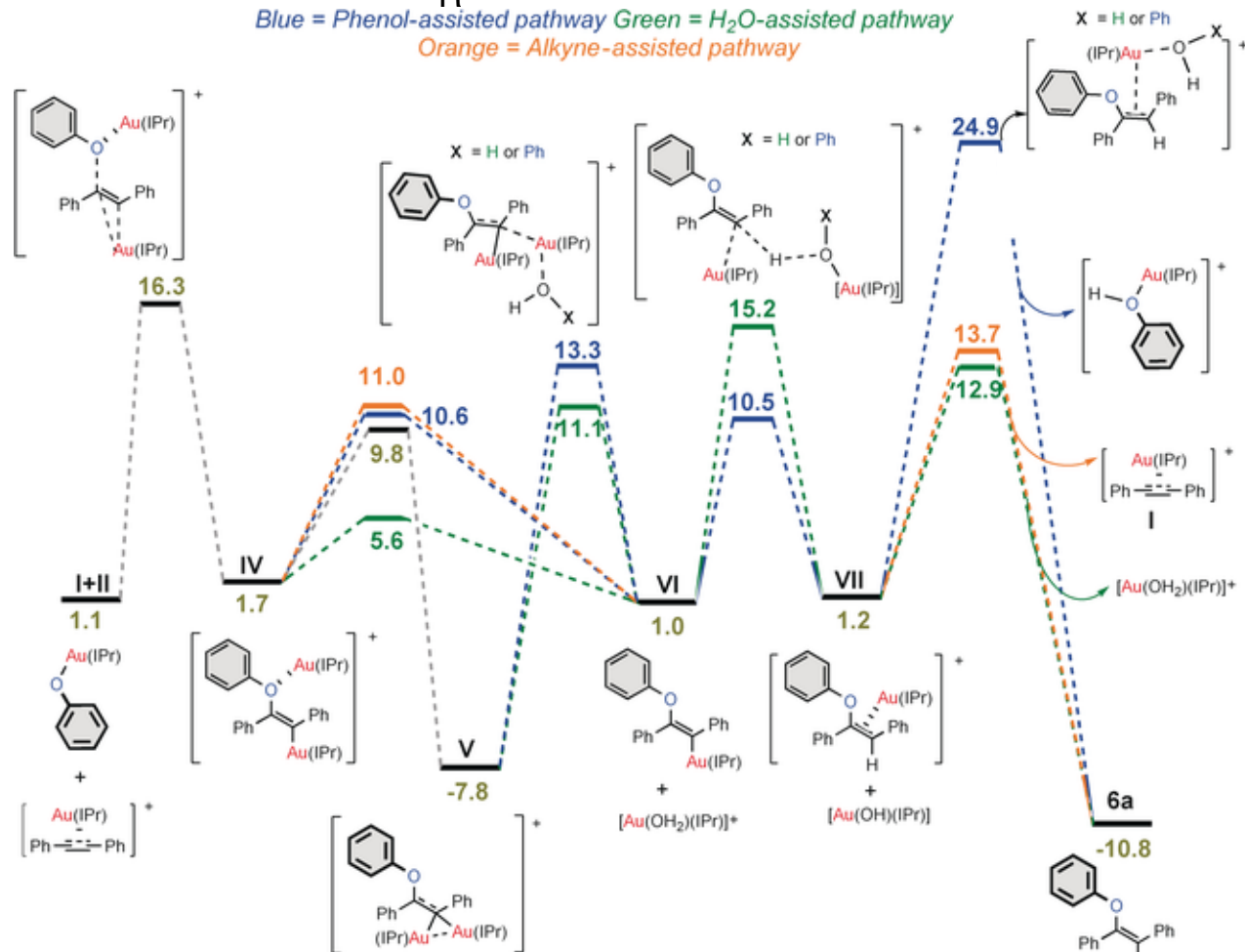


a) Carpenter PCCP 2011, 13, 20906. b) Nevado JACS 2010, 132, 4720. c) Goddard III, Toste, JACS 2010, 132, 13064. e) Houk JACS 2012, 134, 1078. f) Tantillo Nature Chem. 2014, 6, 104.

# 2016 : Di Au<sup>I</sup>-Hydroxide Hydrophenoxylation of Alkynes

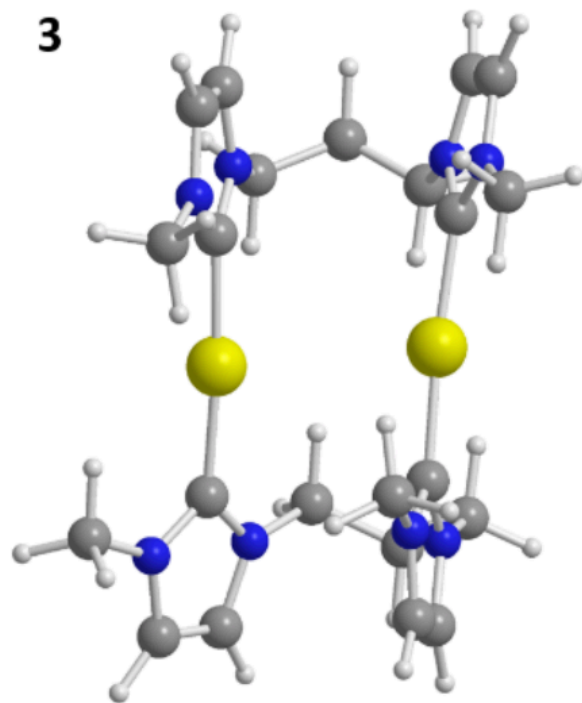
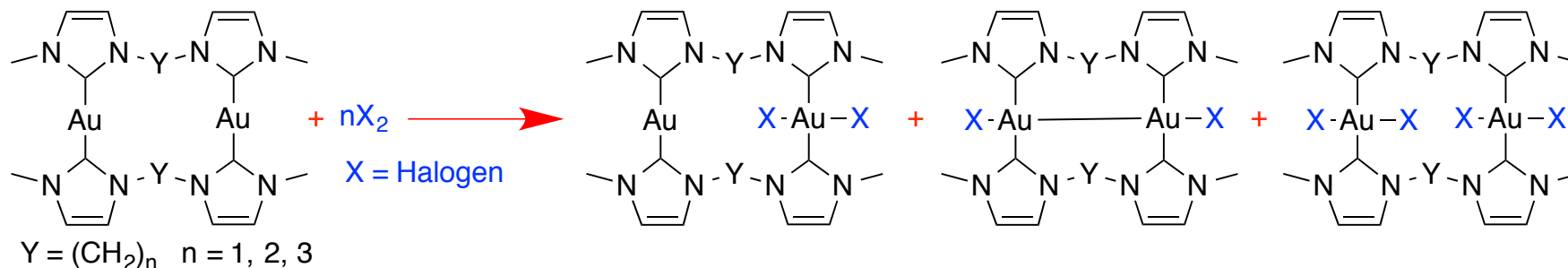


Blue = Phenol-assisted pathway  
 Green = H<sub>2</sub>O-assisted pathway  
 Orange = Alkyne-assisted pathway

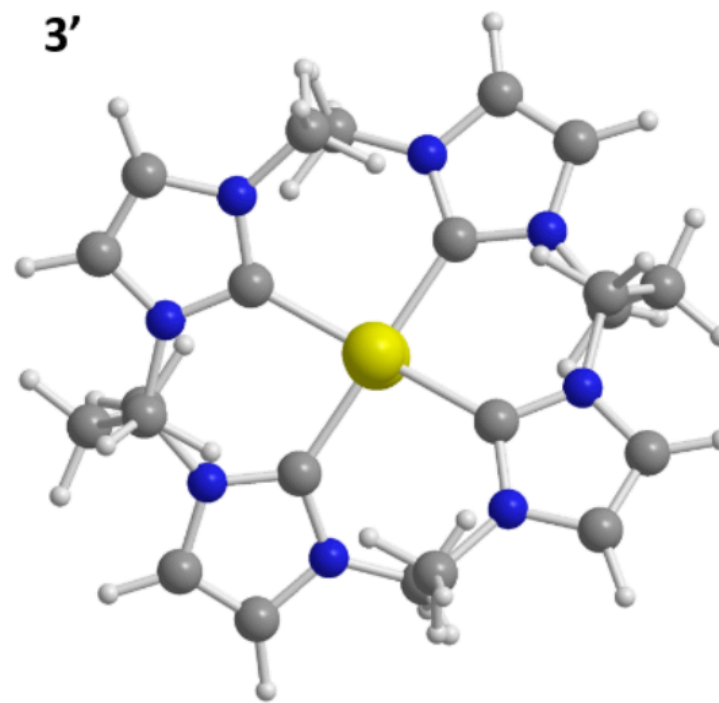


Di-gold mechanism possible, PhOH or H<sub>2</sub>O can assist the reaction  
 Cavallo, Nolan et al. Chem. Eur. J. 2016, 22, 1125–1132.

# 2016 : Halogen Addition to bis-Au<sup>I</sup> (NHC) Complexes



$\pi$ - $\pi$  stacked rings



Staggered rings

Rationalizing the product distribution based on linker length

Cavallo, Biffis, Tubaro et al. Chem. Eur. J. 2016, 22, 1125–1132.



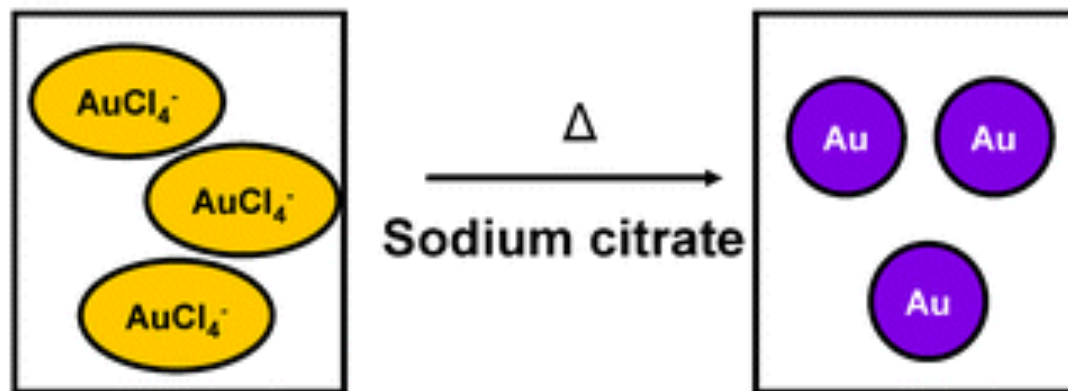
# A few facts about gold NP

## Applications

- Gold NP have applications in almost any branch of current science
- About 30,000 entries in physics, 70,000 in chemistry (Web of Science )

## Synthesis

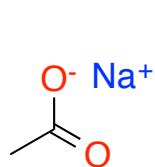
- The most popular protocol is the Turkevich method



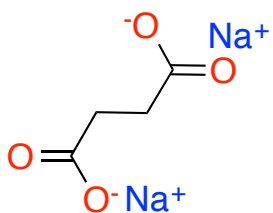
- Surprisingly, clear and detailed characterization of the Au-citrate at the surface of the AuNP not achieved yet. Most updated work:

Park J. W. et al. *J. Am. Chem. Soc.* **2014**, 136, 1907.

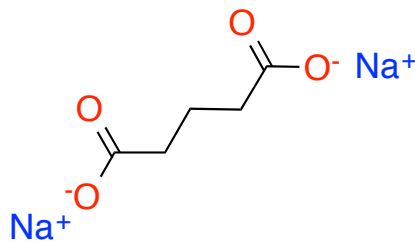
# 2017 : Citrate-Au interaction in Au nanoparticles



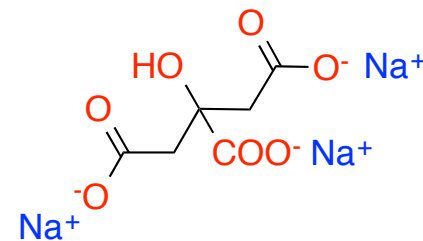
Acetate



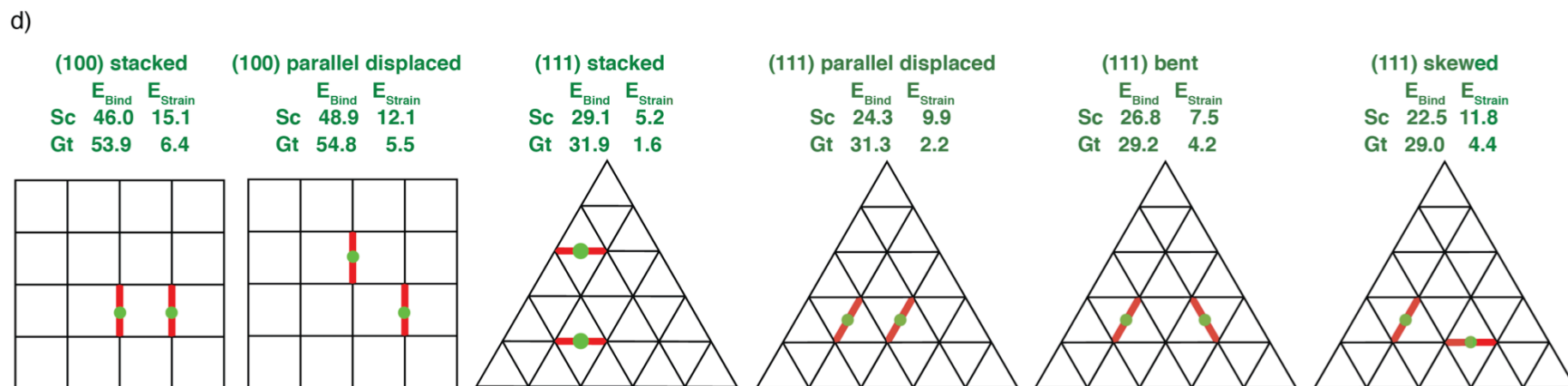
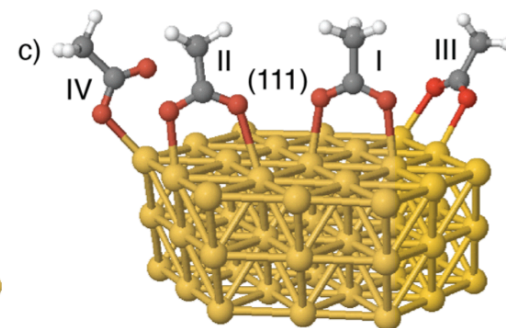
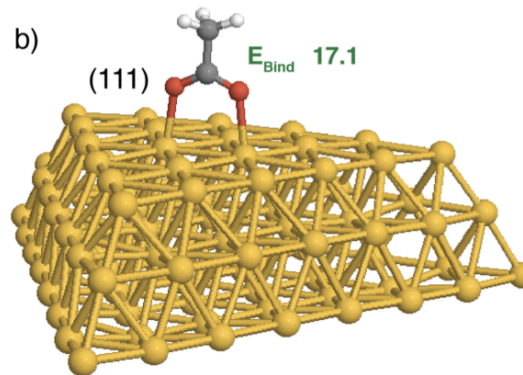
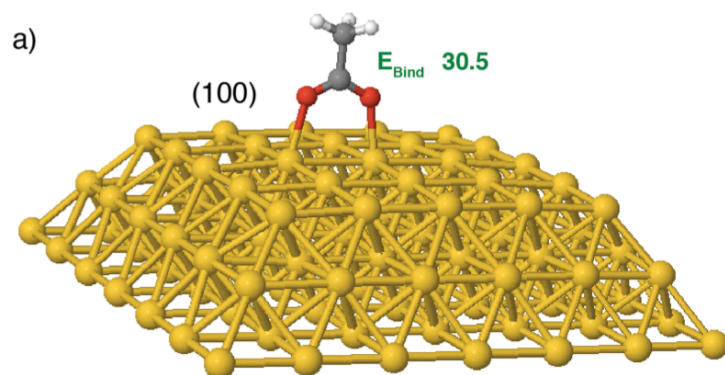
Succinate



Glutarate



Citrate

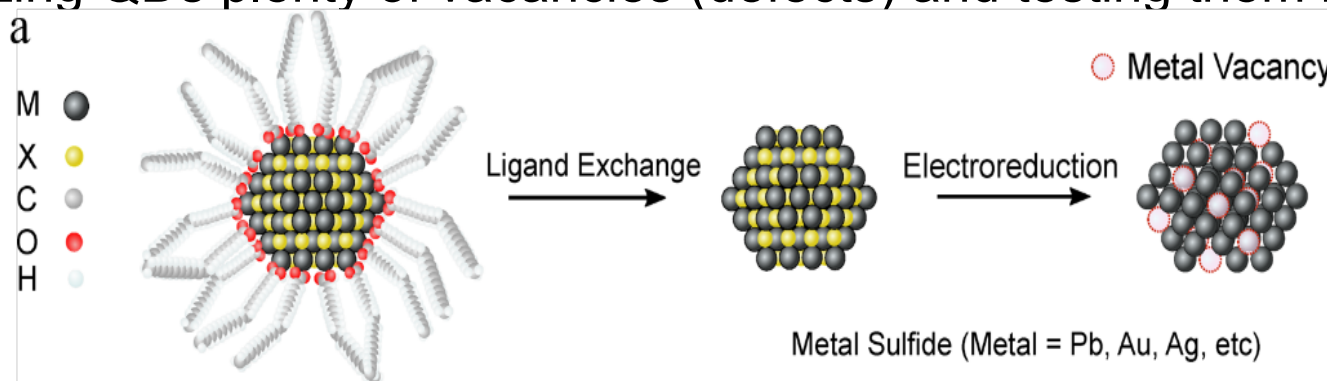


DFT indicates that bicarboxylates can assume a variety of binding modes

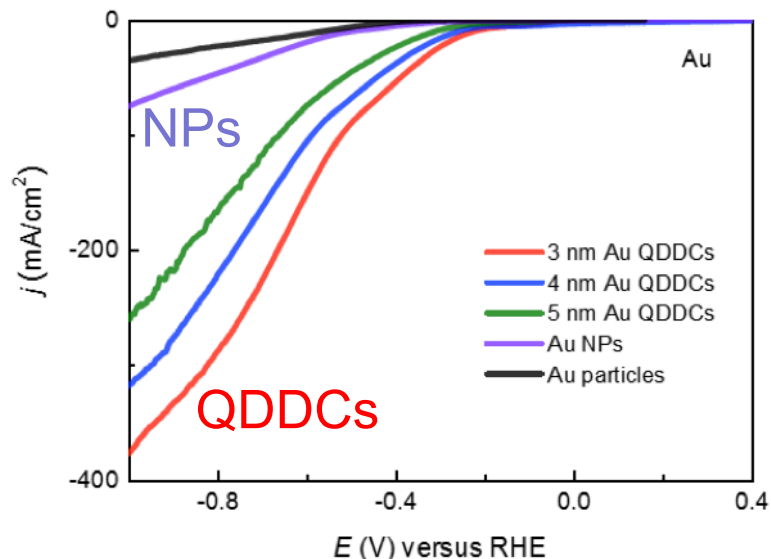
Cavallo, Basset, Emsley et al. Nat. Chem. 2017, 9, 890.

