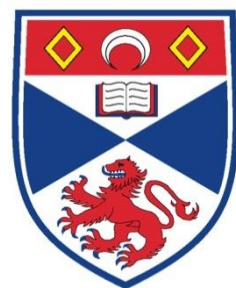


Organometallic Chemistry for Homogeneous Catalysis

Dedicated to all who suffer as a result of the Italian earthquakes, especially in Camerino

David Cole-Hamilton
EaStCHEM,
University of St. Andrews
President EuCheMS



Thanks to:

**Paul Kamer
University of St Andrews**

**Bob Tooze
Sasol Technology UK Ltd (St. Andrews)**

**Piet van Leeuwen
University of Amsterdam, ICIQ Tarragona**

Books

Homogeneous Catalysis: Understanding the Art

**Piet W. N. M van Leeuwen, Kluwer Dordrecht,
2004**

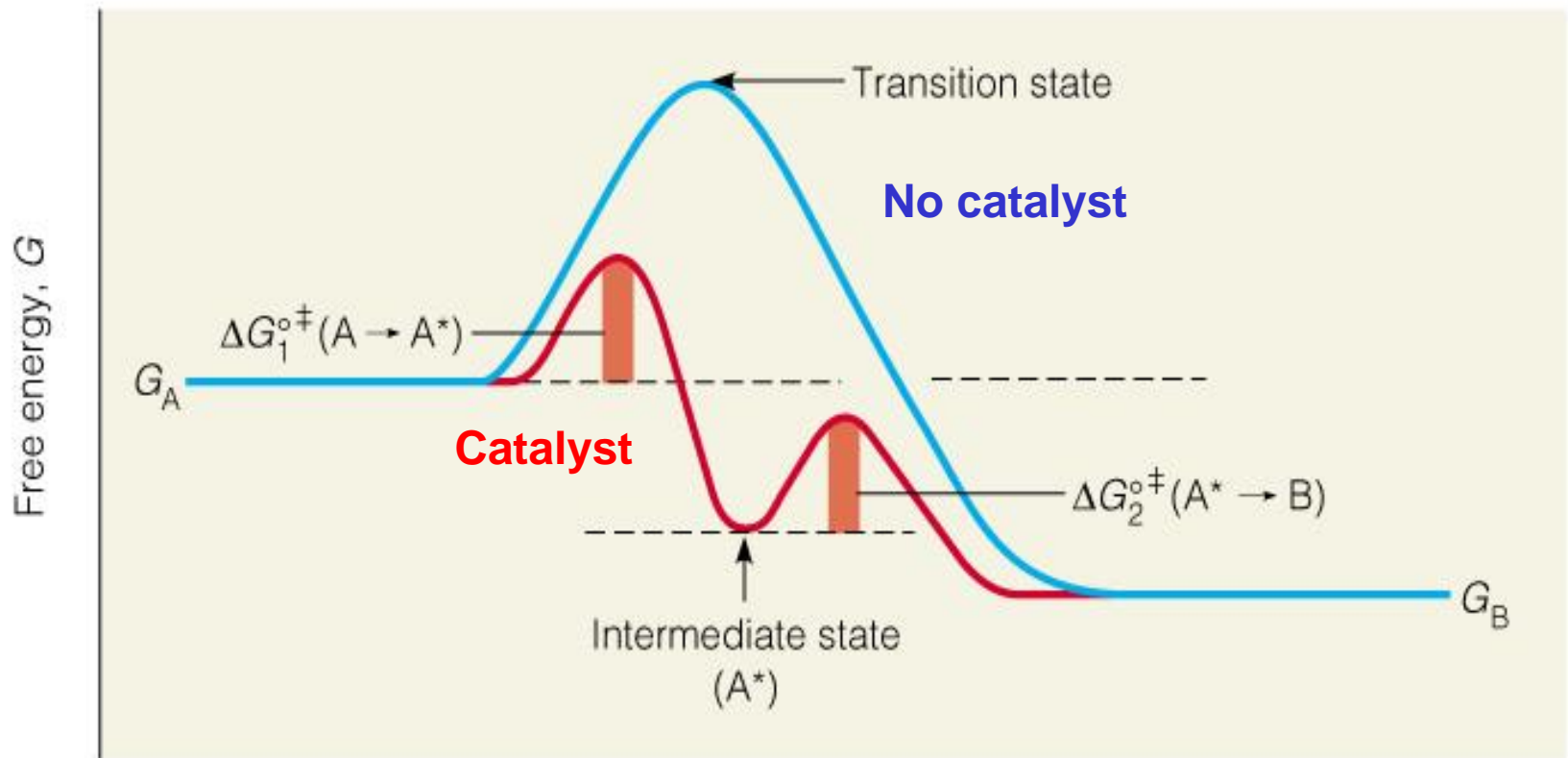
Applied Homogenous Catalysis with Organometallic Compounds,

**Eds. B. Cornils and W. A. Herrmann, Wiley, VCH,
Weinheim, 2002**

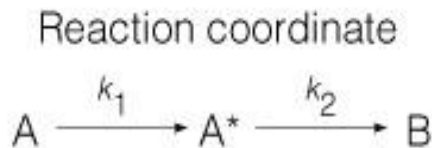
Outline

- Background to Catalysis
- Basic Principles of Homogeneous Catalysis
- Selected Examples
- Using Bioresources
- Catalysts Separation and recycling
- Flow homogeneous catalysis

Energy profile of a reaction



A catalyst lowers the activation energy of a reaction



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How Does a Catalyst Work?

- Lowering activation energy
 - Stabilization of a reactive transition state
 - Bringing reactants together
 - proximity effect
 - orientation effect
 - Enabling otherwise inaccessible reaction paths
- 触媒
Tsoo Mei
Marriage broker - catalyst

12 Principles of Green Chemistry

- P** Prevent waste ✓
- R** Renewable materials ✓
- O** Omit derivatisation ✓
- D** Degradable chemical products
- U** Use safe synthetic methods ✓
- C** Catalytic reagents ✓
- T** Temperature, pressure ambient ✓
- I** In-process monitoring
- V** Very few auxiliary substances ✓
- E** E-factor, maximise feed in product ✓
- L** Low toxicity of chemical products
- Y** Yes, it is safe ✓

E Factor

$$\text{E-Factor} = \text{total waste (kg)} / \text{product (kg)}$$

E-Factors in the chemical industry

Industry segment	Product tonnage	E-Factor
Oil refining	10^6 - 10^8	<0.1
Bulk chemicals	10^4 - 10^6	<1-5
Fine chemicals	10^2 - 10^4	5-50
Pharmaceuticals	10 - 10^3	25-100

E-Factor in Pharmaceuticals:

Multiple step syntheses

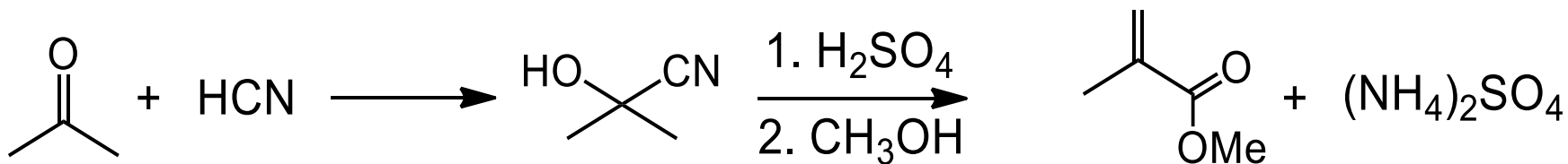
Use of classical stoichiometric reagents

However, lower absolute amount (compared to bulk).

Advantages of Catalytic Process

Methyl Methacrylate (for Perspex)

Old process: 2.5 kg waste / kg product



Different types of Catalysts

Heterogeneous

- Usually a solid in a different phase from the reactants
- Usually metal or metal oxide

Homogeneous

- In the same phase as the reactants
- Usually a dissolved metal complex

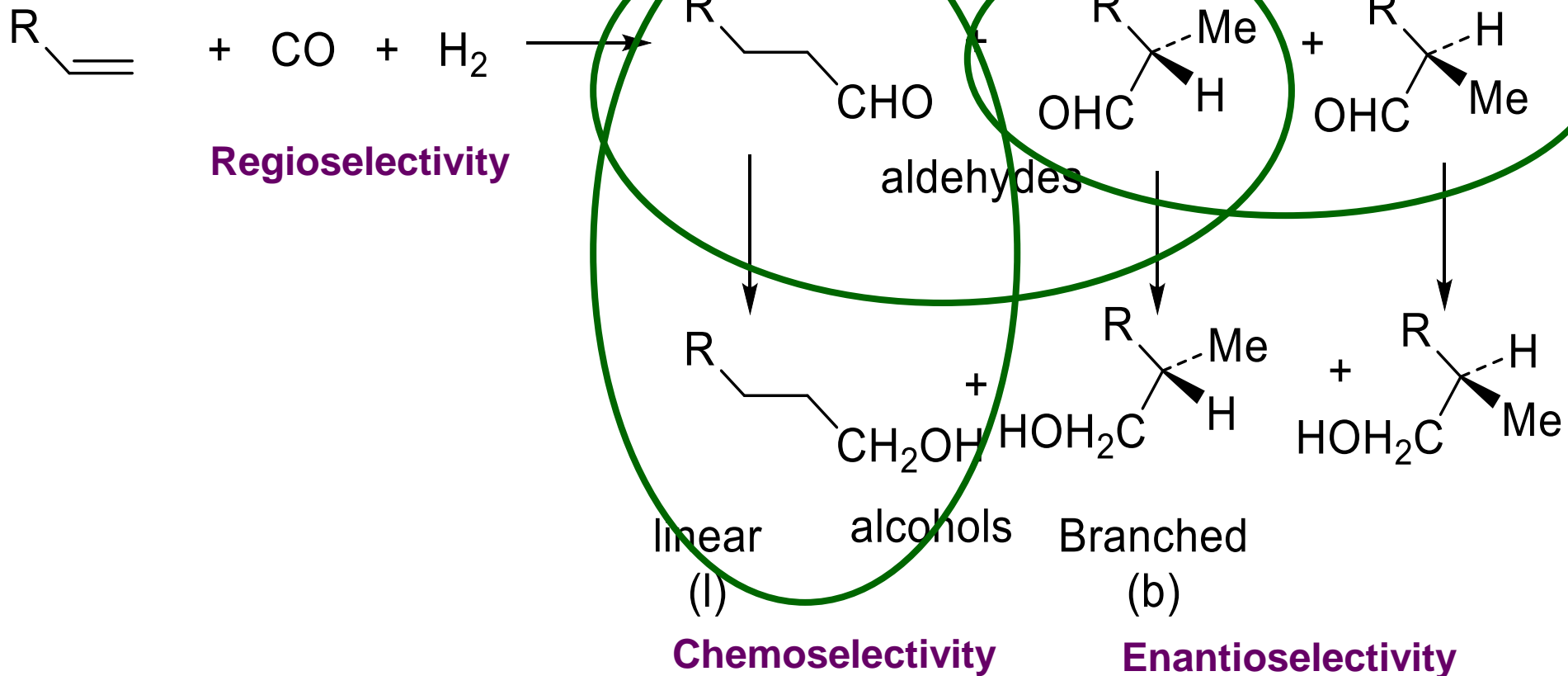
Enzyme (Biological)

- Usually a complex system in water
- Highly active and selective
- Sometimes rather unstable
- Becoming increasingly popular

Heterogeneous vs Homogeneous Catalysis

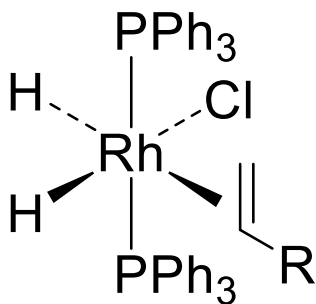
Heterogeneous	Homogeneous
Solid metal or metal oxide	Metal complex
Solvent not required	Solvent required (usually)
Thermally robust	Thermally sensitive
Only surface atoms available	All metal centres available
Selectivity can be poor	Selectivity can be tuned
Difficult to study while operating	In situ spectroscopy
Easy separation from products	Difficult product separation
Some processes only heterogeneous	Some processes only homogeneous
$\text{N}_2 + 3 \text{H}_2 \longrightarrow 2 \text{NH}_3$	$\text{MeOH} + \text{CO} \longrightarrow \text{MeCO}_2\text{H}$
Exhaust catalyst	Hydroformylation of alkenes

Selectivity / Hydroformylation



Counting electrons

- Determine the oxidation state of the metal and hence the number of d electrons.
- Add 2 for each ligand (note that benzene coordinates through the 3 double bonds so gives 6).
- Add electrons for overall negative charges, subtract electrons for overall positive charges.