# 2017 : Quantum Dot Derived Catalysts

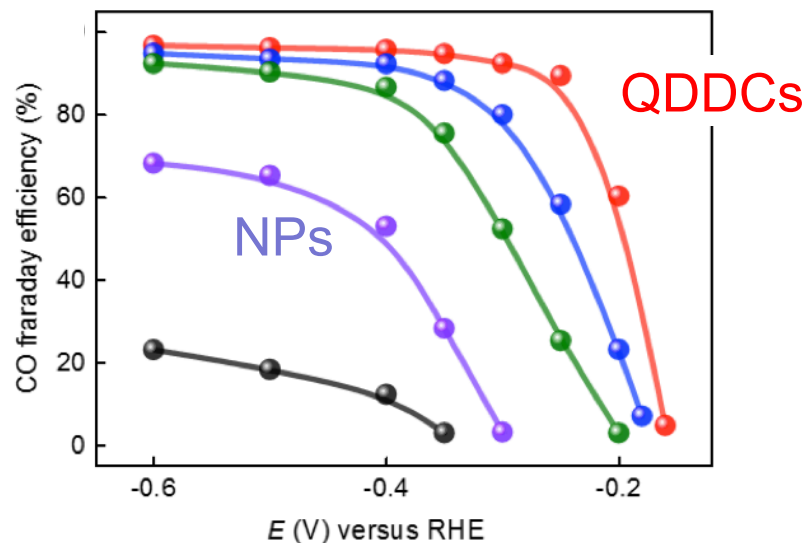
In heterogeneous catalysis it is accepted that very active sites can be defects. Synthesizing QDs plenty of vacancies (defects) and testing them in catalysis



## Performance of Au QDDCs in CO<sub>2</sub> electrochemical reduction



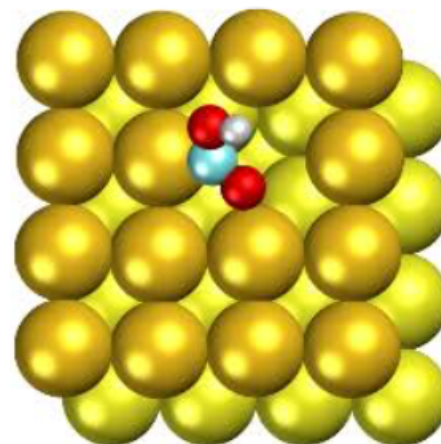
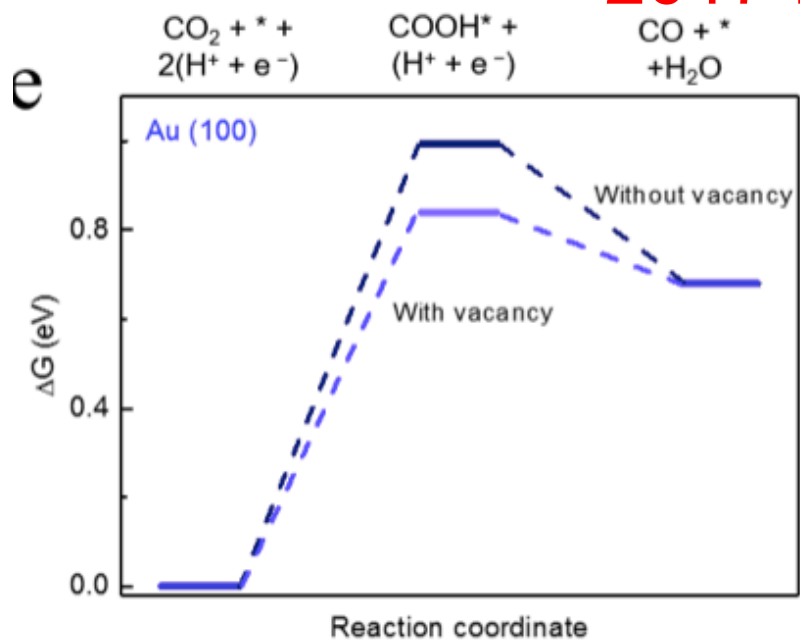
Lower on set potentials for QDDCs compared to NPs



Higher Faradic efficiency for QDDCs compared to NPs

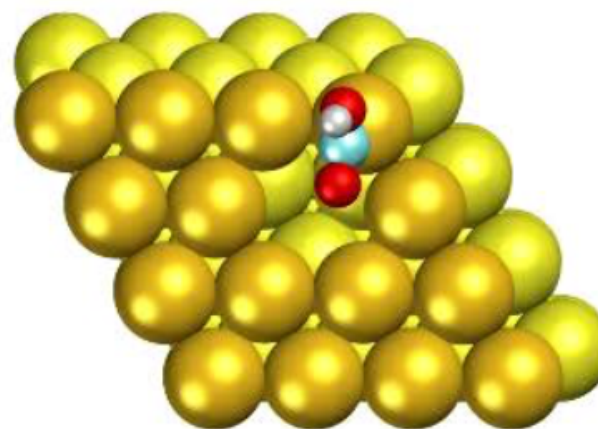
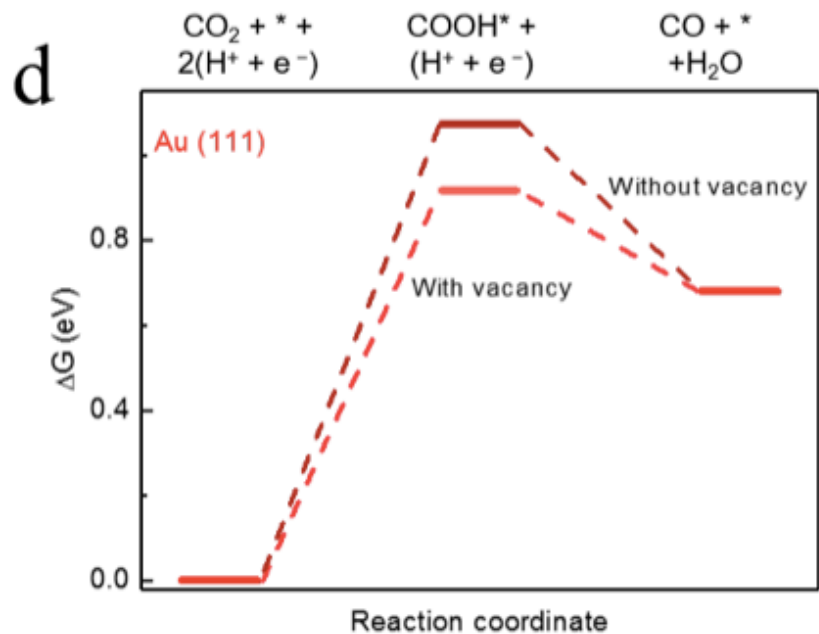
Cavallo, Sargent et al. Submitted

# 2017 : Quantum Dot Derived Catalysts



Au (100)

A defect stabilizes COOH adsorption



Au (111)

# Outline

- Introduction
- The Call for Help
- The Golden Carousel
- After the Puzzle
- **Conclusions**

# Conclusions

Entering a new field can be sometimes difficult.



Hitting the ground hurts...



# Conclusions

But if you keep practicing....



...one day you will master it, finally !



# Acknowledgments



## Hard work

Albert Poater Laura Falivene Abdesslem Jedidi

## Stimulating collaboration/discussions

Steve Nolan (Ghent University)  
Andrea Biffis (University of Padova)  
Lyndon Emsley (EPFL)  
Jean Marie Basset (KAUST)  
Ted Sargent (U Toronto)

## Support

The KAUST, SABIC

## People here

For your kind attention



UNIVERSITY OF  
TORONTO



ÉCOLE POLYTECHNIQUE  
FÉDÉRALE DE LAUSANNE



Questions ?

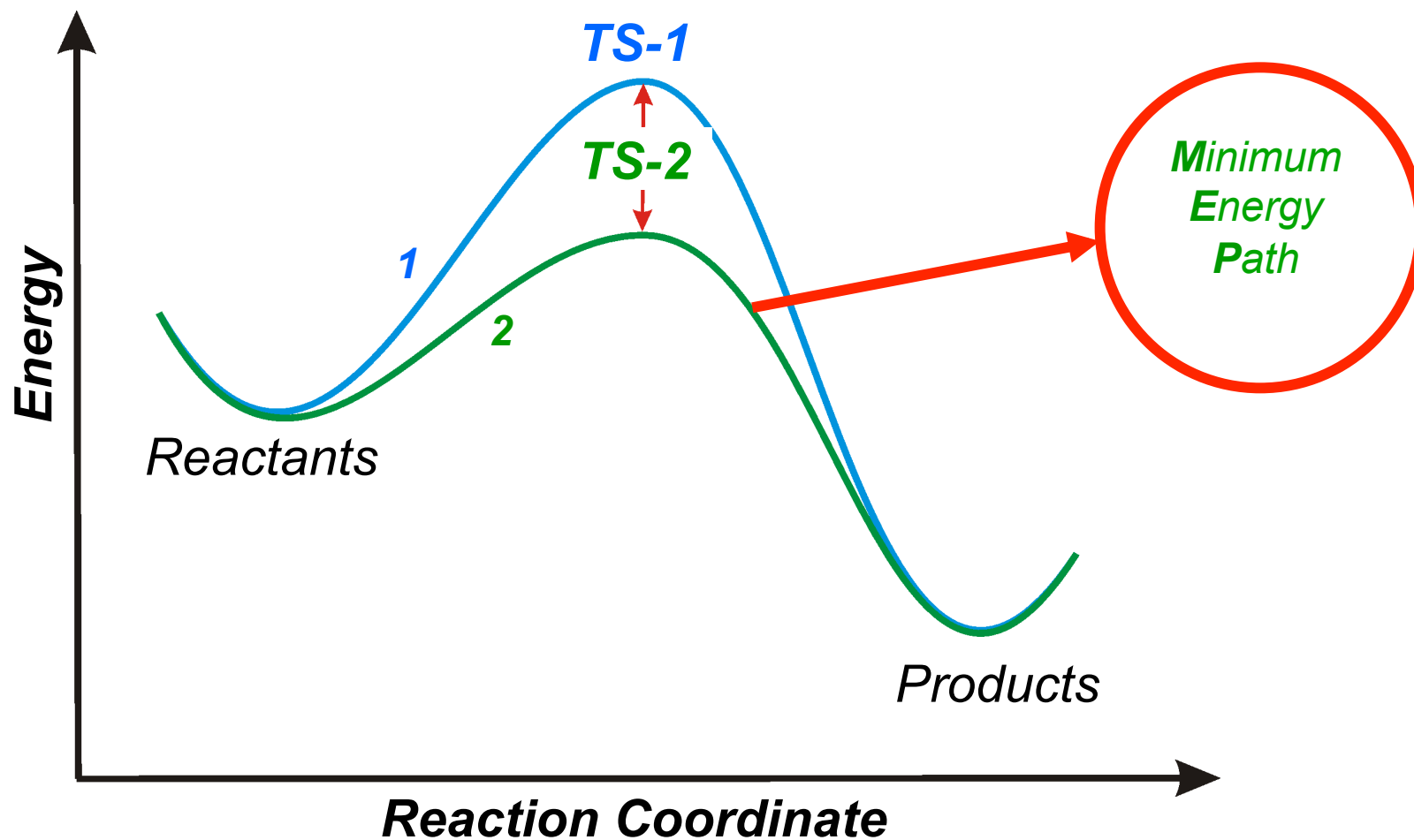
## Being a computational chemist for a day

- Introduction
- Quiz 1 : Geometries
- Quiz 2 : Energies
- Quiz 3 : Predicting selectivity
- Quiz 4 : Understanding selectivity
- Conclusions

## How do we study a reaction ?

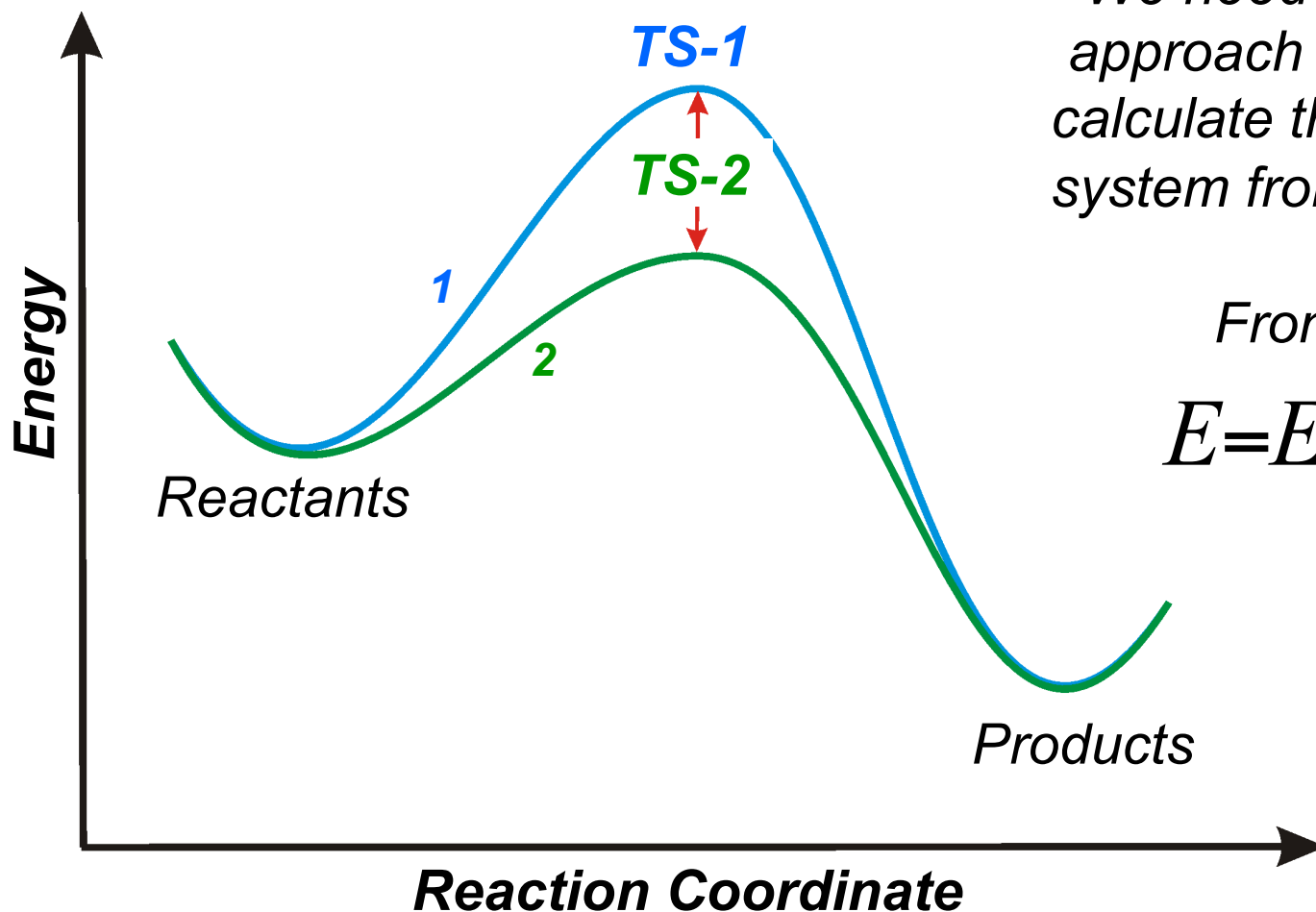
In the simplest description, a chemical reaction takes place along the lowest path connecting the reactants and the products passing for the lowest TS

The reaction surface can be determined by **static** or **dynamics** approaches



## How do we study a reaction ?

All intermediates and transition states involved in the chemical process are calculated separately.

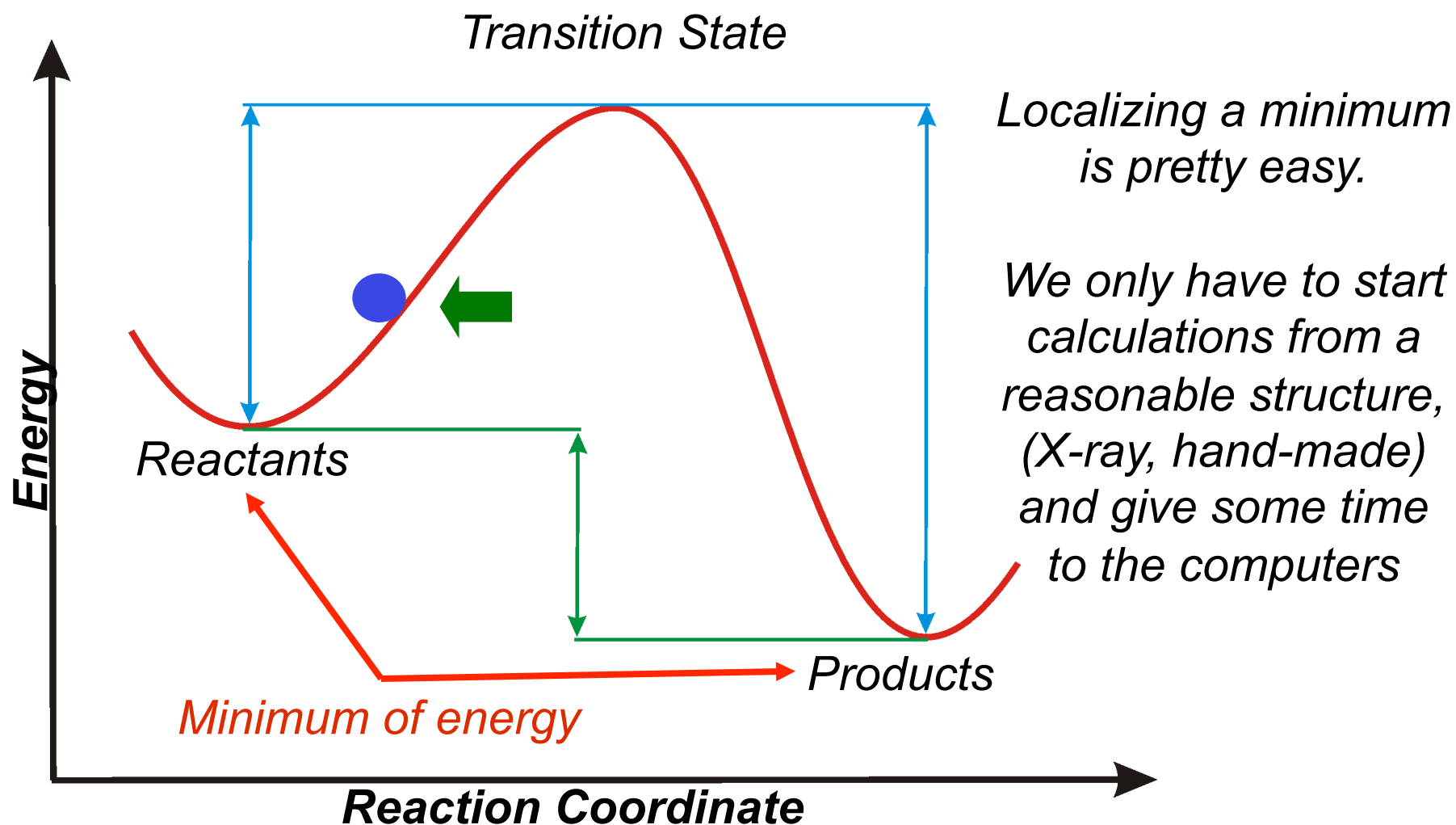


We need a theoretical approach that allows to calculate the energy of a system from coordinates

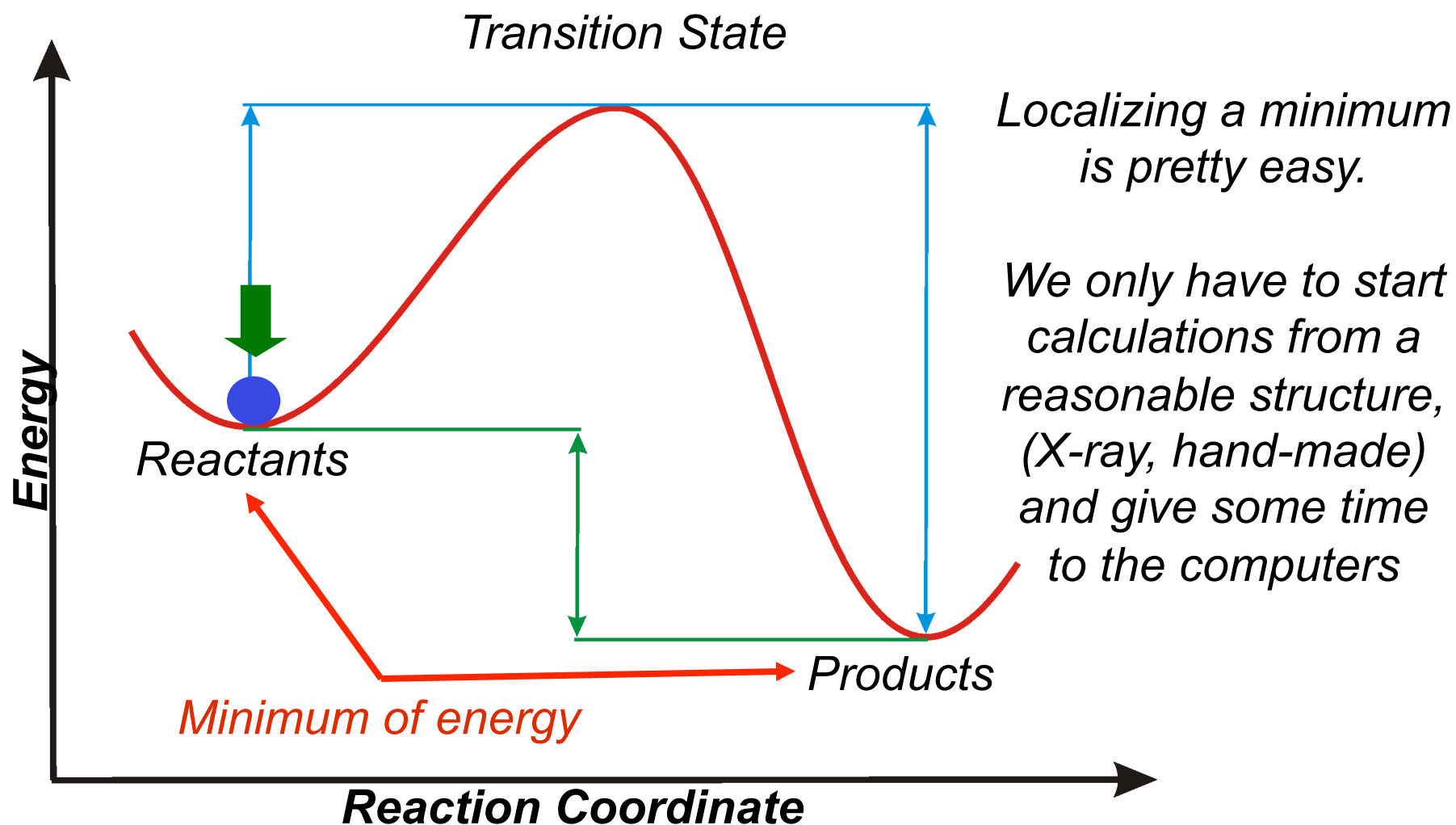
From DFT :

$$E = E[\rho(r_i)]$$

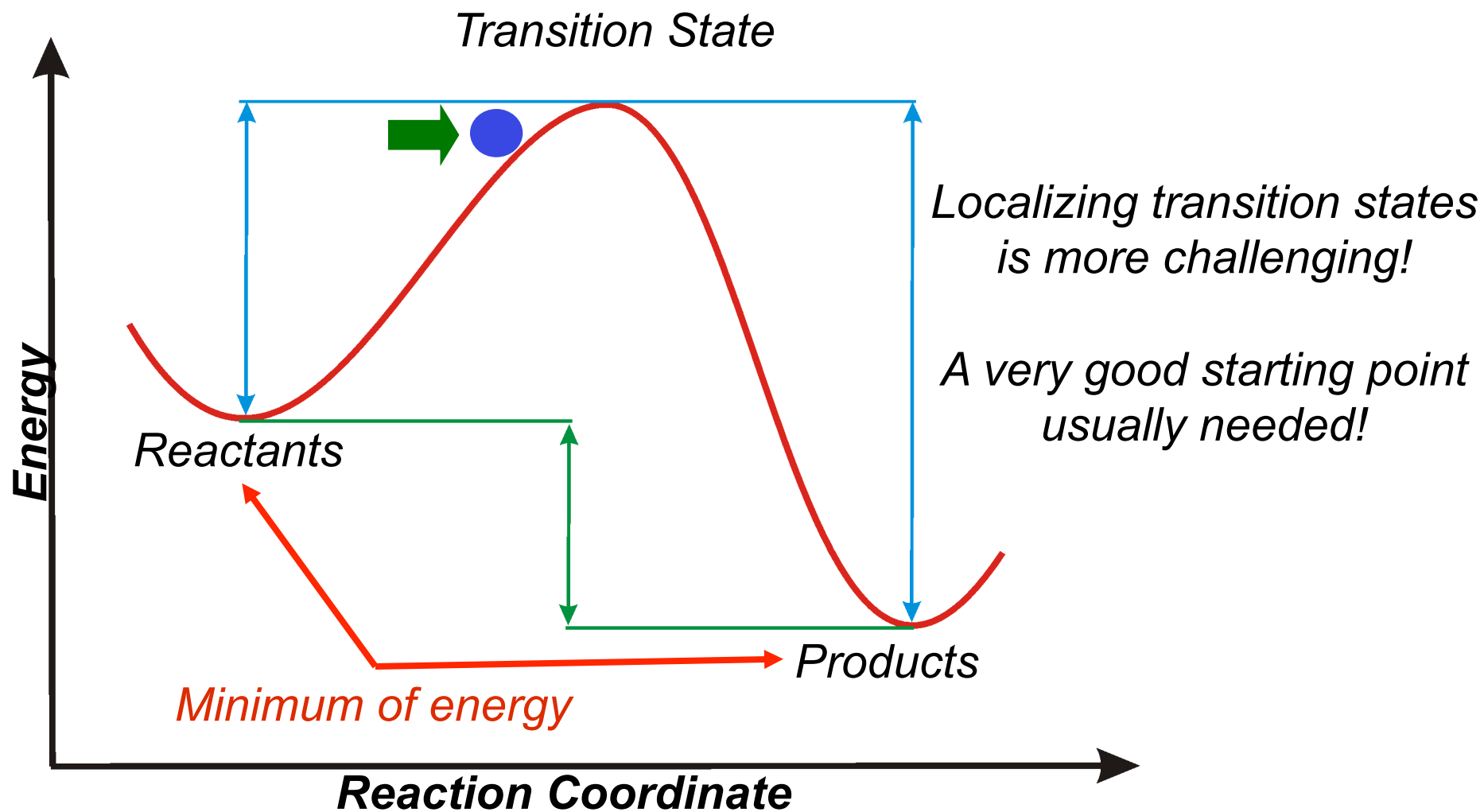
## *Finding intermediates*



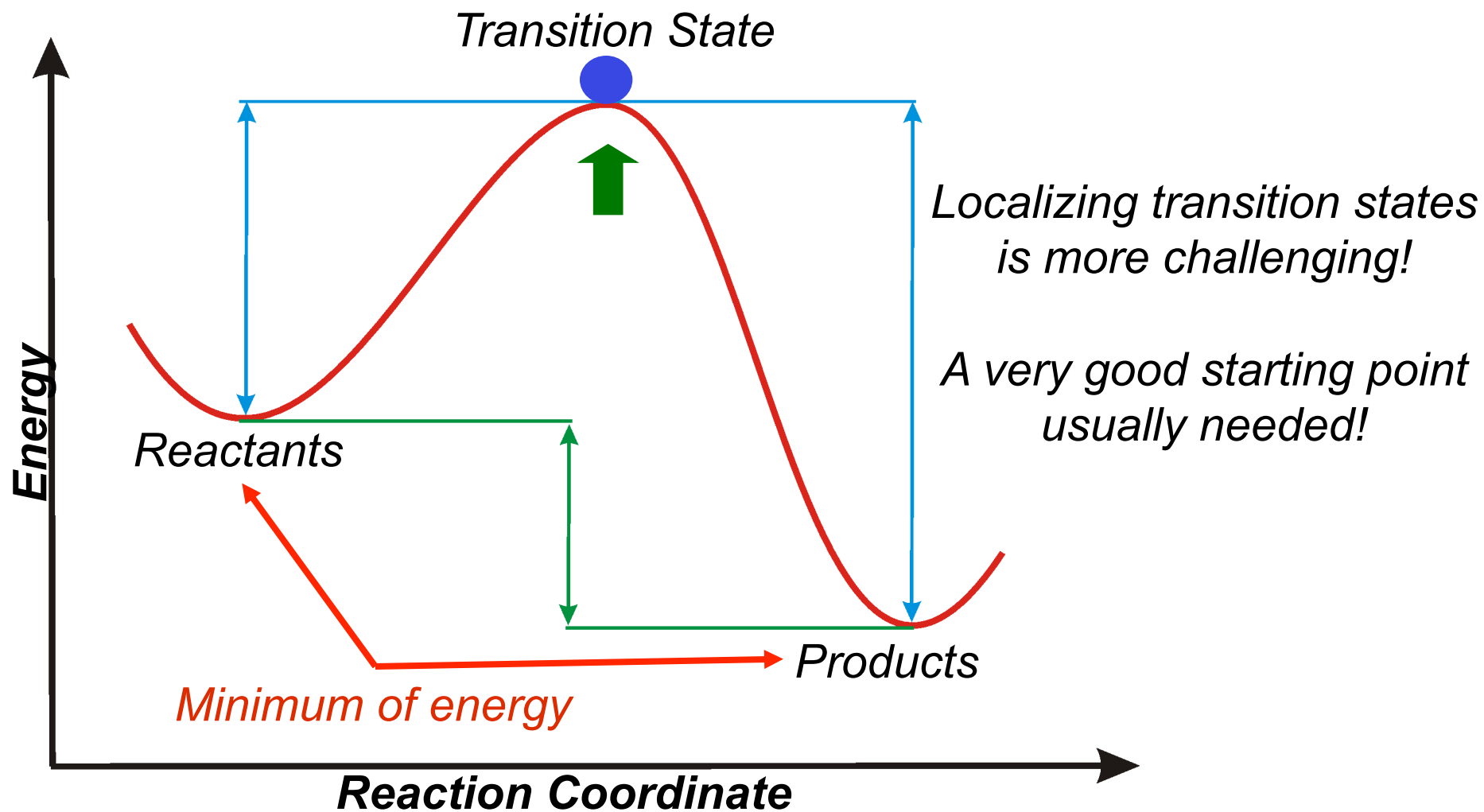
## *Finding intermediates*



## Finding transition states

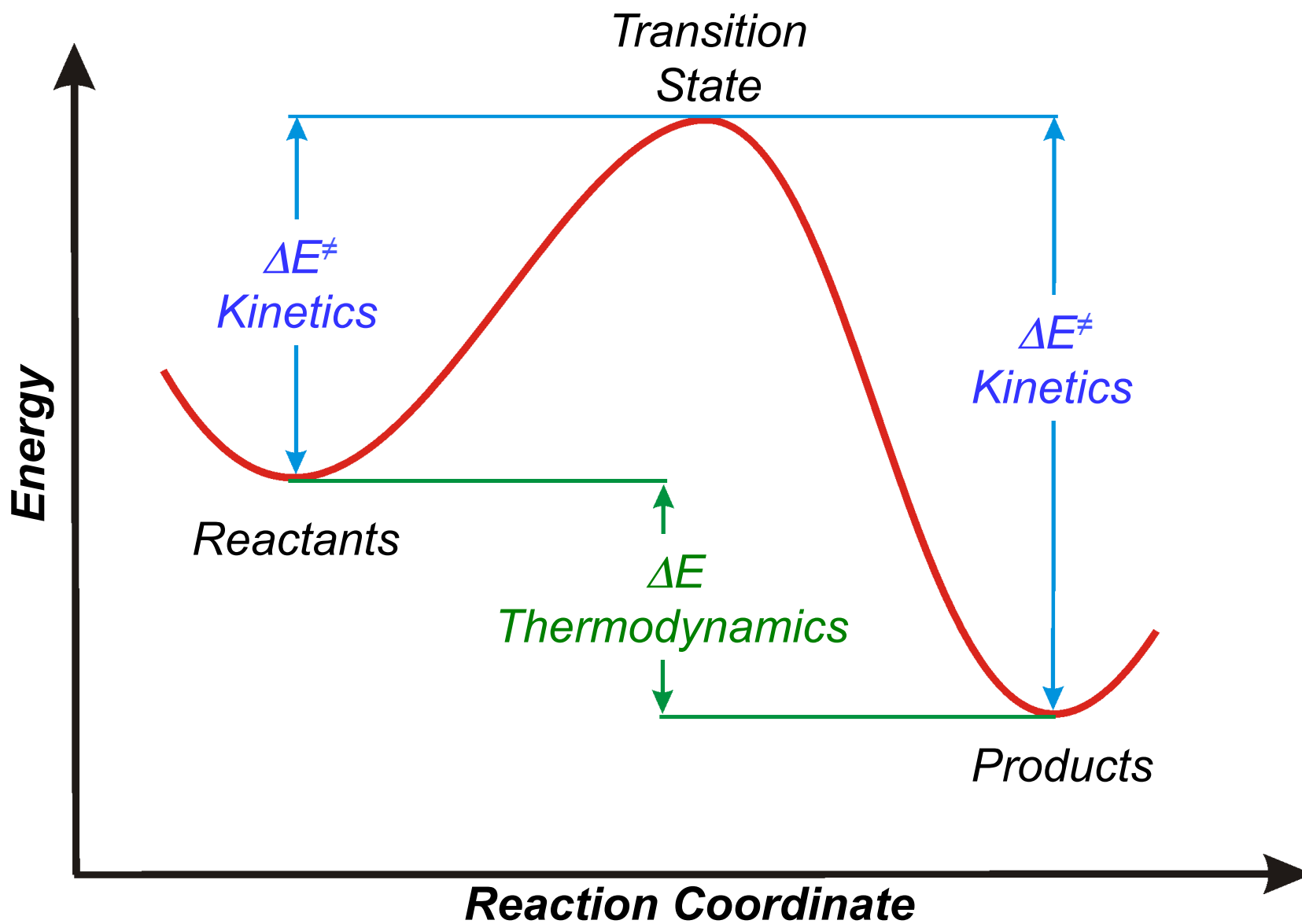


## *Finding transition states*

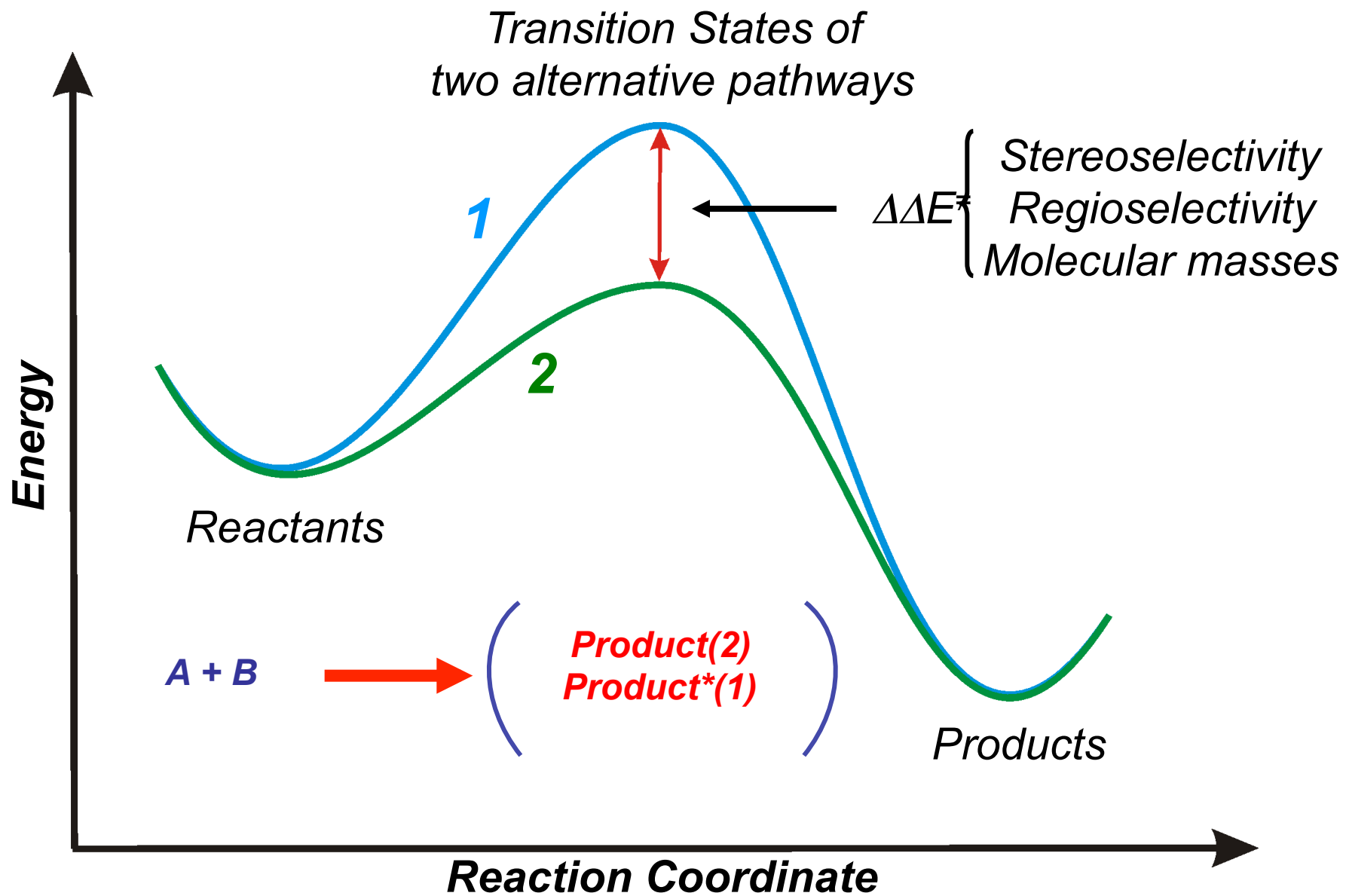




*What we get from an energy plot*



## Understanding selectivities



Looks easy... where are the problems ?

Geometries obtained from DFT calculations are extremely reliable.  
**But... are you sure that you get the right structure ? (Quiz 1)**

DFT energies are usually quite accurate.  
**But... how to trust this 12.3 kcal/mol barrier you get ? (Quiz 2)**

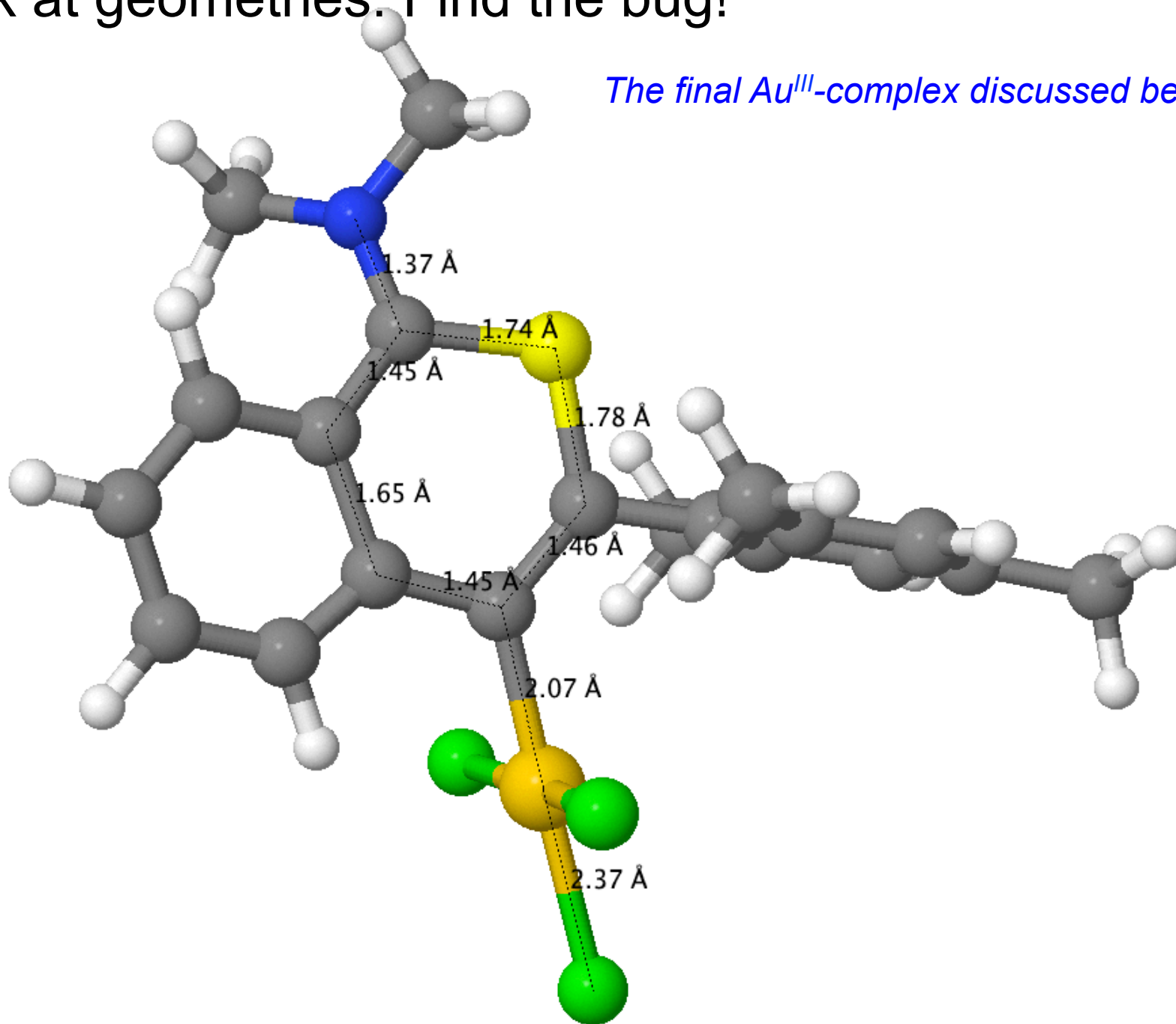
Selectivity is usually played within 1-3 kcal/mol.  
**How to know if this *in silico* designed catalyst is selective ? (Quiz 3)**

Selectivity is usually determined by steric and electronic effects.  
**How to understand what plays a role for my reaction ? (Quiz 4)**

- Introduction
- Quiz 1 : Geometries
- Quiz 2 : Energies
- Quiz 3 : Predicting selectivity
- Quiz 4 : Understanding selectivity
- Conclusions

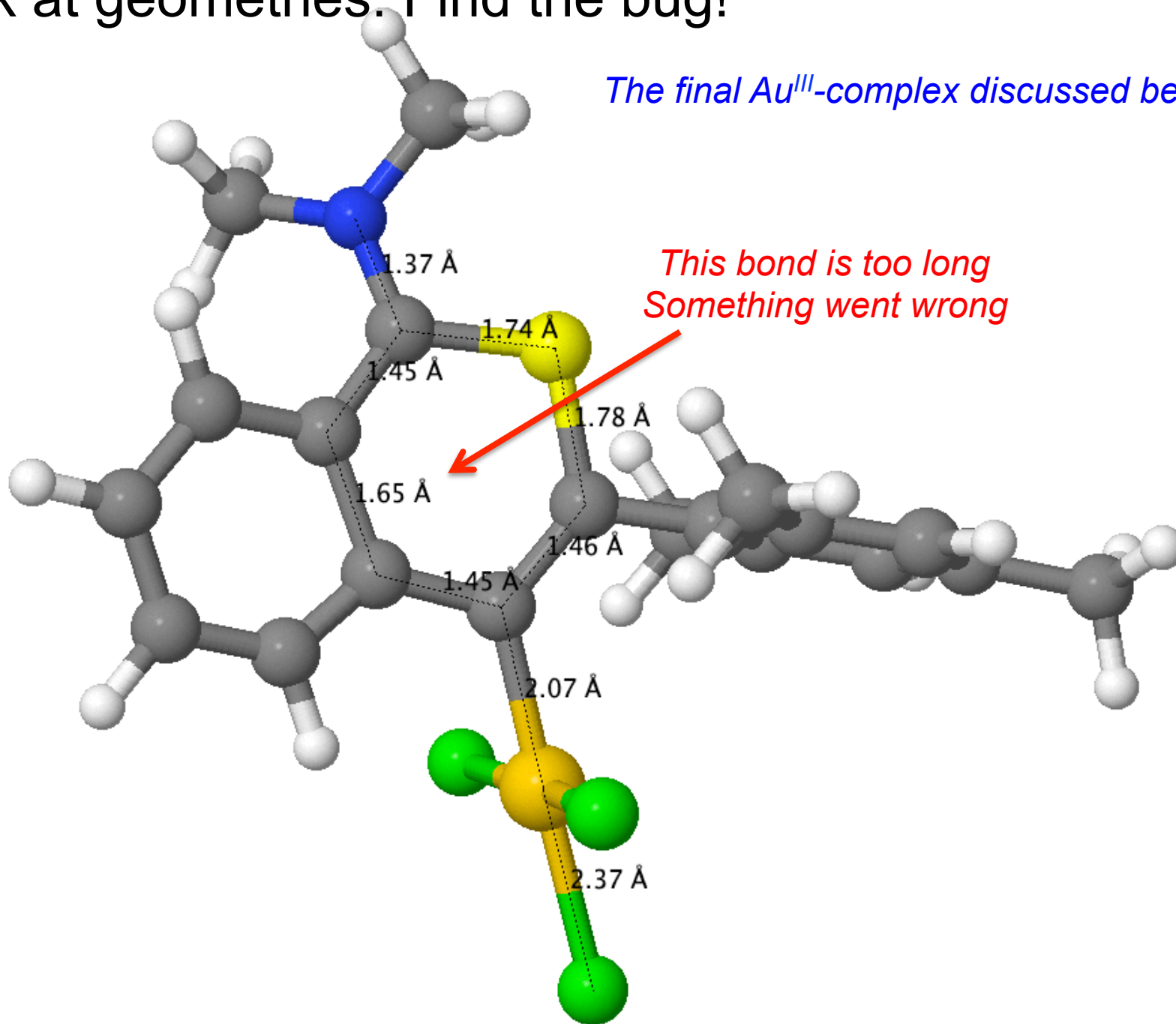
Look at geometries: Find the bug!