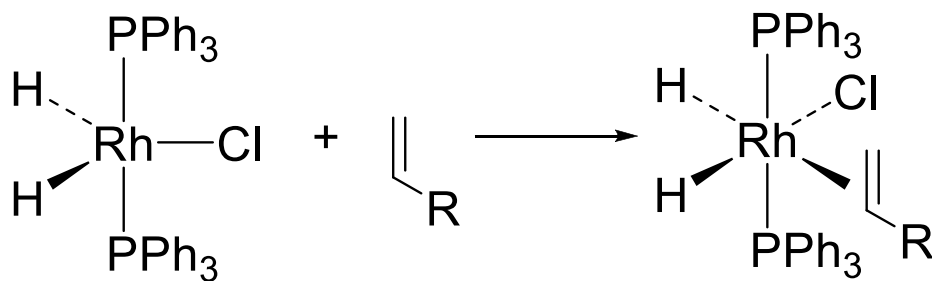


Rh ^{III} 4d ⁶	6 e
6 x 2e ligands	12 e
Total	18 e

Reactions in catalytic cycles

Coordination

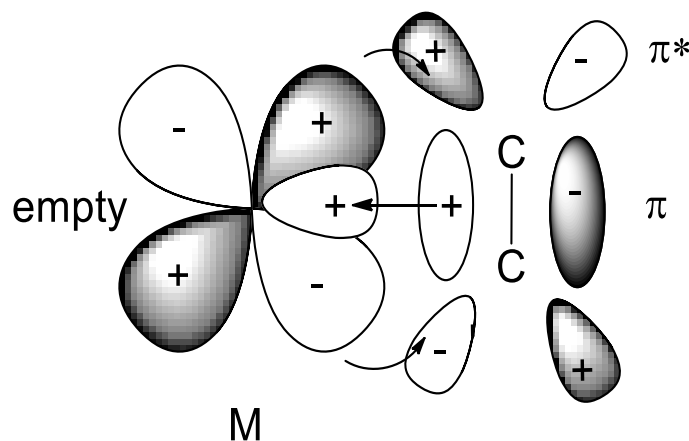
- Need a vacant site (often 14 or 16 e intermediate)



Rh^{III} $4d^6$	6 e	
5 2e ligands	10 e	18 e
Total	16 e	

Bonding of alkenes

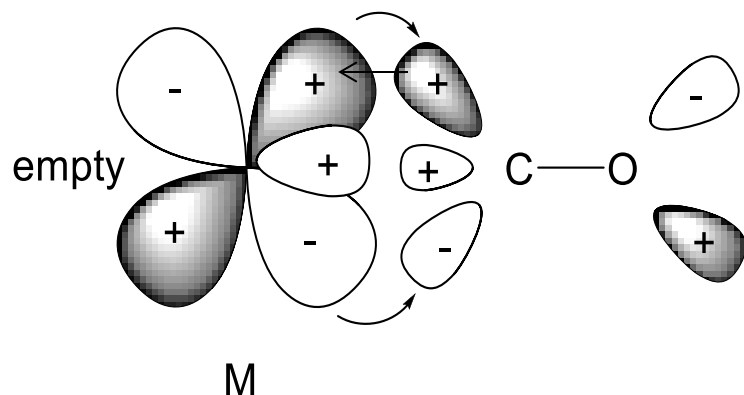
- Donation of electron density from π orbital on C=C to an empty s, p or d orbital on the metal
- Back donation of electron density from the filled t_{2g} level on the metal to the empty π^* orbital on C=C



Adds 2 e

Bonding of CO

- Donation of a lone pair of electrons from the C atom of CO to an empty s, p or d orbital on the metal
- Back donation of electron density from the filled t_{2g} level to the empty π^* orbital on CO

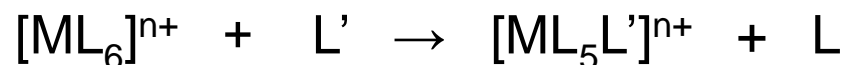


Adds 2 e

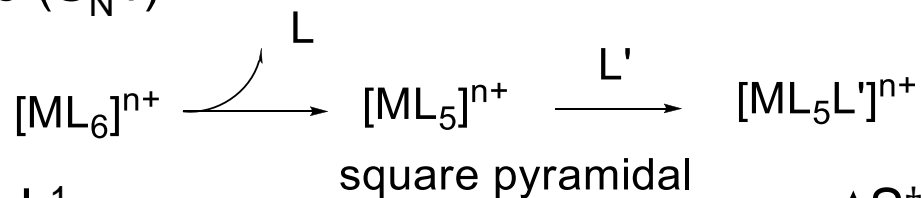
Substitution

Octahedral complexes

Mechanism



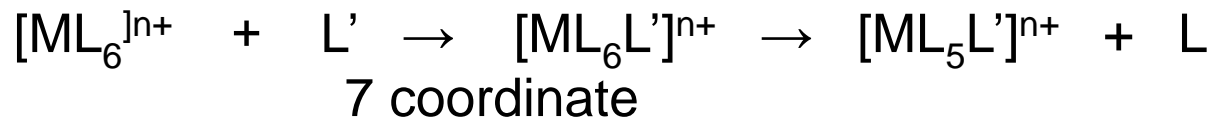
1) Dissociative ($\text{S}_{\text{N}}1$)



0 order in L' .

ΔS^\ddagger will be positive

2) Associative ($\text{S}_{\text{N}}2$)



1st order in L'