*The final Au<sup>III</sup>-complex discussed before*



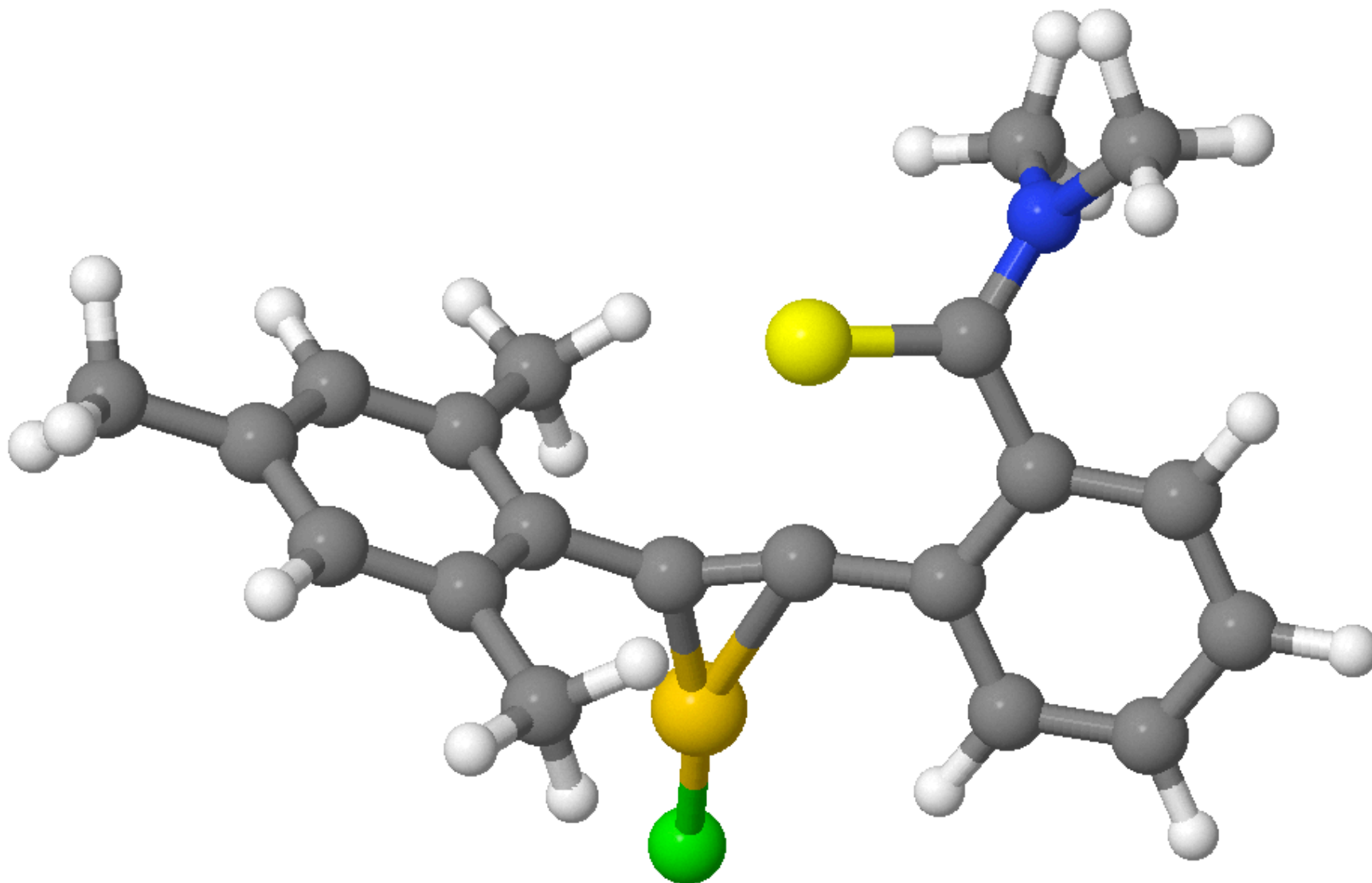
# Look at geometries: Find the bug!

*The final Au<sup>III</sup>-complex discussed before*

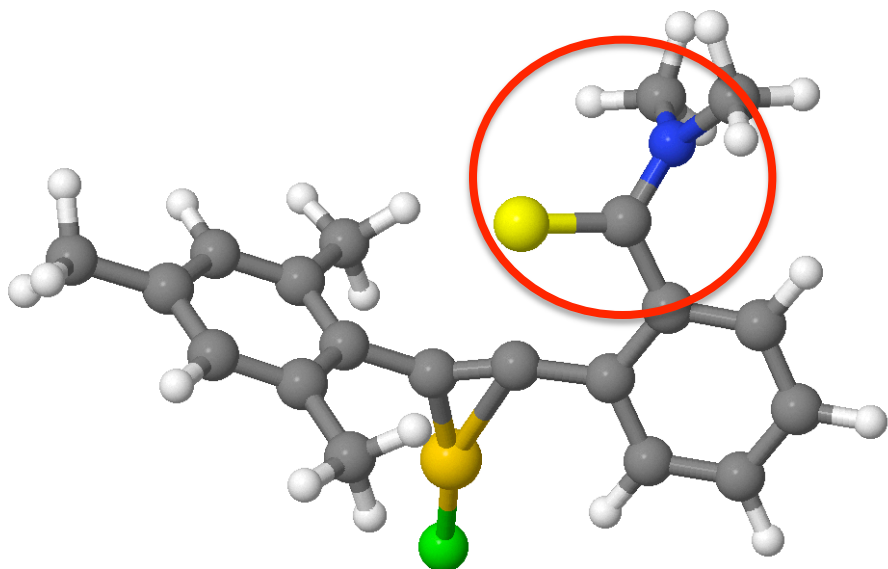


# Look at geometries: Find the bug!

*The Au' transition state for the 5-endo cyclization discussed before*

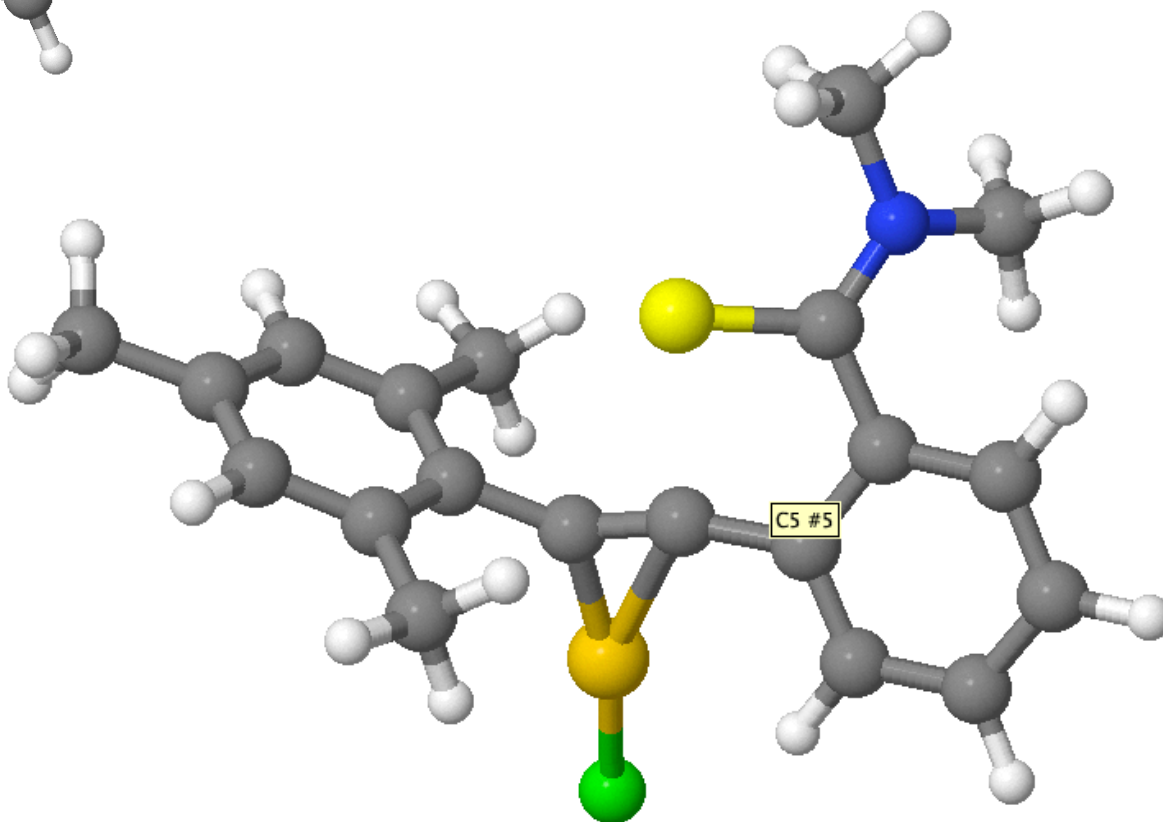


# Look at geometries: Find the bug!



*You know that amide bonds are planar  
The challenge is spotting this bug  
by quick visual inspection*

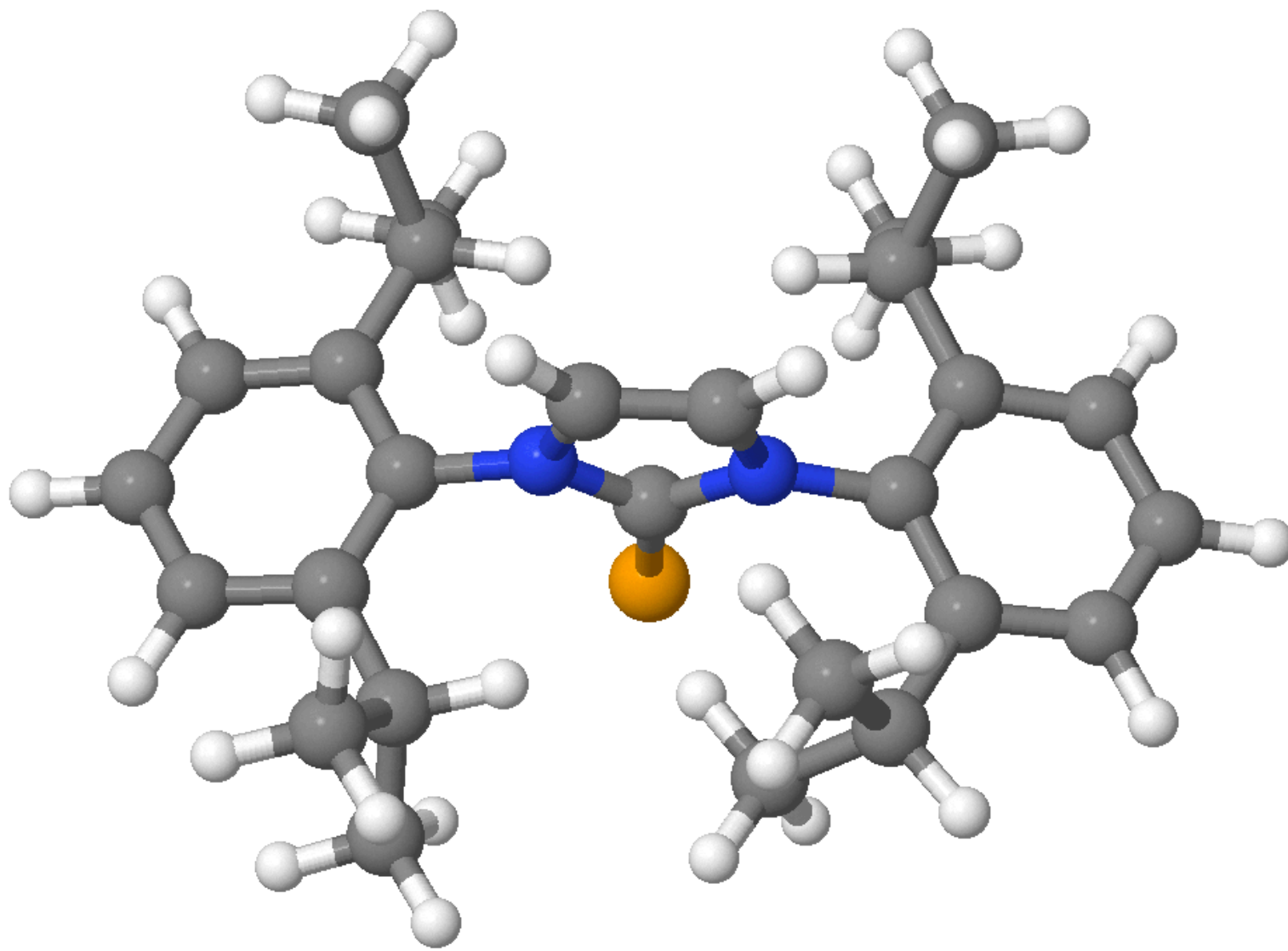
*Correct (common) conformation  
of a (thio)amide group*



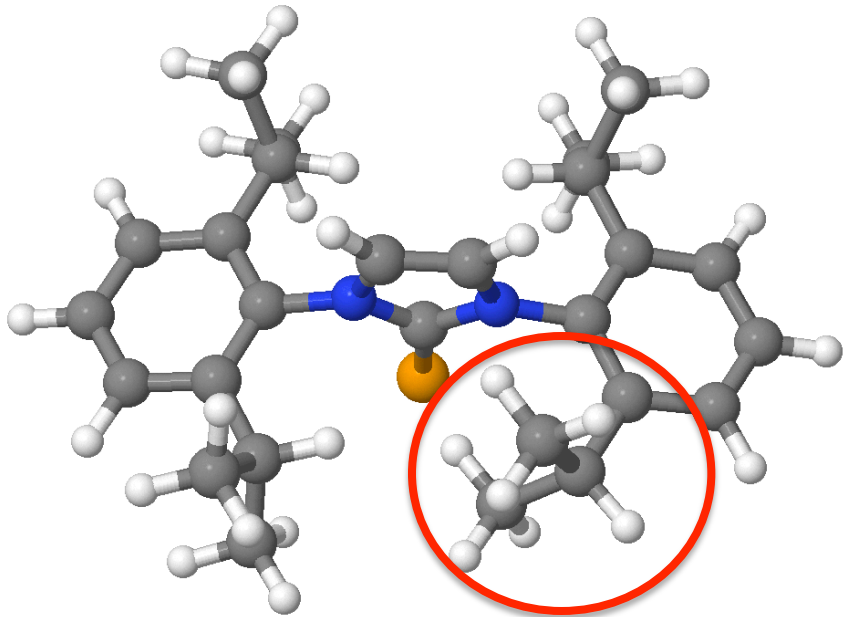


Look at geometries: Find the bug!

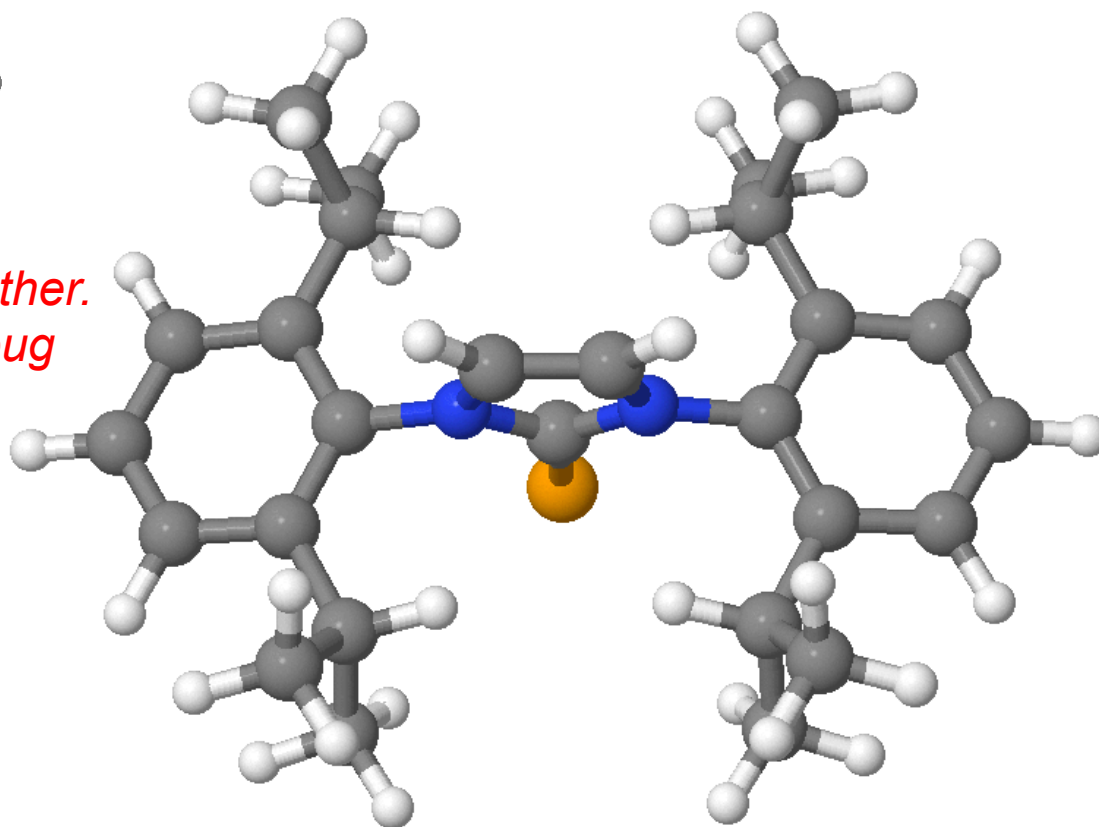
*Structure of a NHC-Au<sup>I</sup> complex*



# Look at geometries: Find the bug!



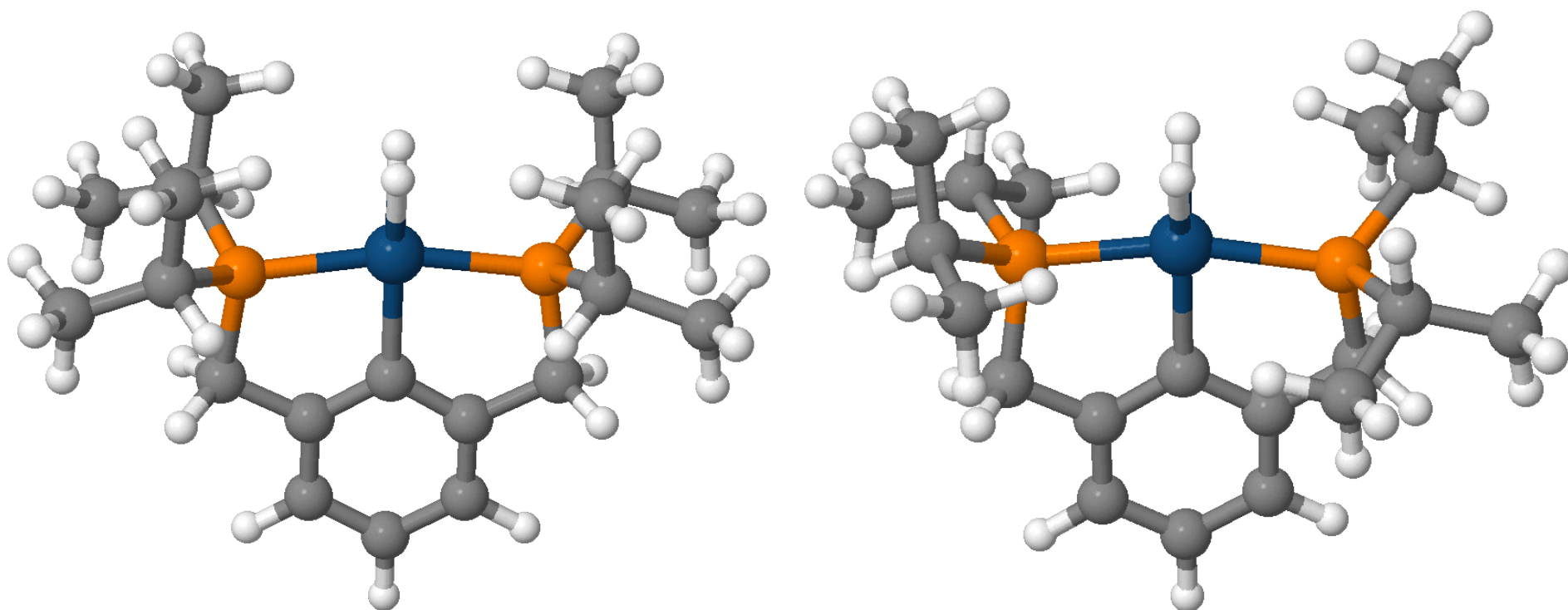
*i-Pr C-H bonds normally face each other.  
You need trained eye to spot this bug*



*Correct (common)  
Orientation of the *i*Pr group*

# Look at geometries: Find the bug!

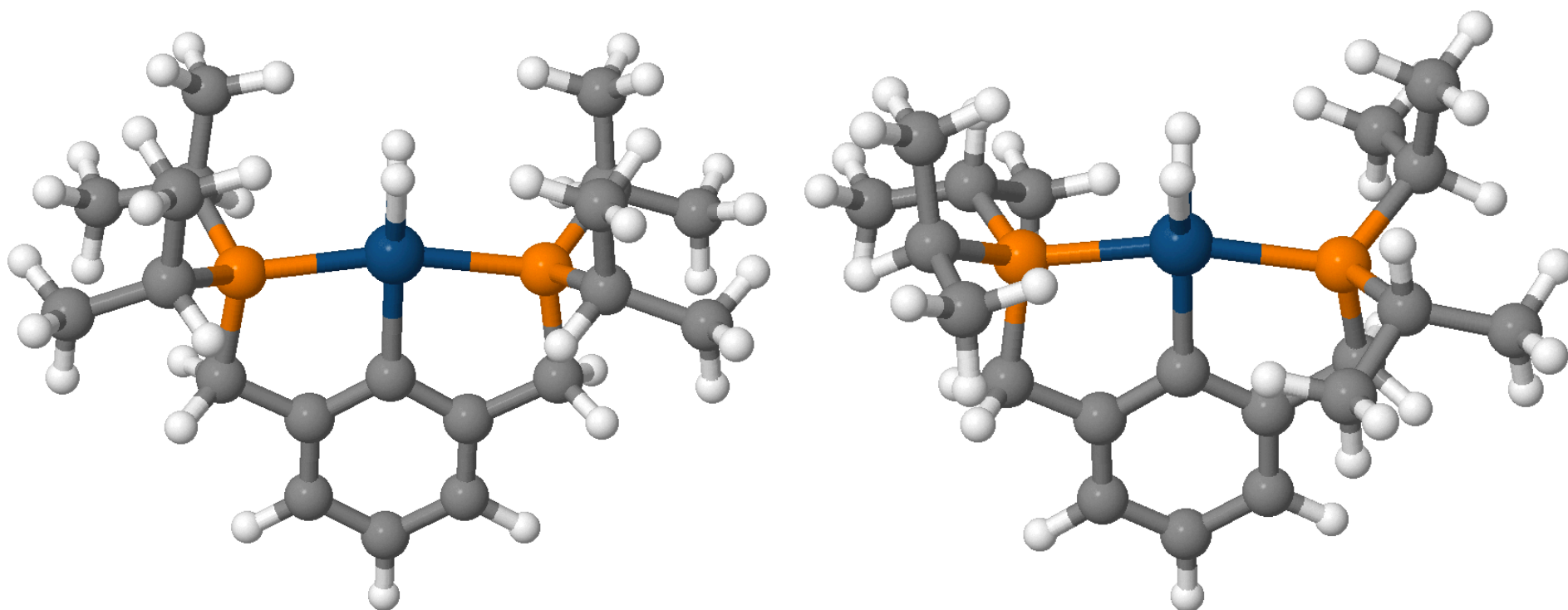
*One of the winning Brookhart catalysts for ethylene to aromatics  
Nature Chemistry 2011, 3, 167*



# Look at geometries: Find the bug!

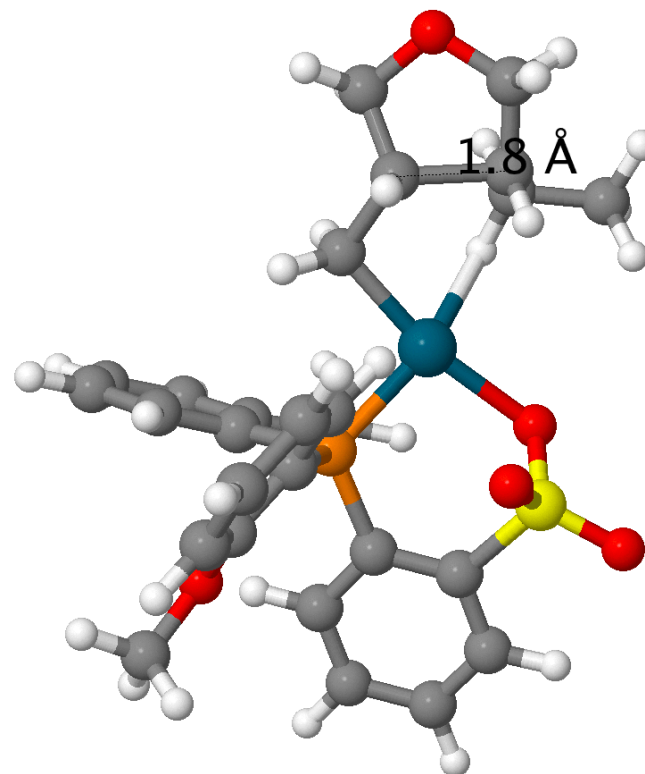
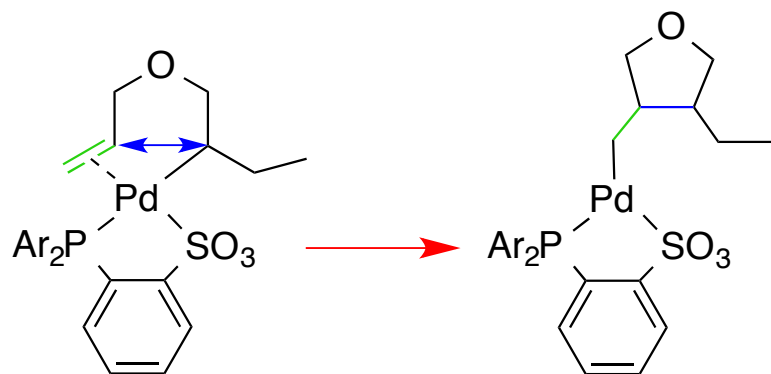
**Sorry no bug...**

*the iPr groups can assume a plethora of different conformations of similar energy  
You have to consider all of them to characterize properly a reaction pathway*



# Additional issues in transition states location

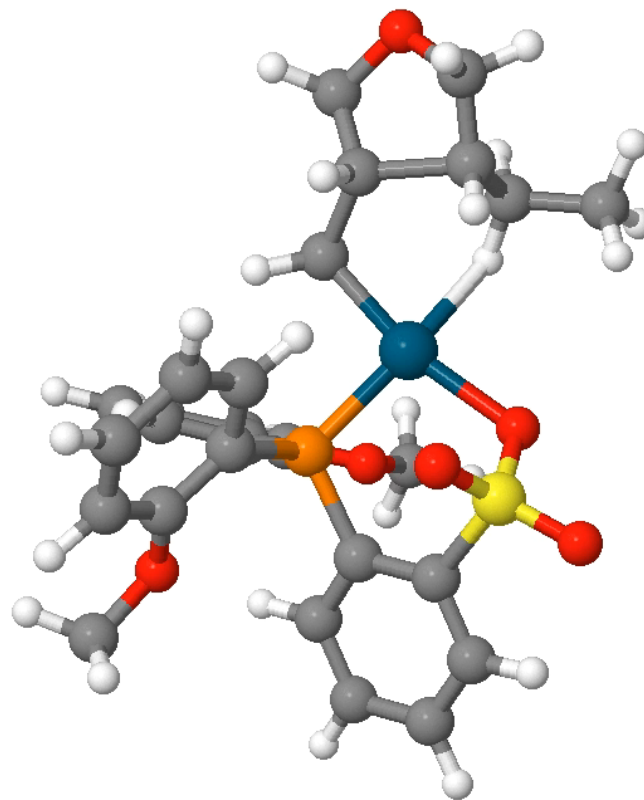
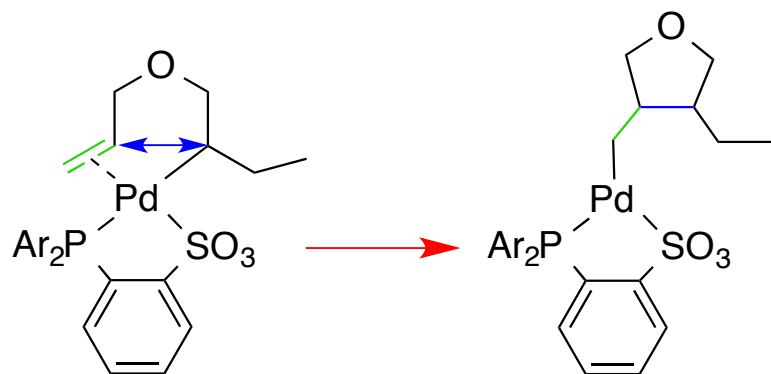
*You are searching for the TS corresponding to insertion of the C=C into the Pd-C bond. Before going home you run a job to find this TS. You ask to calculate freqs after TS localization to be check for a single negative freq.*



*The next morning the the job is completed and it has a single imaginary freq at  $-83 \text{ cm}^{-1}$ . The emerging C-C bond is definitely longer than a standard C-C single bond. **Can you consider the job done ?***

# Additional issues in transition states location

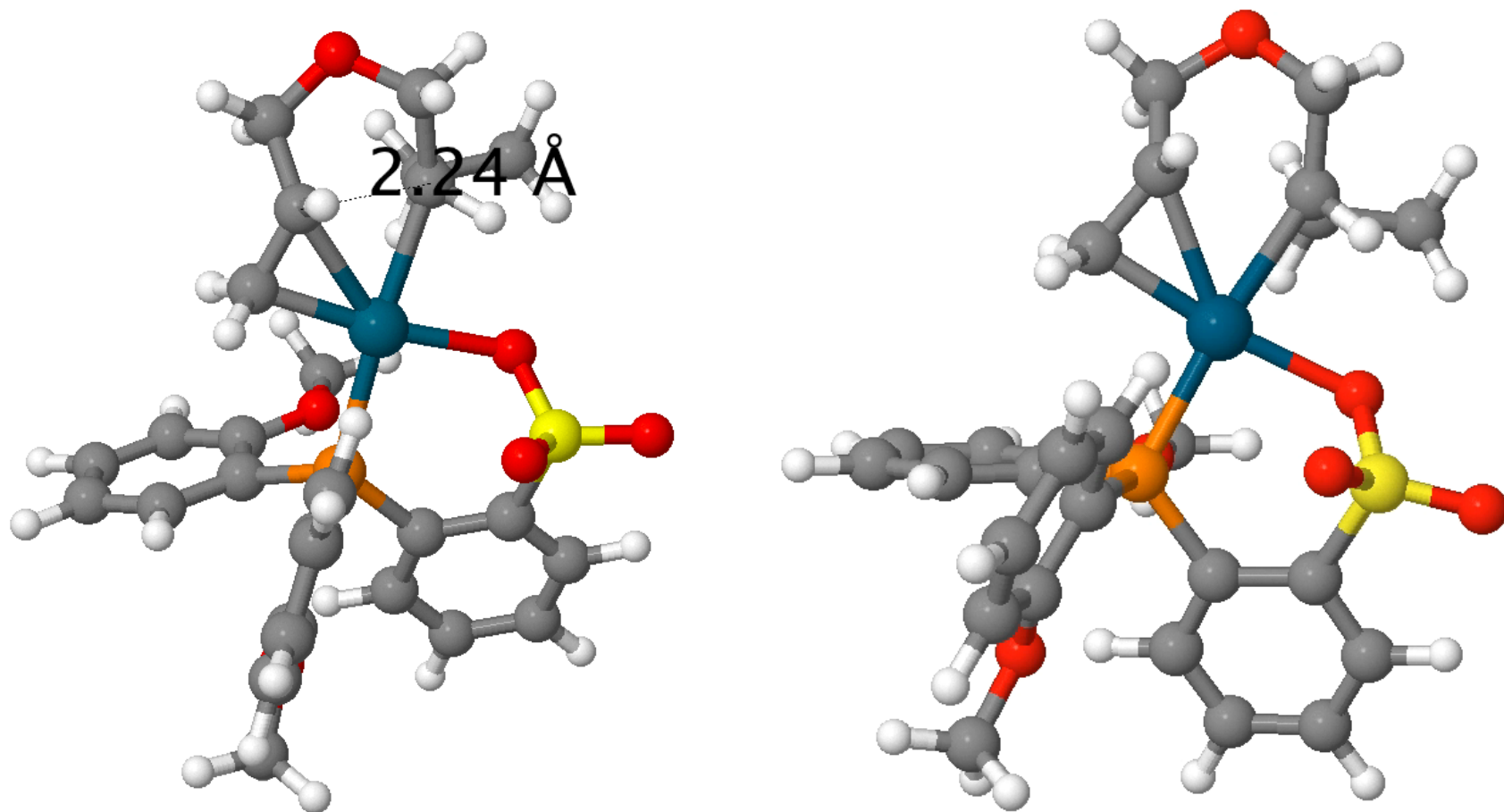
*No, the story is not over yet, as the imaginary freq at  $-83\text{ cm}^{-1}$  has nothing to do with the formation of a C-C bond. Go back and try again.*



*Before going home you run a job to find again this TS, you changed the starting geometry.*

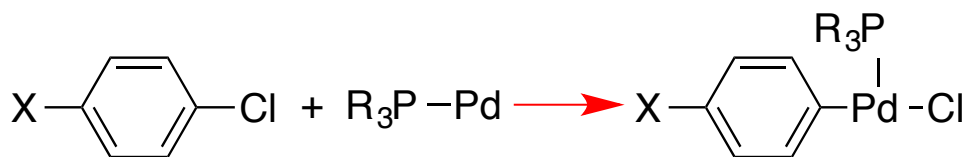
# Additional issues in transition states location

*The next morning the the job is completed and it has a single imaginary freq at  $-210\text{ cm}^{-1}$ , and the emerging C-C bond is  $2.10\text{ \AA}$ . The negative freq clearly corresponds to the forming C-C bond. **Mission completed !***

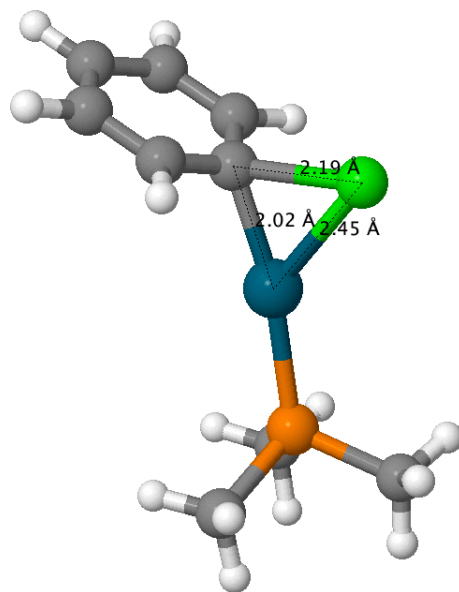
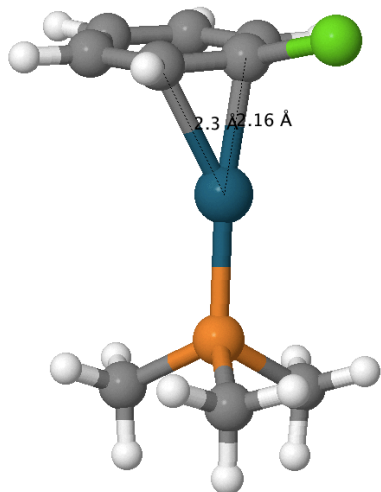


- Introduction
- Quiz 1 : Geometries
- Quiz 2 : Energies
- Quiz 3 : Selectivities
- Quiz 4 : Building a reaction pathway
- Quiz 5 :
- Conclusions



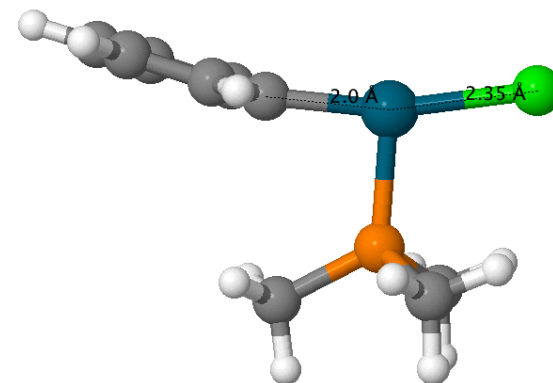


X = H, NMe<sub>2</sub>, NO<sub>2</sub>



## Aryl-X activation by Pd

Asking 3 PhD students  
to calculate this reaction  
E in kcal/mol



	H	NMe <sub>2</sub>	NO <sub>2</sub>
PhD 1 :	7.8	9.9	9.6
PhD 2 :	7.9	4.6	9.8
PhD 3 :	7.9	15.4	9.9

	H	NMe <sub>2</sub>	NO <sub>2</sub>
PhD 1 :	0	0	0
PhD 2 :	0	0	0
PhD 3 :	0	0	0

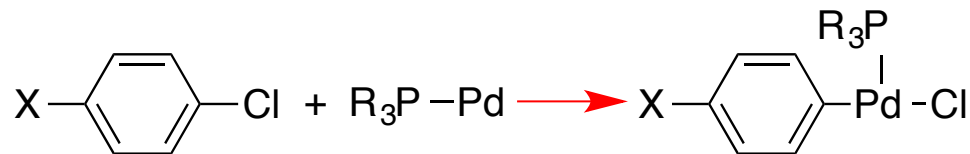
	H	NMe <sub>2</sub>	NO <sub>2</sub>
PhD 1 :	-16.5	-16.9	-16.2
PhD 2 :	-16.8	-16.8	-16.3
PhD 3 :	-16.7	-17.0	-16.5

Who is right ? (if any)  
aka

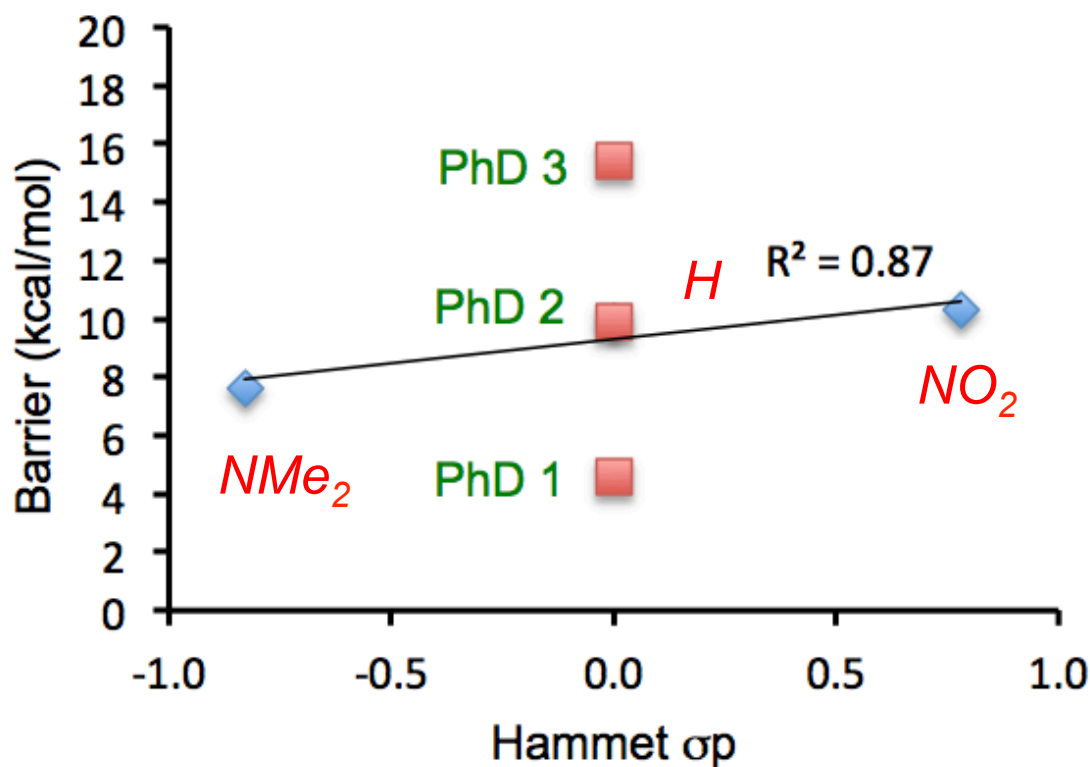
How to know if a number is correct or not ?