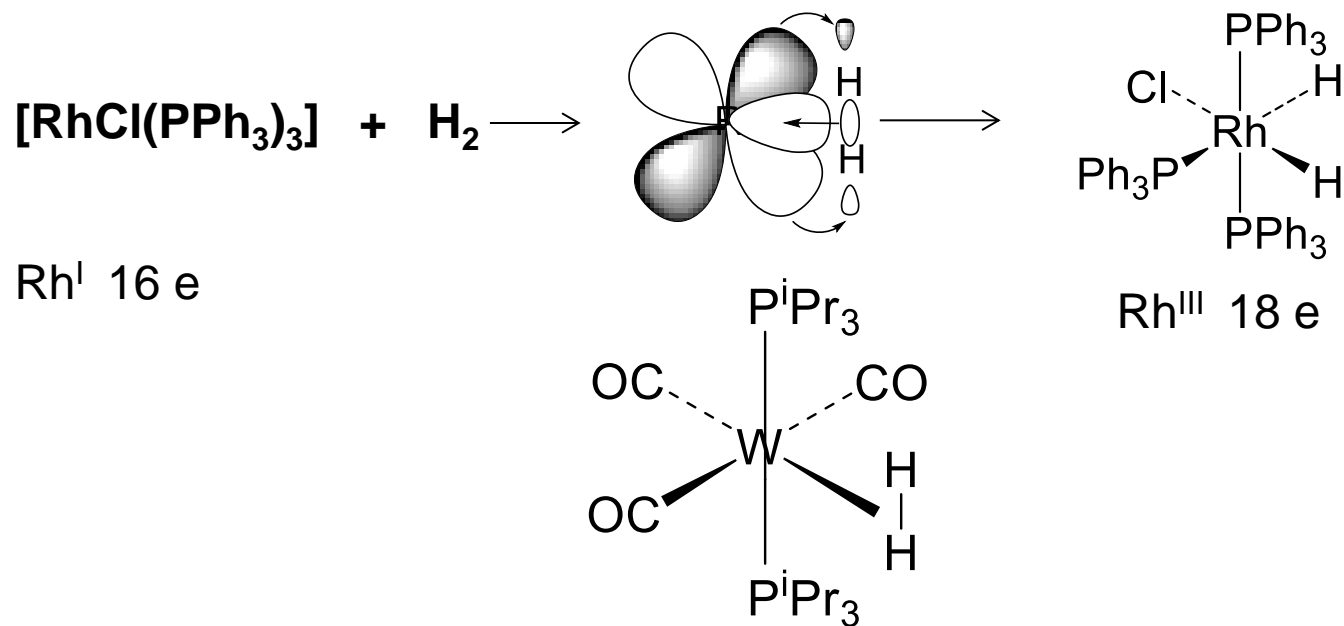
ΔS^\ddagger negative

3) Hybrid mechanisms

No change in e count

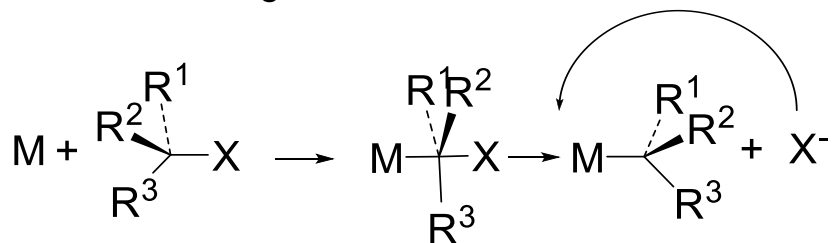
Oxidative Addition

Concerted addition

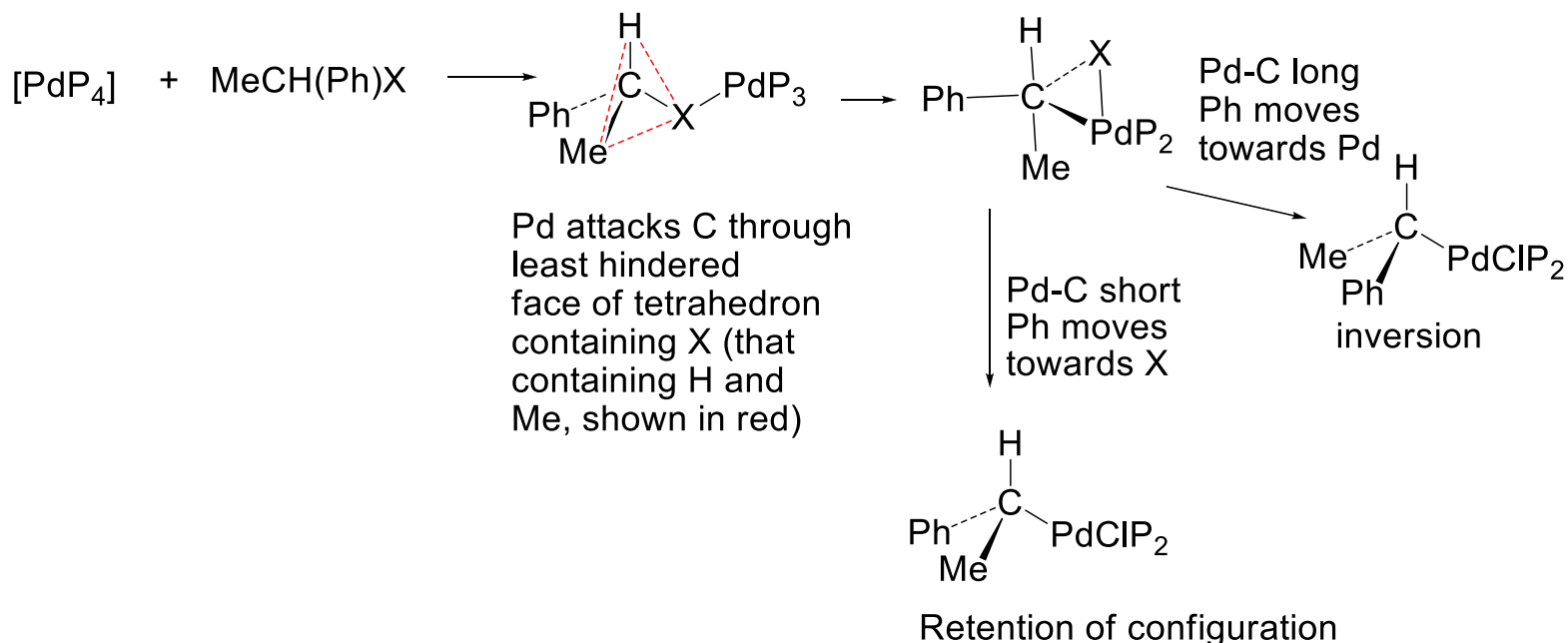


Alkyl halides – S_n2

Should give inversion of configuration



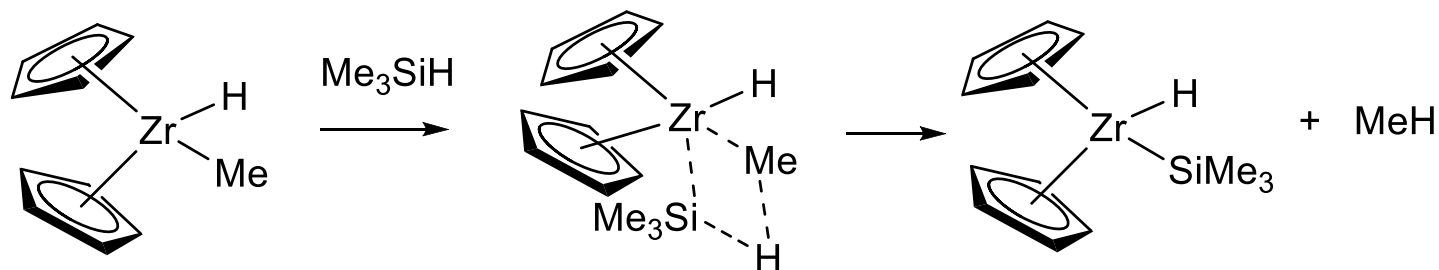
Trans addition usually goes by this mechanism



If both pathways have similar energy partial racemisation will occur

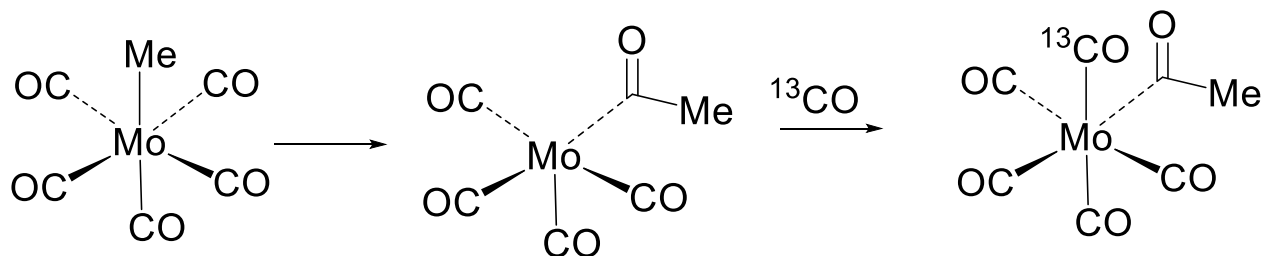
σ -bond metathesis

Occurs when metal is in highest oxidation state (d^0) and oxidative addition is not possible