## Aryl-X activation by Pd

One usual trick is comparing strictly related systems with a descriptor



X = H, NMe<sub>2</sub>, NO<sub>2</sub>



Whenever possible, don't rely on a single number but try to get trends

**One number whispers, many numbers talk loud!**

- Introduction
- Quiz 1 : Geometries
- Quiz 2 : Energies
- Quiz 3 : Predicting selectivity
- Quiz 4 : Understanding selectivity
- Conclusions

# Stereoselective reactions

*Bolm & Gladysz, Chem. Rev. 2003, 103, 2761*

*Enantioselective Catalysis* : selective, and reproducible generation of a given enantiomer of a chiral product from achiral reactants.

*Enantioselective catalysts are with no exception chiral and non racemic.*

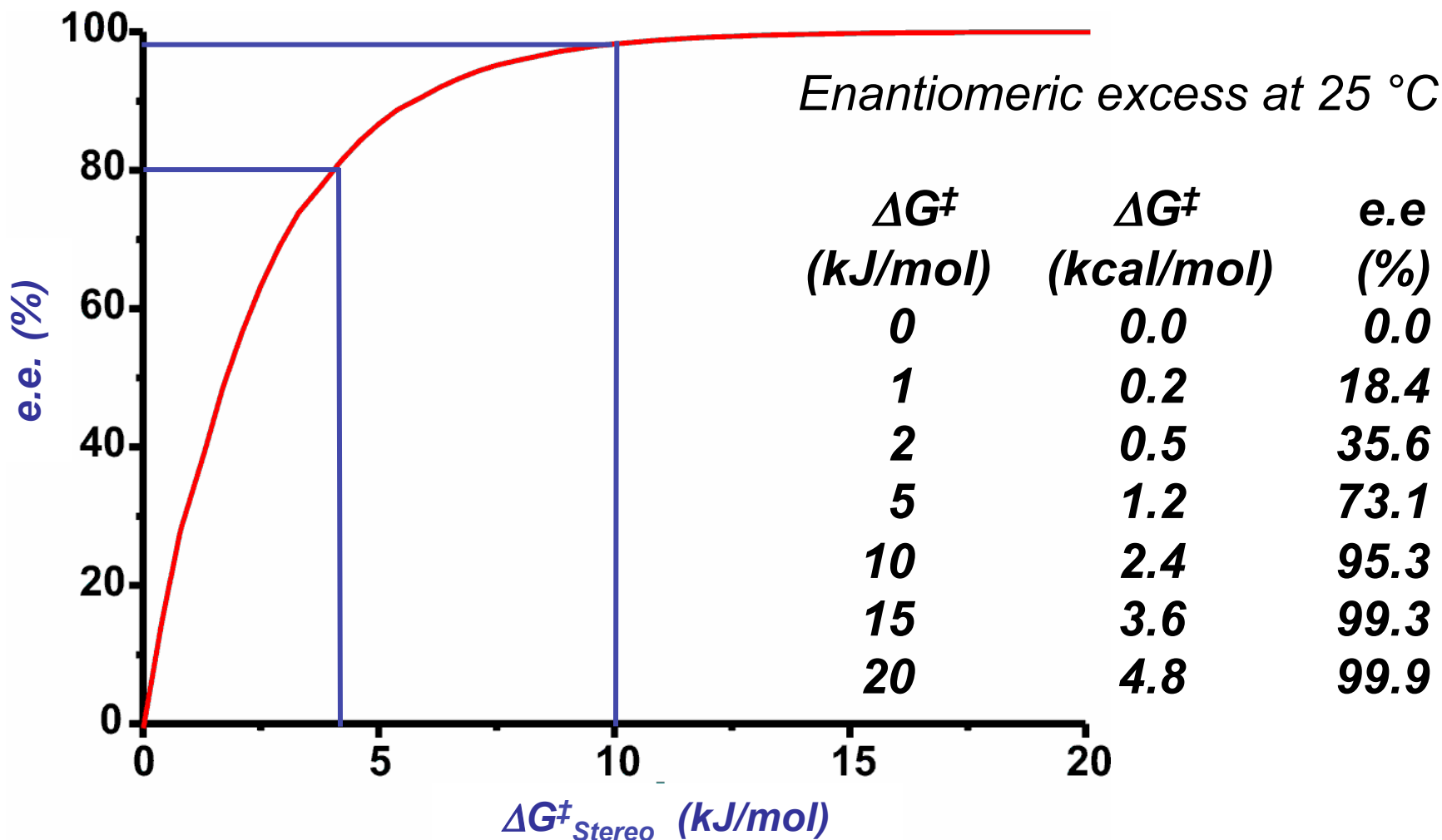


*The reaction efficiency is measured as : enantiomeric excess (e.e.) = %A - %A\**

*The enantiomeric excess is determined by:  $\Delta G^\ddagger = G^\ddagger(A^*) - G^\ddagger(A)$*

*The connection is :  $\frac{\%A^*}{\%A} = e^{-\Delta G/RT}$*

How much  $\Delta G^\ddagger$  do we need ?

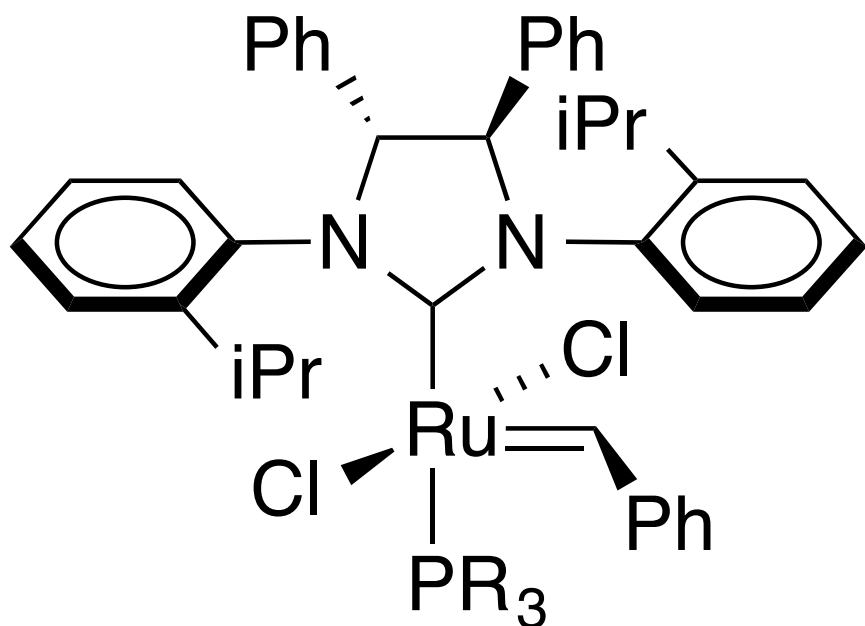


Stereoselectivity usually played with  $\Delta G^\ddagger \approx 10$  kJ/mol or 2 kcal/mol  
This is smaller than the rotation around a single C-C bond, but  
enough to roll 95% of the reactants along the favored pathway.  
**These energy differences are at the limit of DFT accuracy**

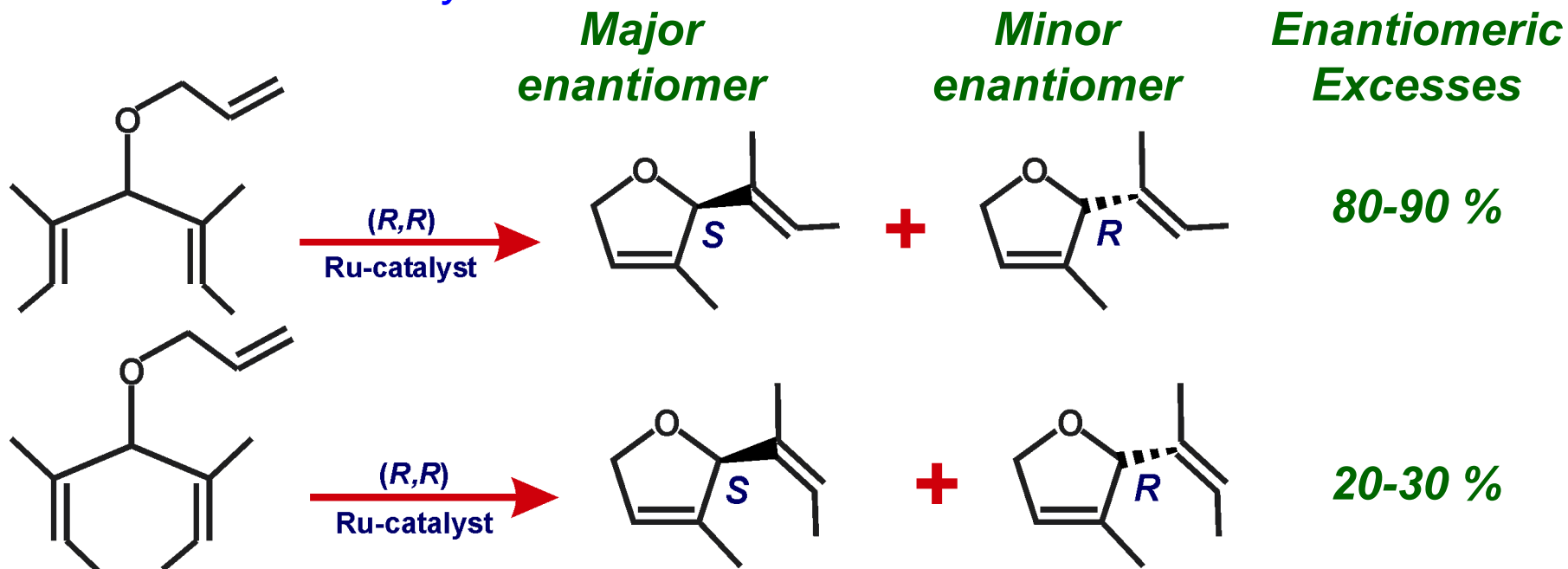
# Asymmetric olefin metathesis

*Still space for improvements.*

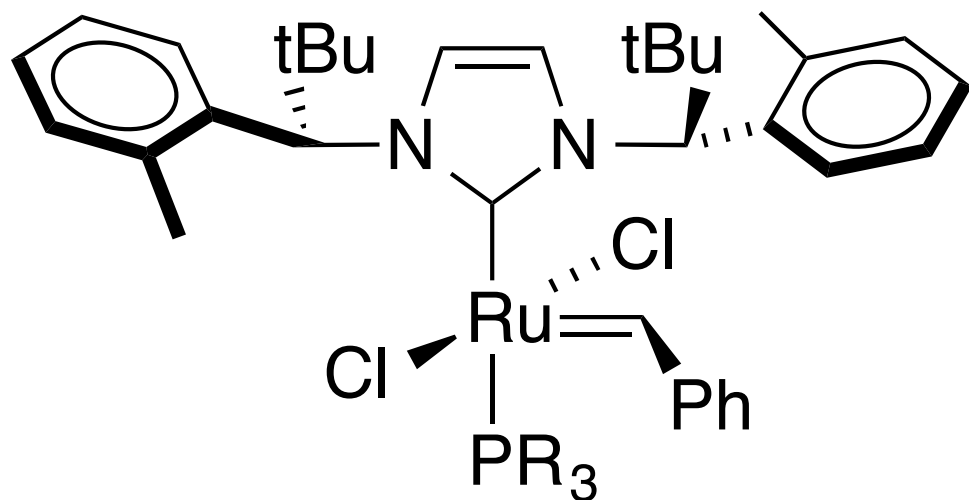
*Extremely hot area  
suppose you want to invent a new  
catalyst. Rather than blind testing,  
you try to design it in silico.*



*Best Grubbs catalyst so far*



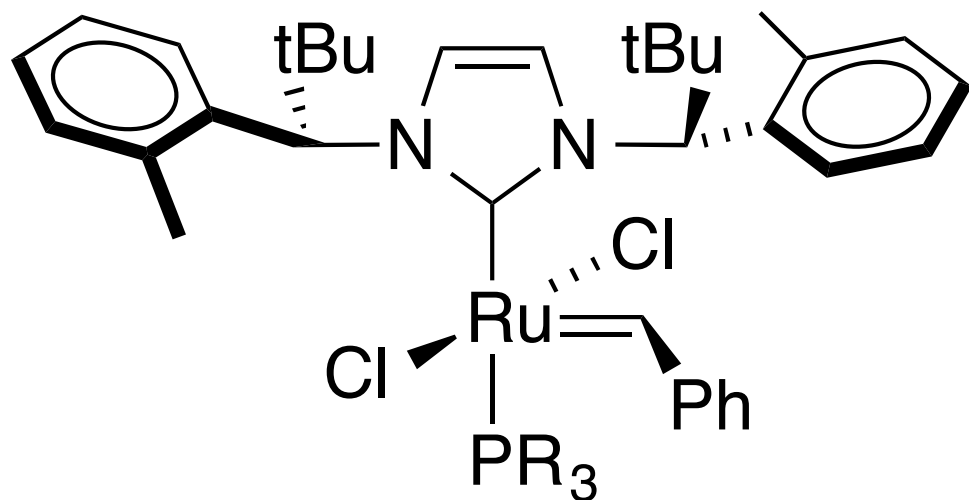
## Asymmetric olefin metathesis



*You predict this catalyst to result in  
a  $\Delta E_{\text{Stereo}}$  of 2.6 kcal/mol, which  
means more than 95% e.e.*

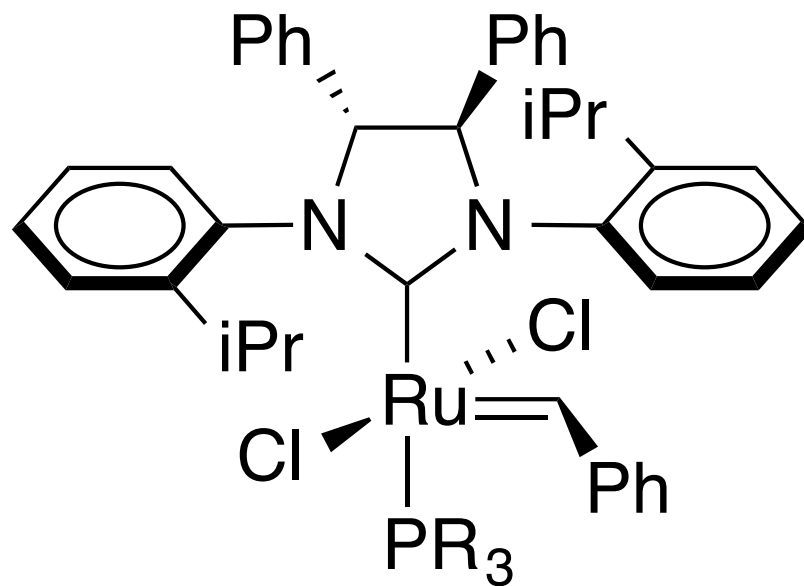
*Would you be happy ?  
How to trust this prediction ?*

## Asymmetric olefin metathesis



You predict this catalyst to result in a  $\Delta E_{\text{Stereo}}$  of 2.6 kcal/mol, which means more than 95% e.e.

*Would you be happy?  
How to trust this prediction?*



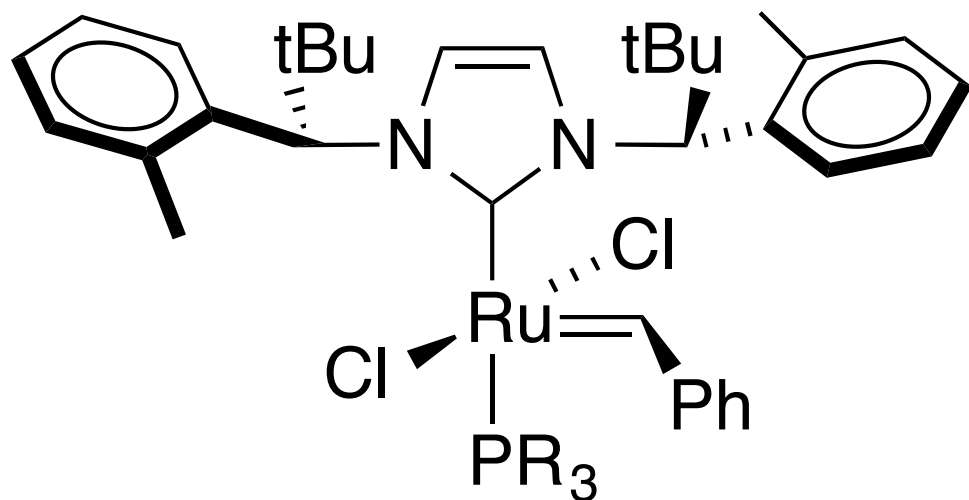
*Testing prediction on a known system*

*For the known system I predict a  $\Delta E_{\text{Stereo}}$  of 3.2 kcal/mol, which means I am overestimating the selectivity*

***No, I am not happy!***  
*My new catalyst should perform even worse than the old one*

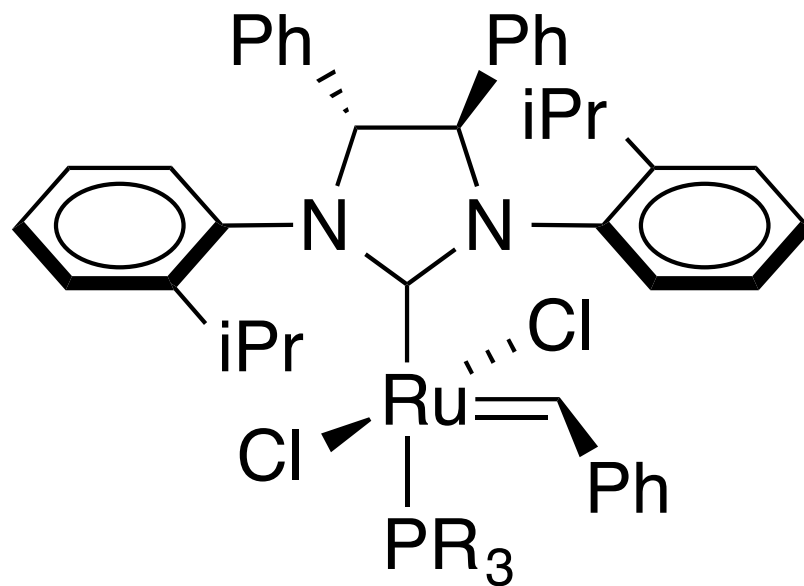


## Asymmetric olefin metathesis



You predict this catalyst to result in a  $\Delta E_{\text{Stereo}}$  of 2.6 kcal/mol, which means more than 95% e.e.

Would you be happy?  
How to trust this prediction?



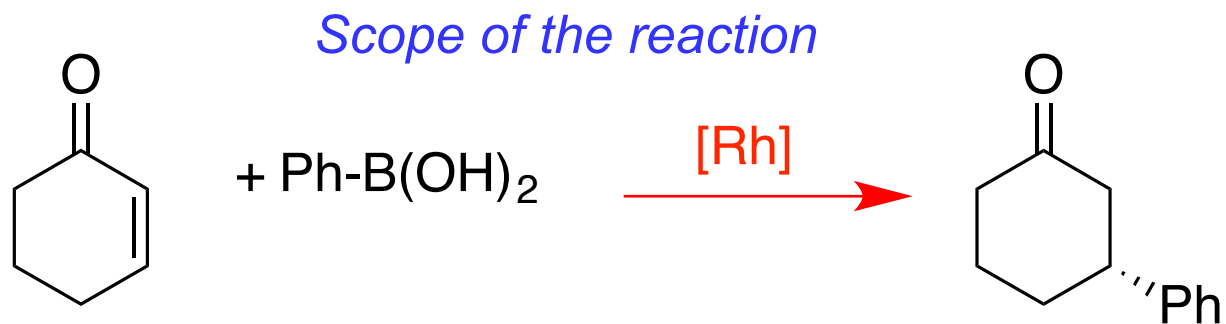
Testing prediction on a known system

For the known system I predict a  $\Delta E_{\text{Stereo}}$  of 0.7 kcal/mol, which means that my selectivity calculation is OK.

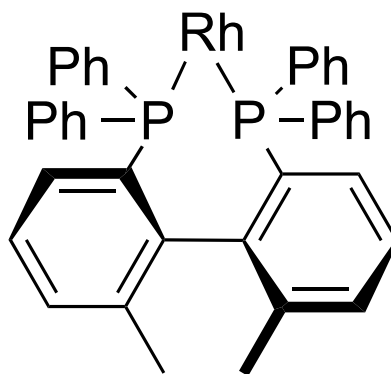
**Yes, I am happy!**  
My new catalyst should perform much better than the old one!

- Introduction
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- Quiz 4 : Understanding selectivity
- Conclusions

# Understanding selectivities



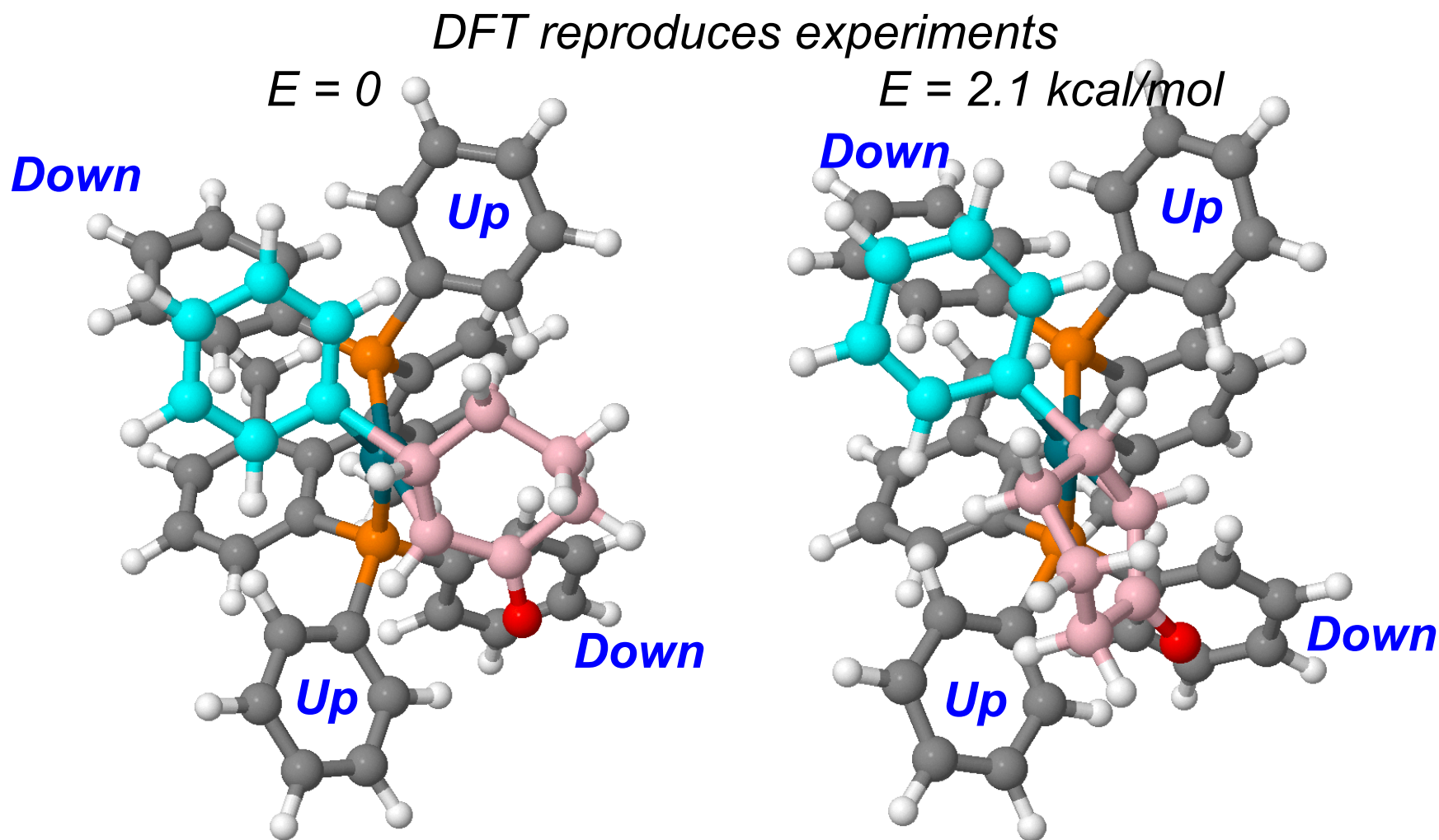
*The catalyst investigated*



*The enantioselective performance*

$$ee = 90\%$$

## Understanding selectivities



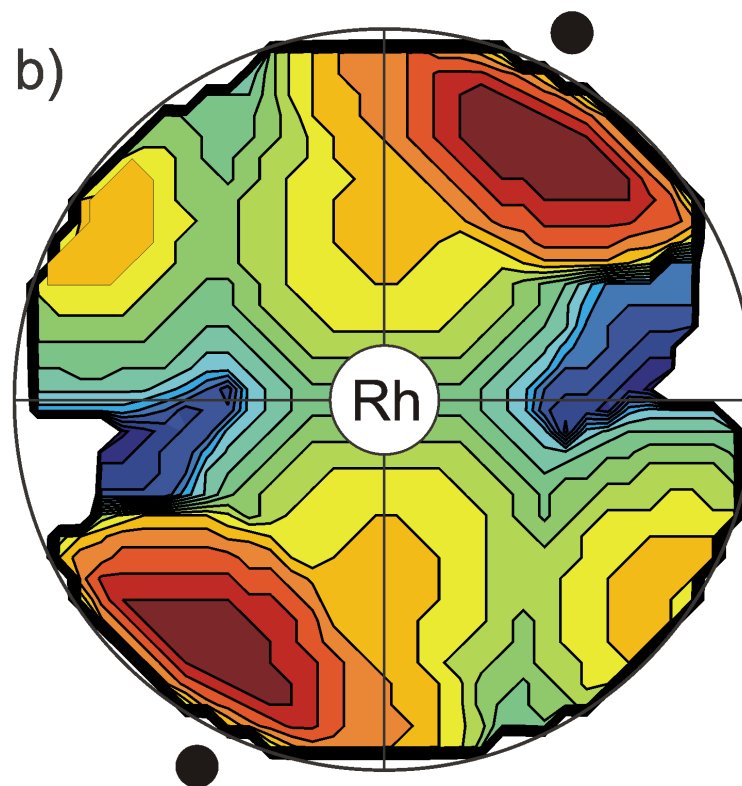
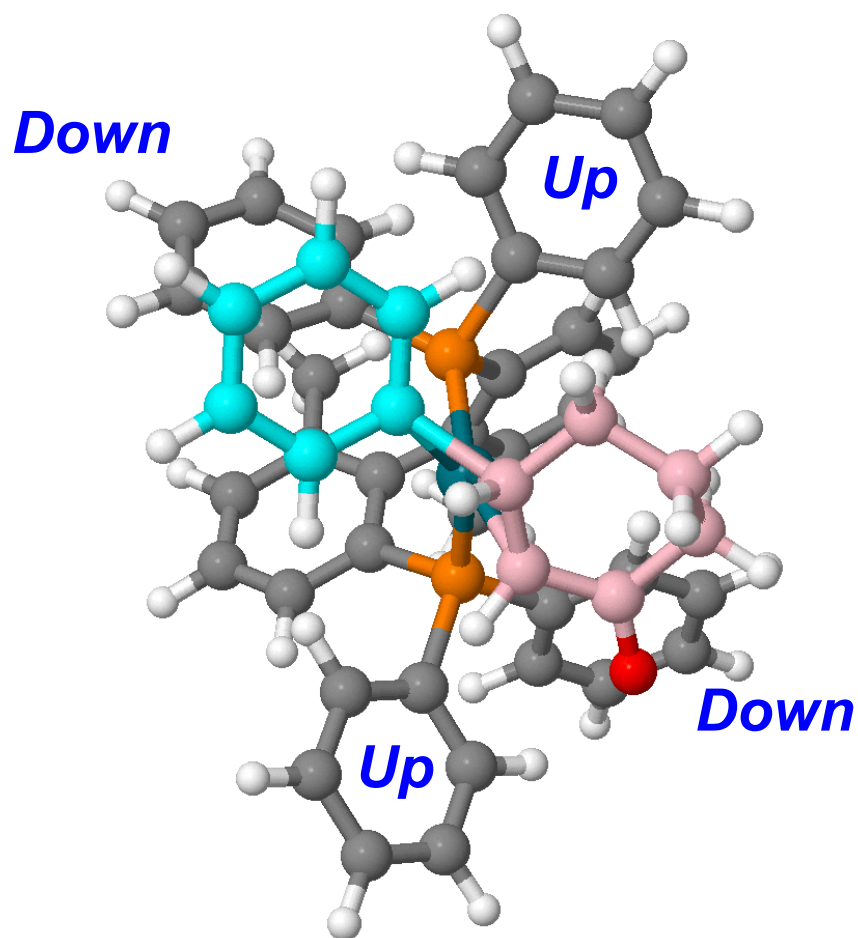
*Now the question: Why is this better ?*

*This is the answer that experimental people need.*

*They already know that the one on the left is preferred 😊*

## Understanding selectivities

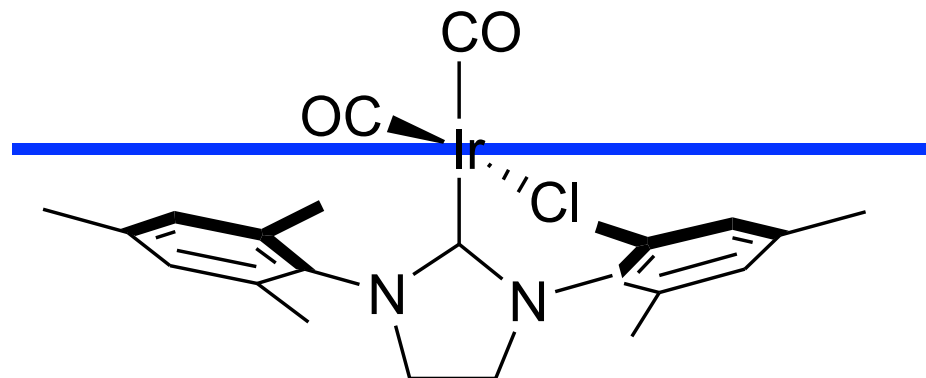
*Selectivity is steric in nature. The favored TS has the substrate placed in the groove between the “Up” phenyl rings of the ligand*



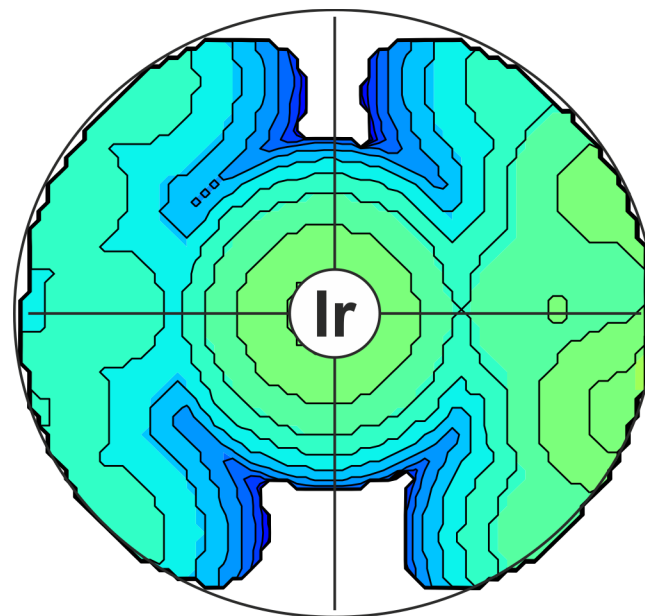
# Topographic steric maps of ligands



**3D-view**  
**Physical map**

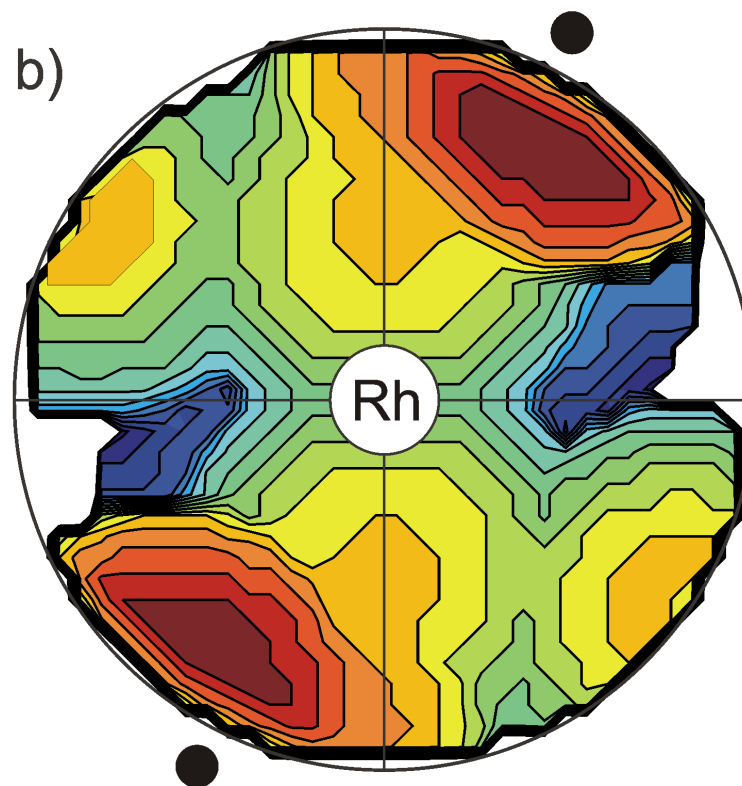
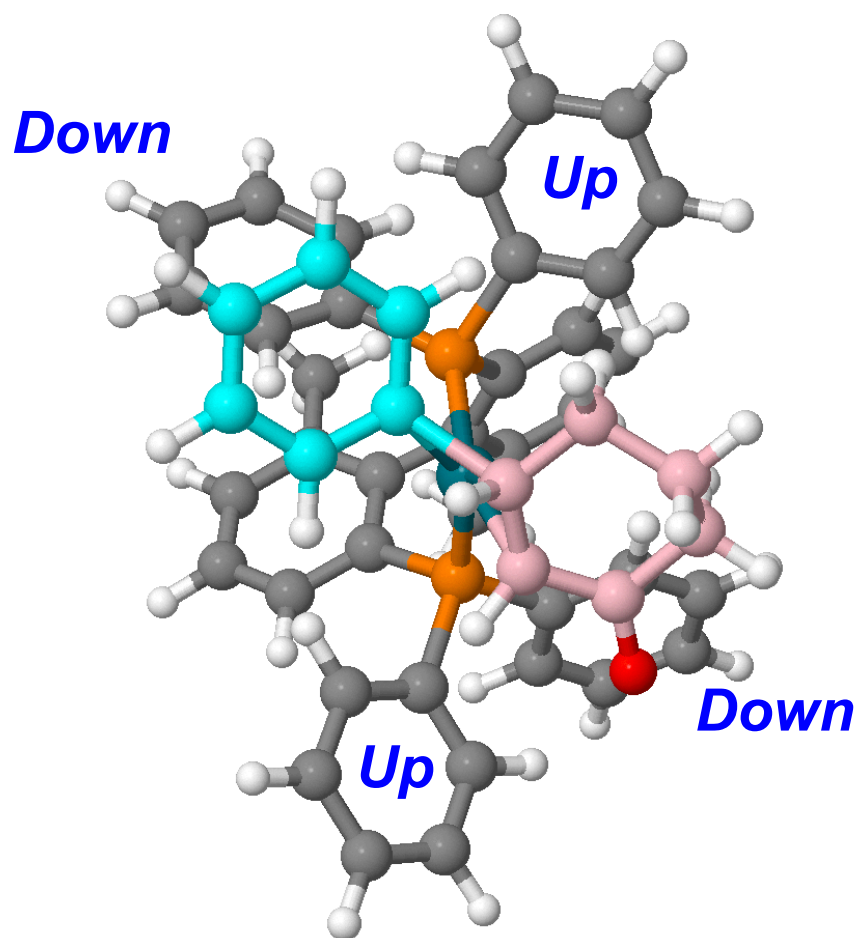


**3D-view**  
**Physical map**

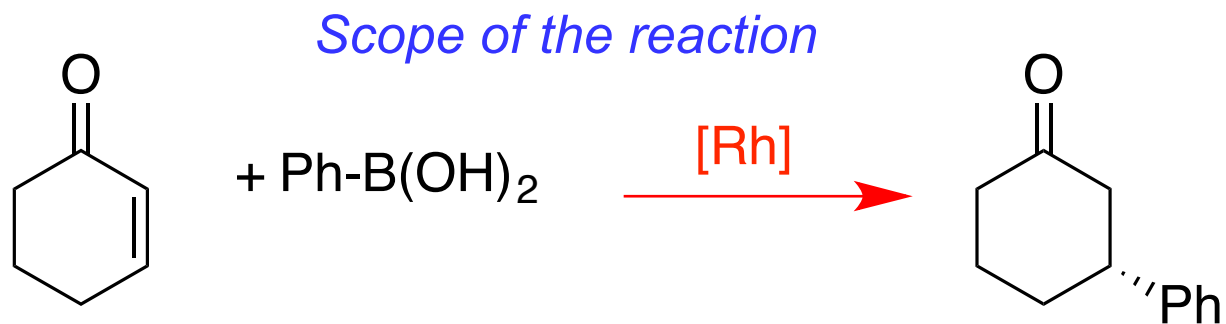


## Understanding selectivities

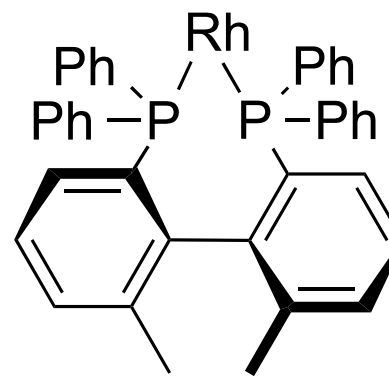
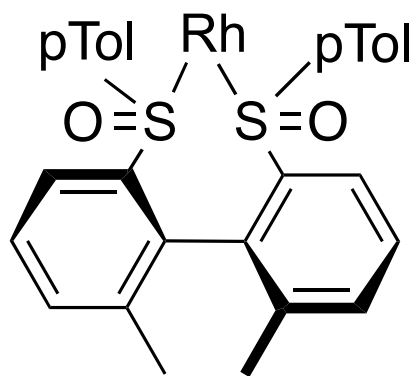
*Selectivity is steric in nature. The favored TS has the substrate placed in the groove between the “Up” phenyl rings of the ligand*



# Understanding selectivities



## *The catalyst compared*



## *The enantioselective performance*

ee = 99%

90%

ee =

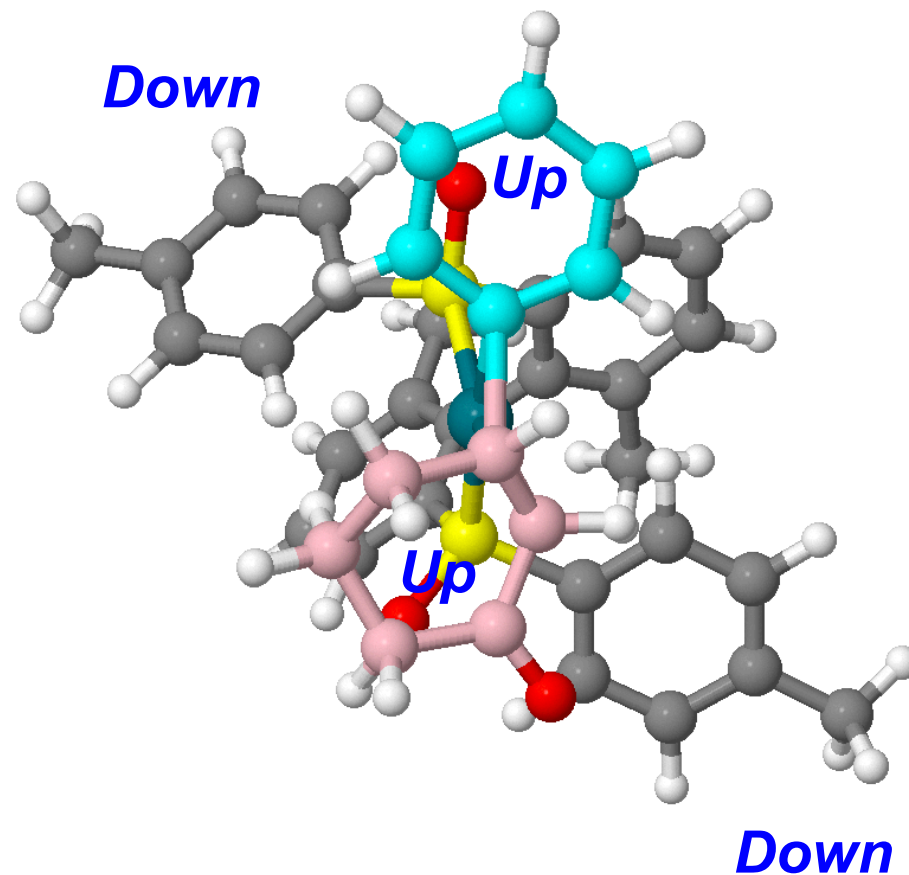
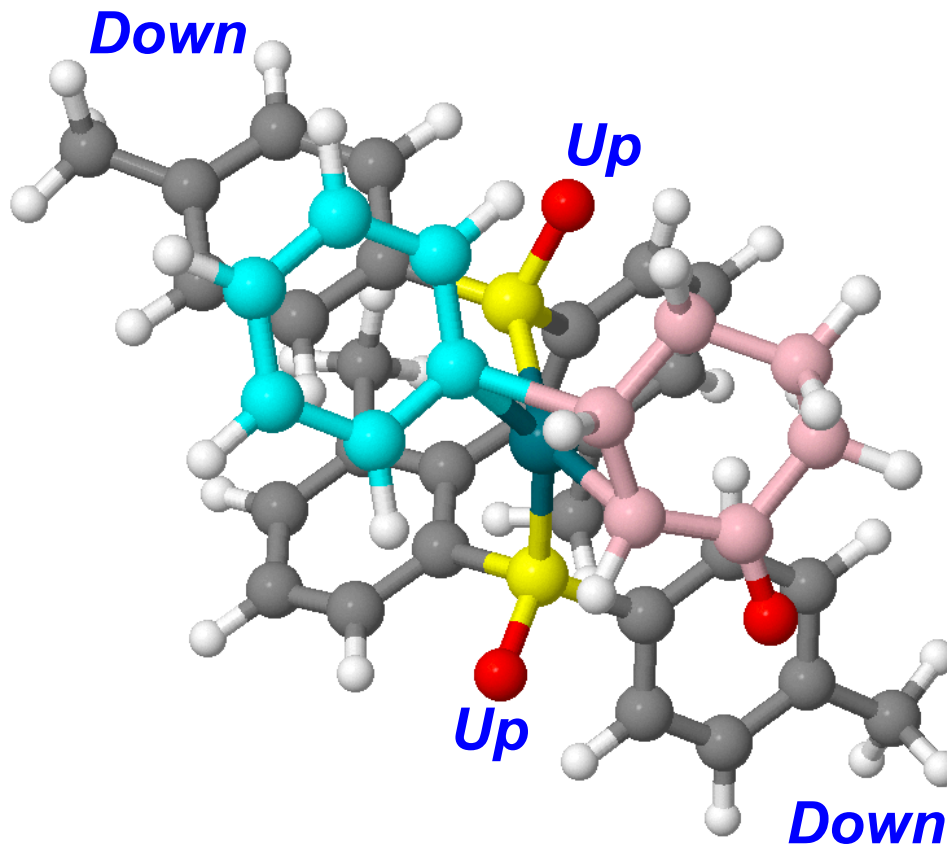