No change in e count

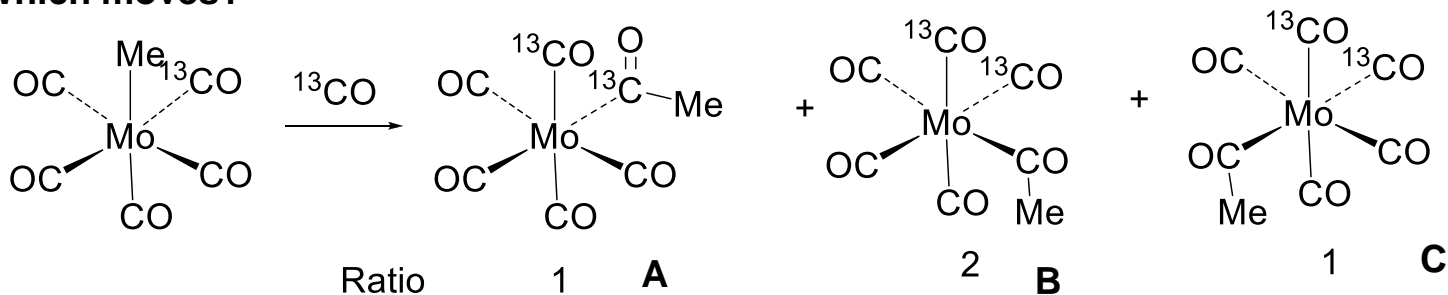
Migratory insertion



- Incoming ligand does not insert
- Incoming ligand ends up *cis* to the acyl
- Me and CO involved in migration are mutually *cis*

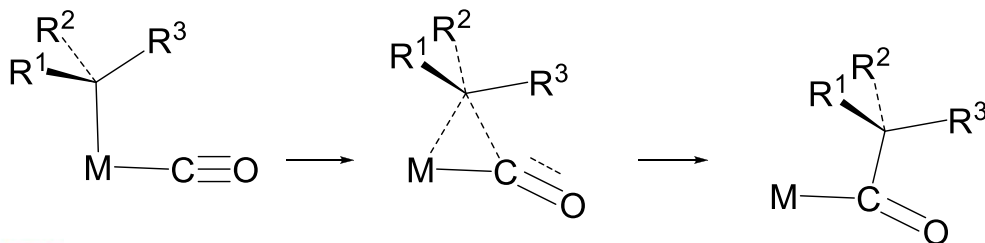
Removes 2 e

Which moves?



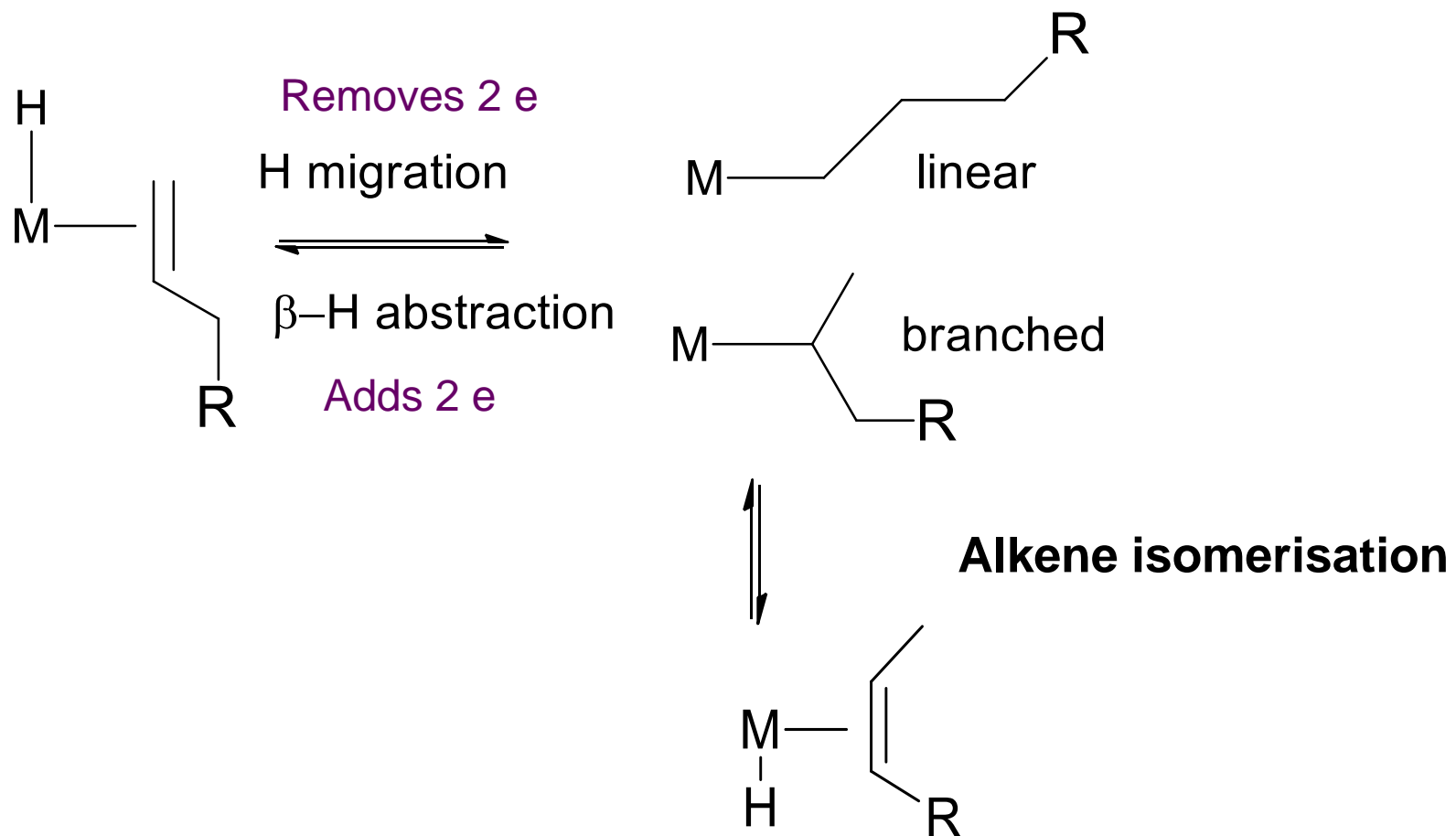
Me must move.

If CO moves cannot get C

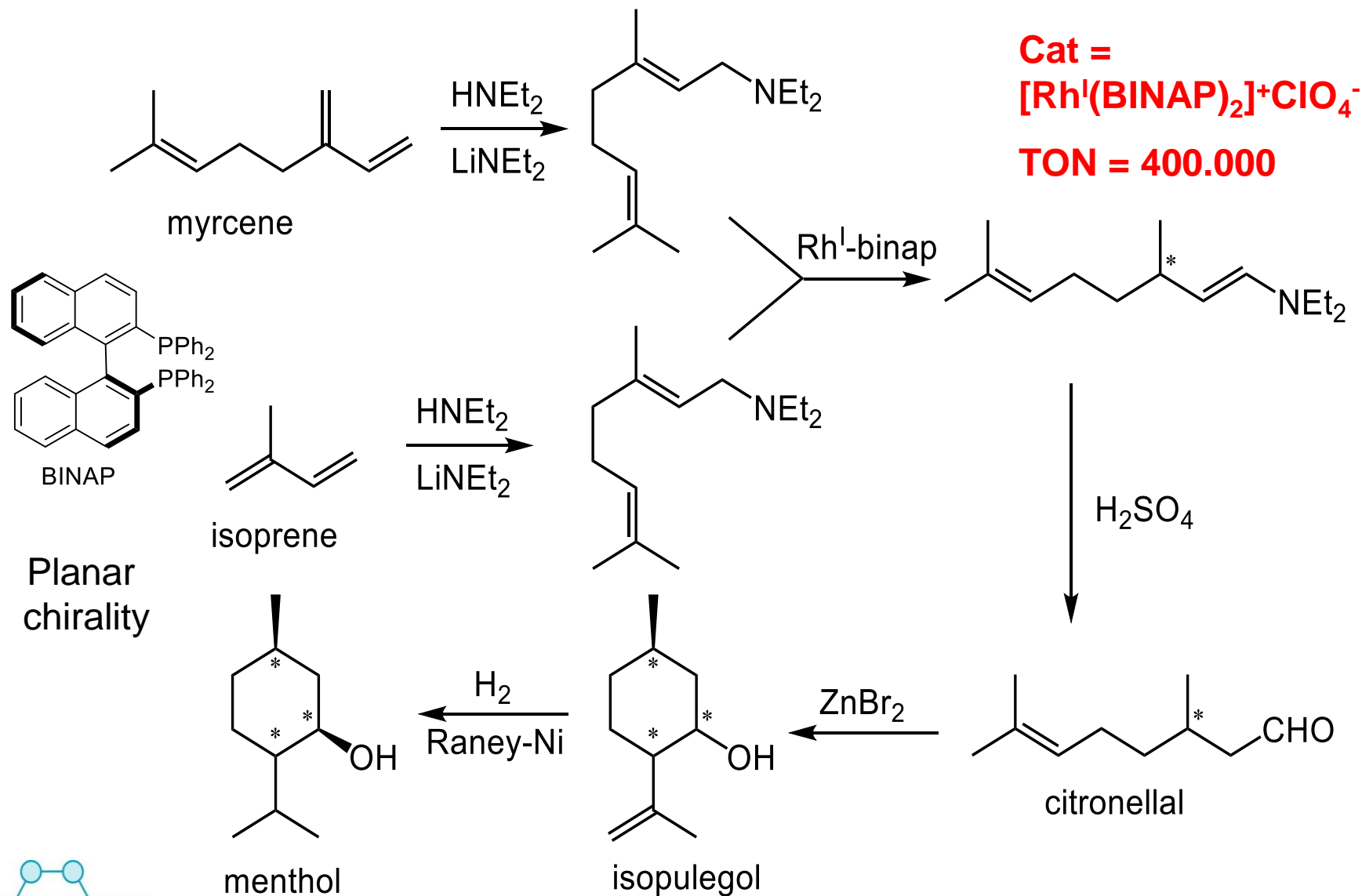


Retention of configuration

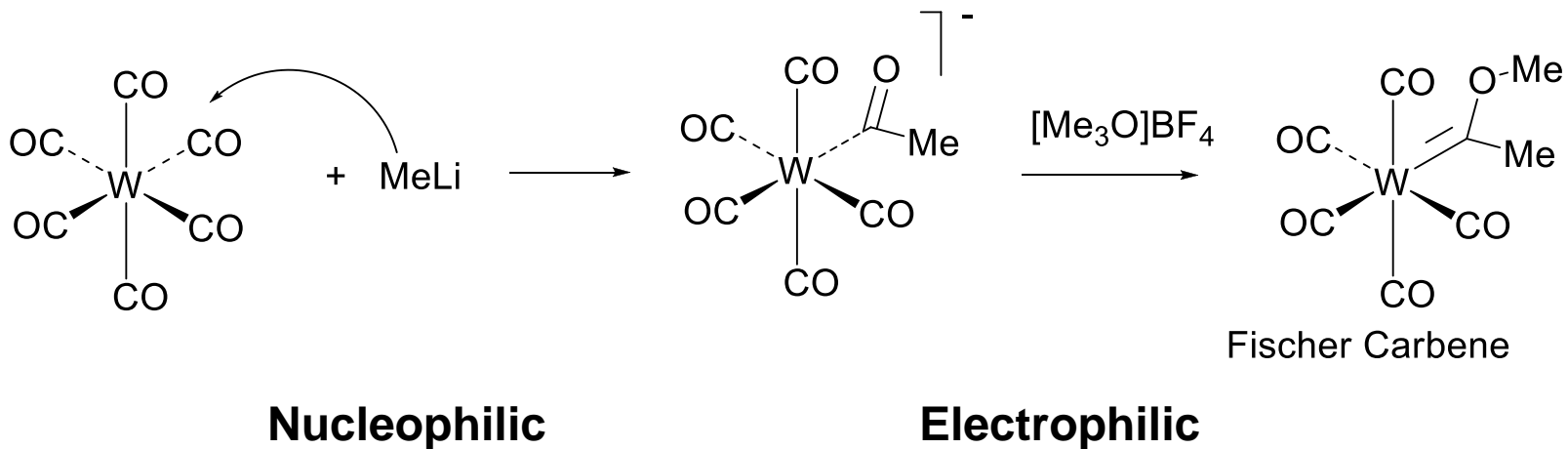
Hydride migration and β -hydrogen abstraction