# Understanding selectivities

*DFT reproduces experiments*

$E = 0$

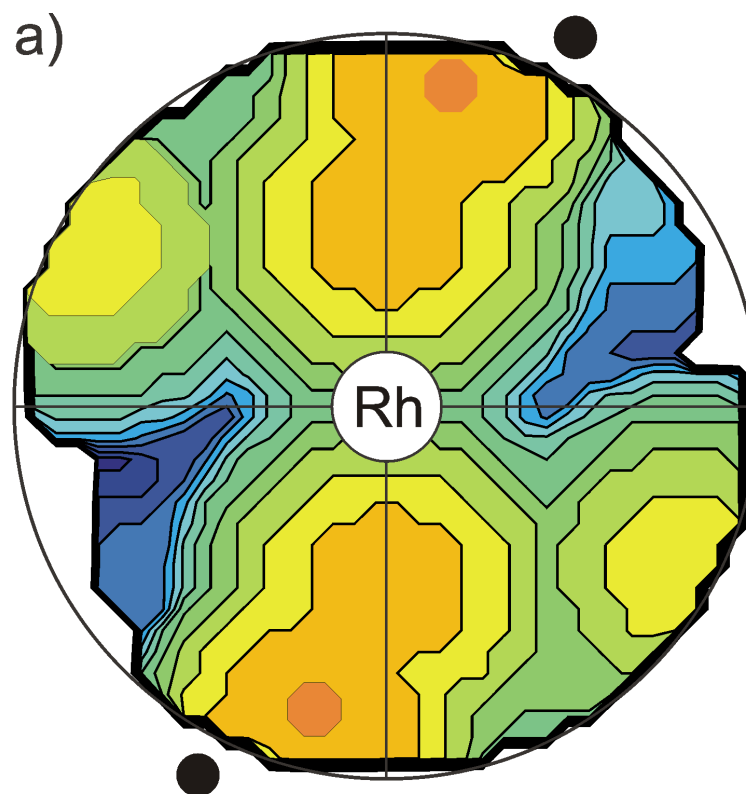
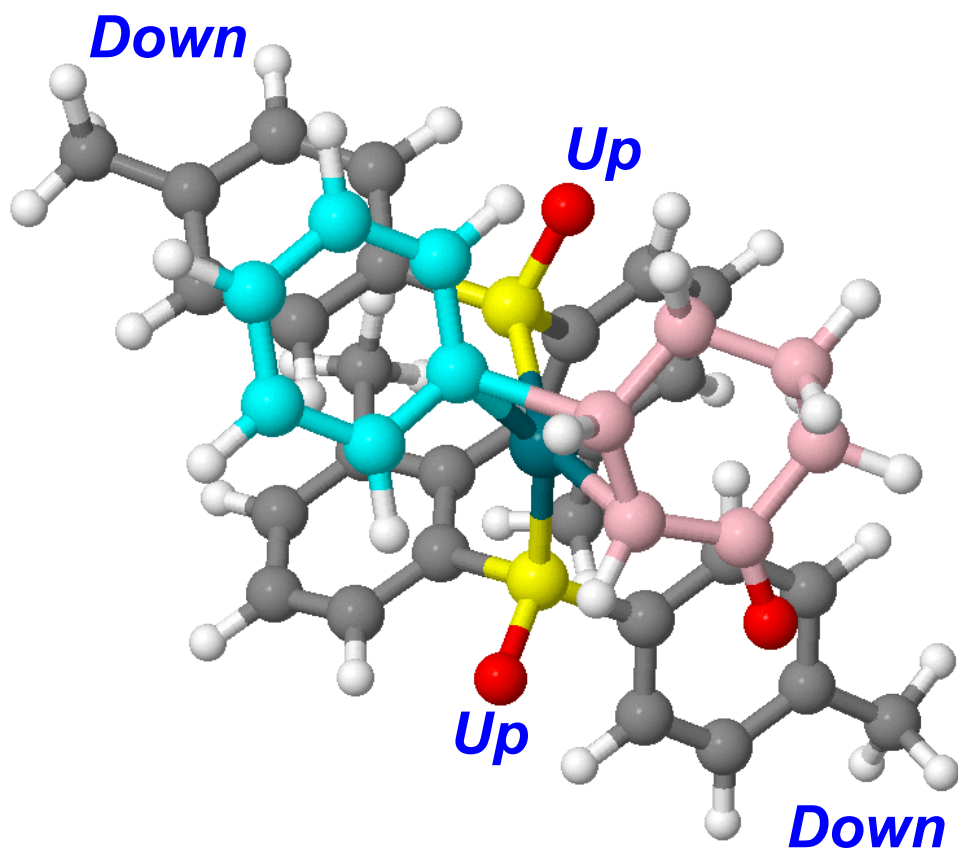
$E = 3.4 \text{ kcal/mol}$



*The same question again:  
Why is this better ?*

## Understanding selectivities

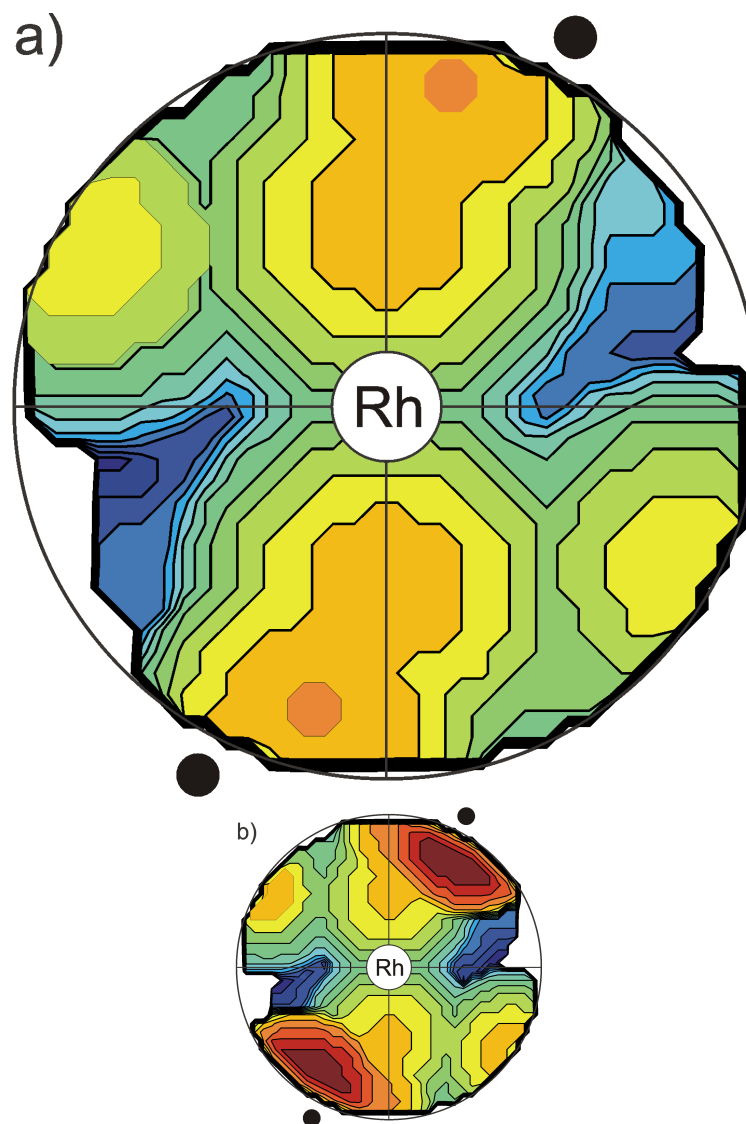
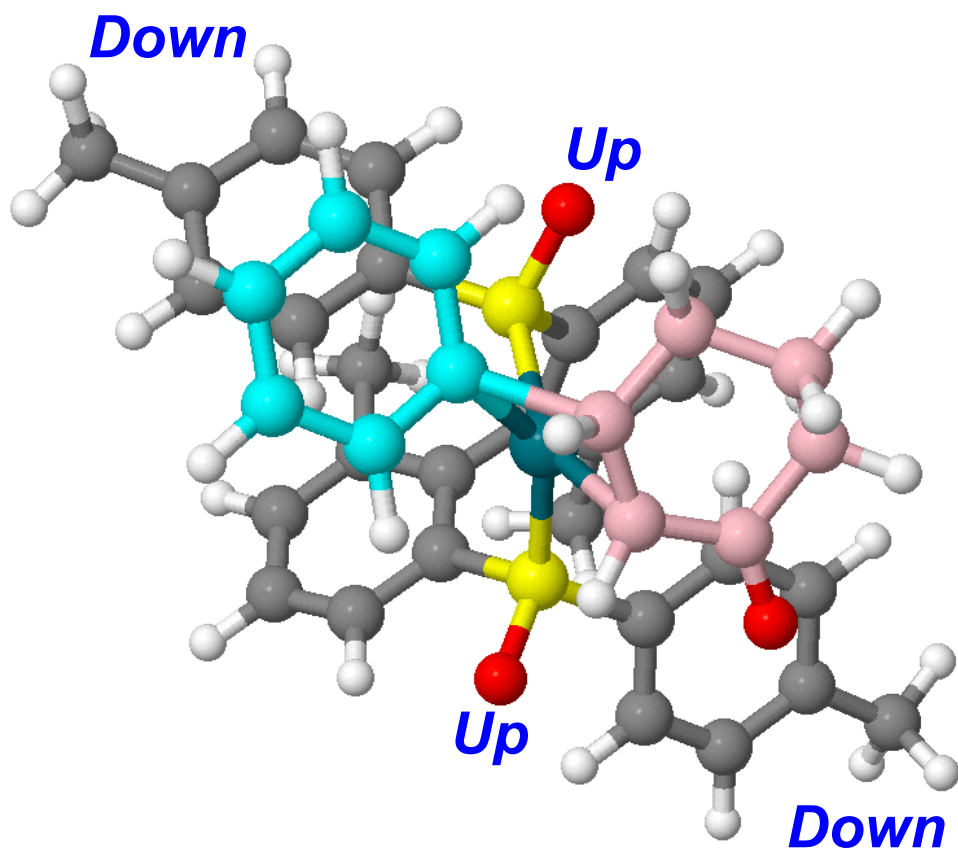
*Steric interaction is not the reason. The steric map is totally flat, the O atom is too small to give steric hindrance*



*The same question again:  
Why is this better ?*

## Understanding selectivities

*Steric interaction is not the reason. The steric map is totally flat, the O atom is too small to give steric hindrance*



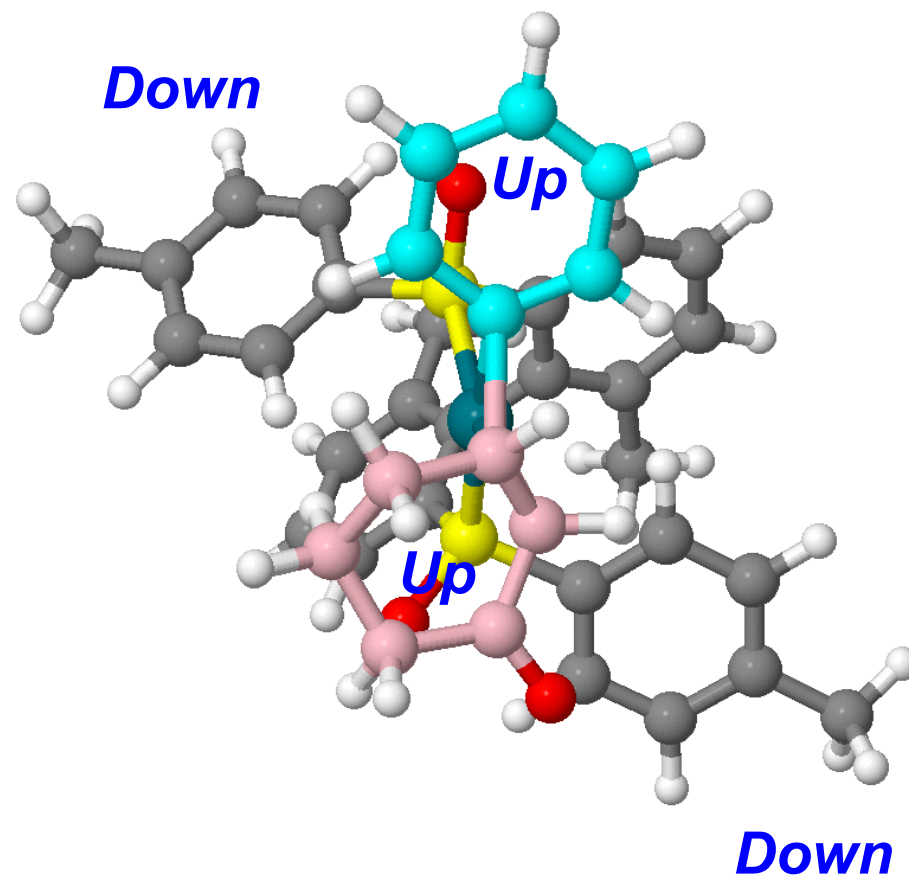
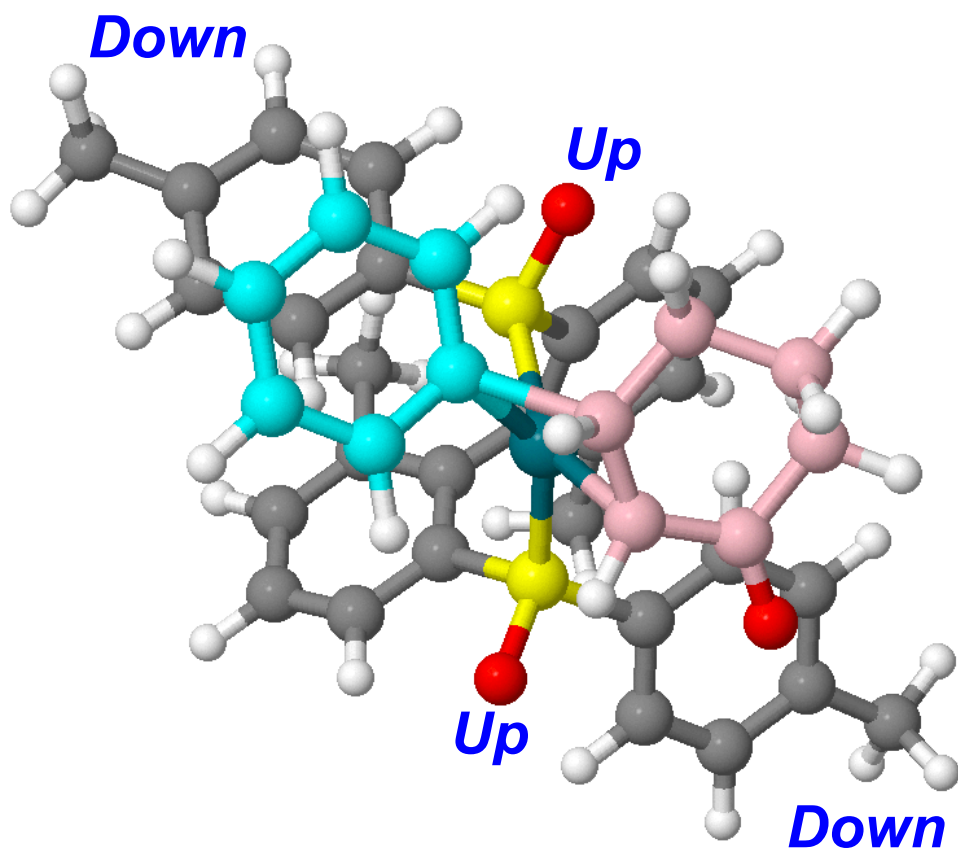
*The same question again:  
Why is this better ?*

## Understanding selectivities

*DFT reproduces experiments*

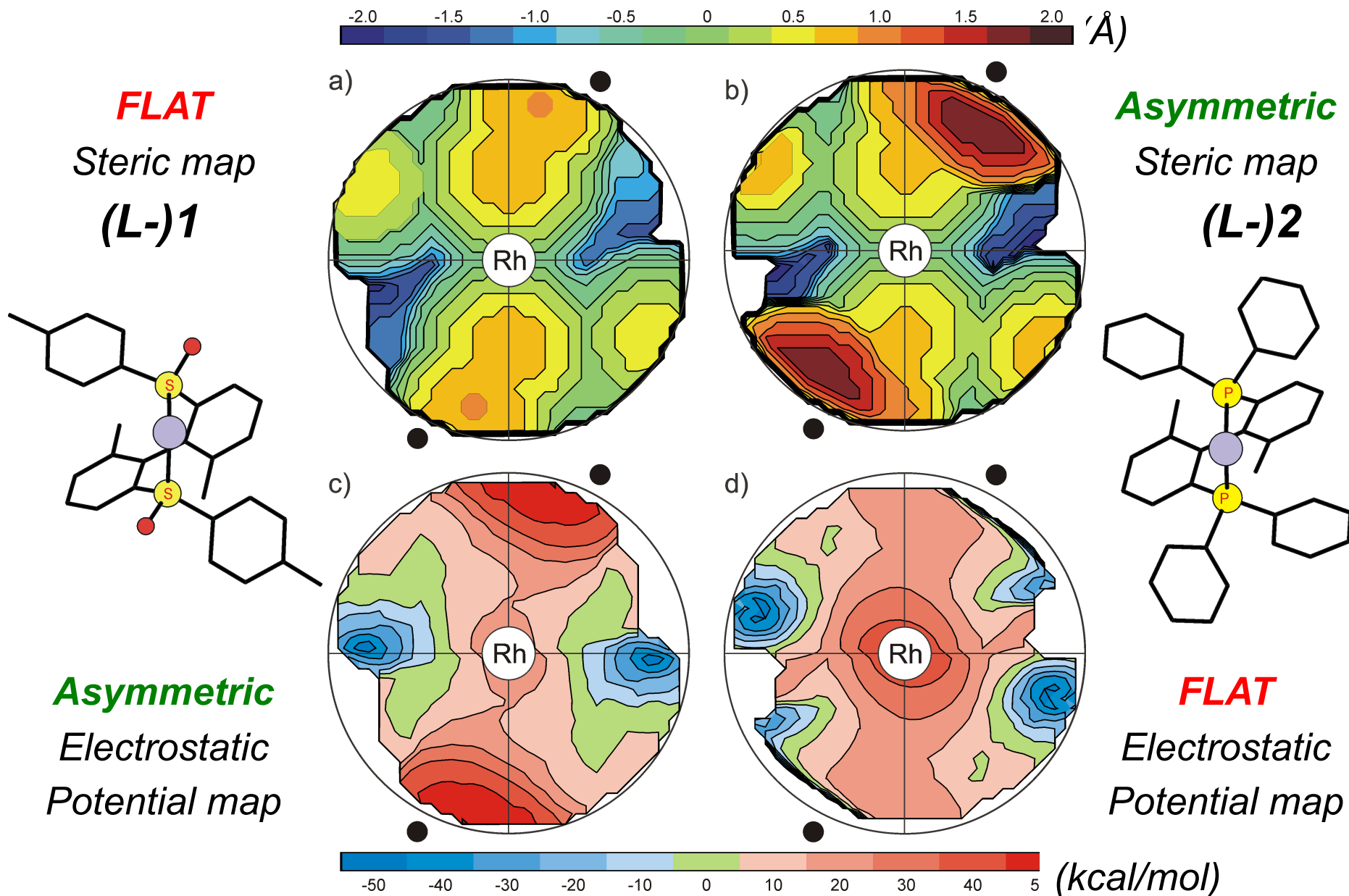
$E = 0$

$E = 3.4 \text{ kcal/mol}$



*The question remains the same:  
Why is this better ?*

# Understanding selectivities



Cavallo Dorta et al. *Chem. Eur. J.* **2010**, *16*, 14348. *Ibid.* **2010**, *16*, 14335.

## Some tips...

1. Never trust your computer
2. Computers have no brain, only muscles
3. Trust your brain
4. Be always suspicious
5. Look for trends rather than single values
6. Use good chemical sense
7. Try to go beyond numbers, often they are already known
8. Select the best computational cost/accuracy compromise

Grazie