Takasago Menthol Synthesis



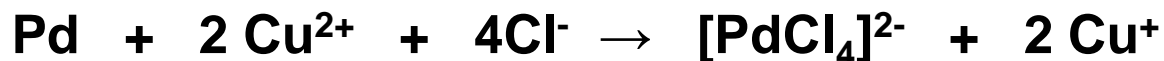
Attack on Coordinated ligands



E. O. Fischer and co-workers

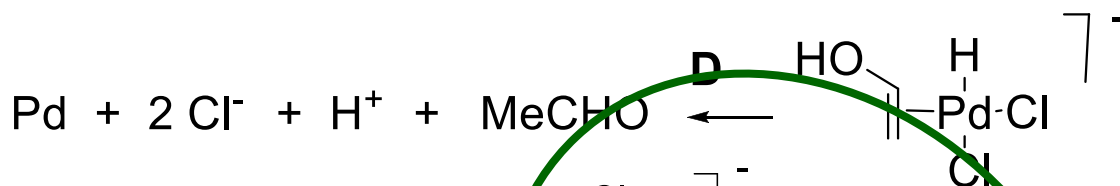
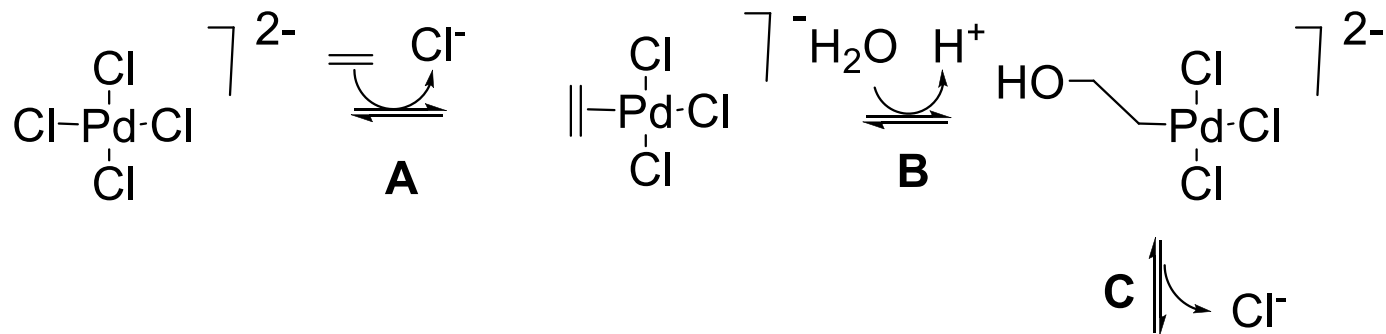
No change in e count

The Wacker Process

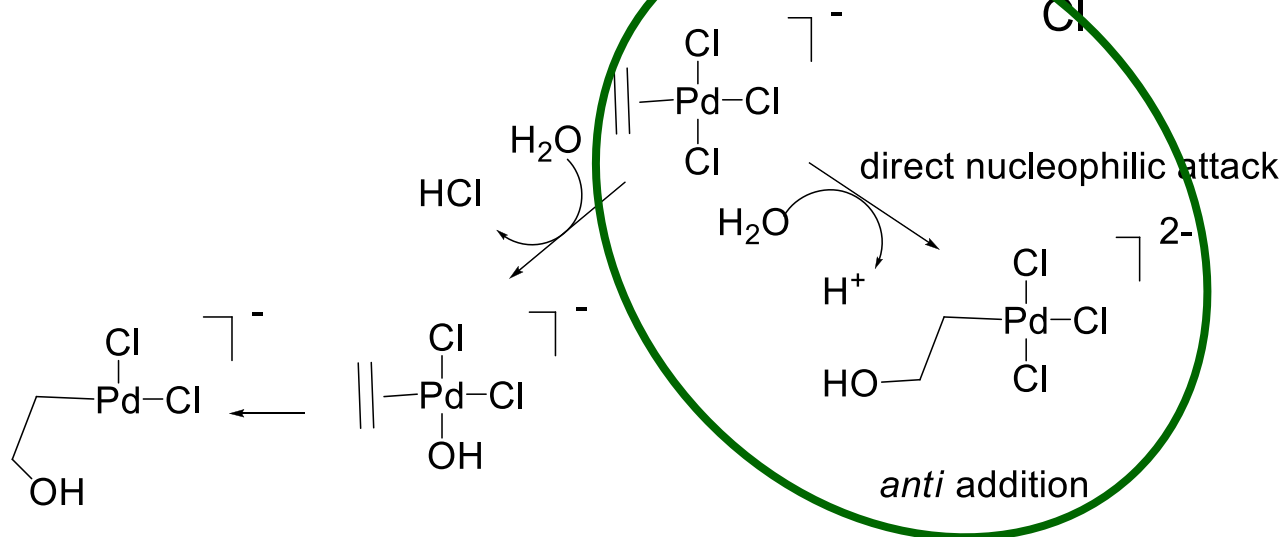


1970's 2 M tonnes per year - decreasing

Mechanism



Step B:



syn addition

Unit steps in Catalytic reactions

**Change in No
of electrons**

Introduction of substrates onto metal centre

- Simple Coordination +2
- Substitution 0
- Oxidative Addition +2
- Sigma bond metathesis 0

Reactions between substrates in coordination sphere.

- Migratory insertion -2
- Attack of Nucleophiles onto coordinated ligands 0
- β -H abstraction +2

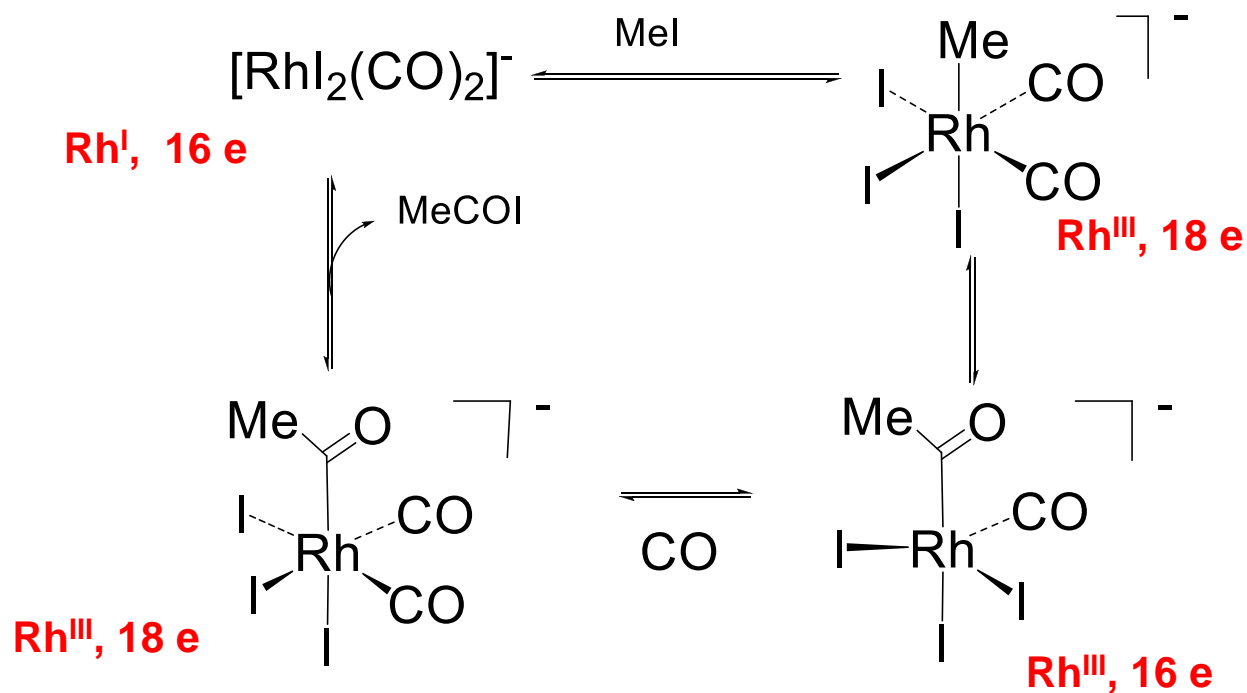
Releasing substrates from Metal Centre.

- Decoordination -2
- Substitution 0
- Reductive Elimination -2
- Sigma bond metathesis 0

Reverse of introduction

16/18 e Rule

- Catalytic cycles often proceed through a variety of intermediates alternating between 16 and 18 electrons





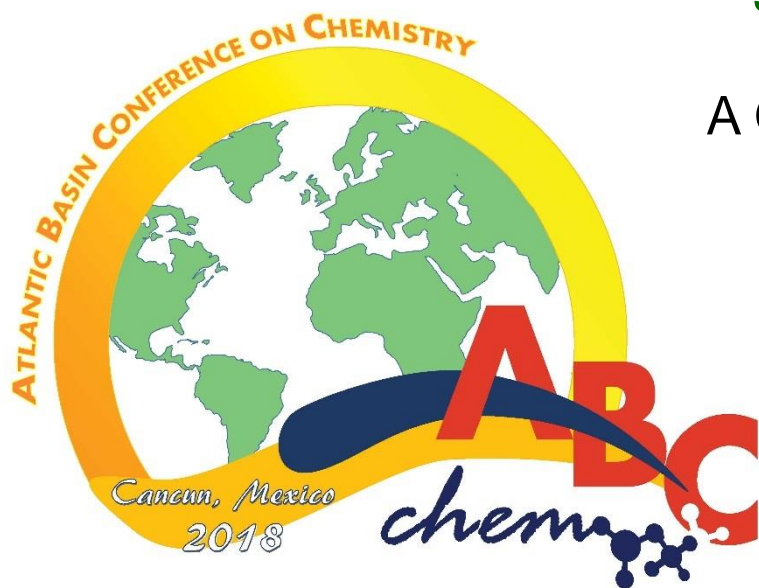
7th EuCheMS
Chemistry Congress

LIVERPOOL UK
26-30 August 2018



<http://www.rsc.org/events/euchems2018>

Register now!



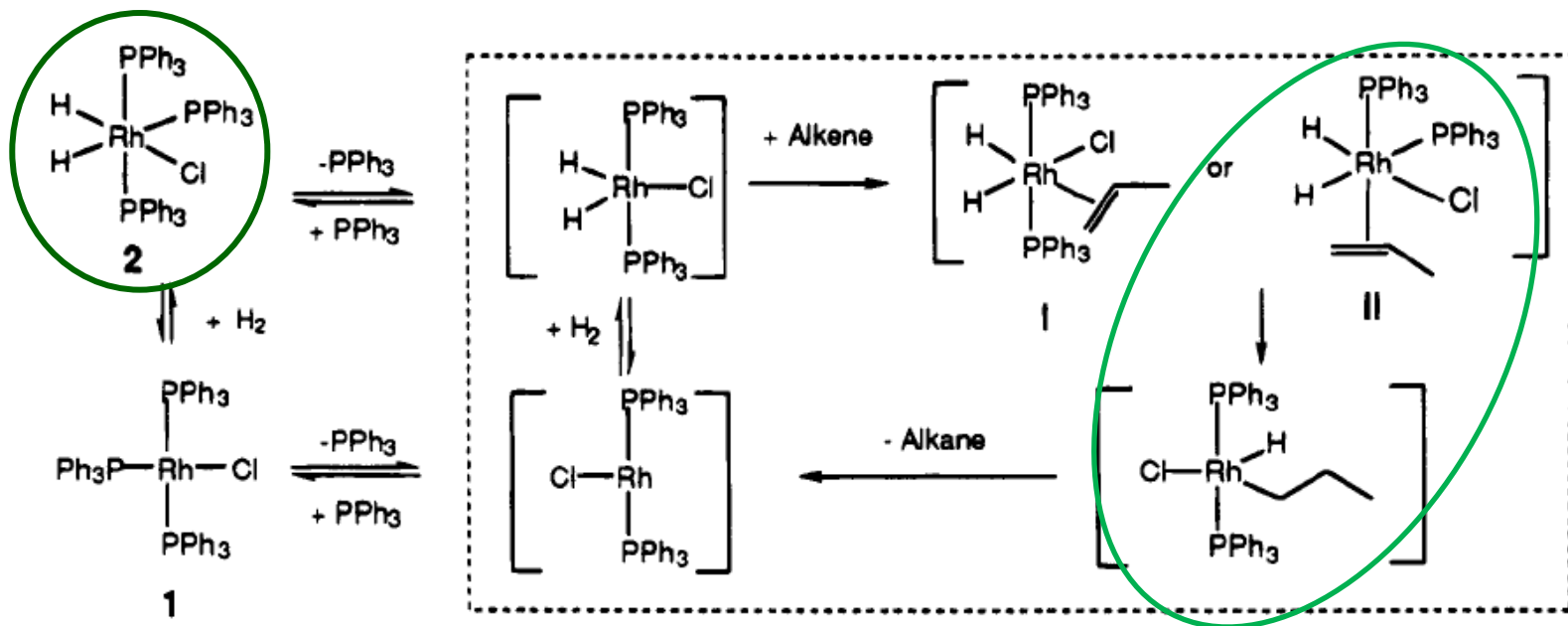
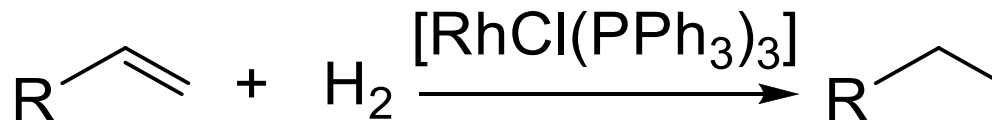
23-26 January, 2018

A Gordon-style conference for chemists around
the Atlantic Basin



Register NOW at <http://abcchem.org/registration/>

Catalytic hydrogenation (Wilkinson's catalyst)

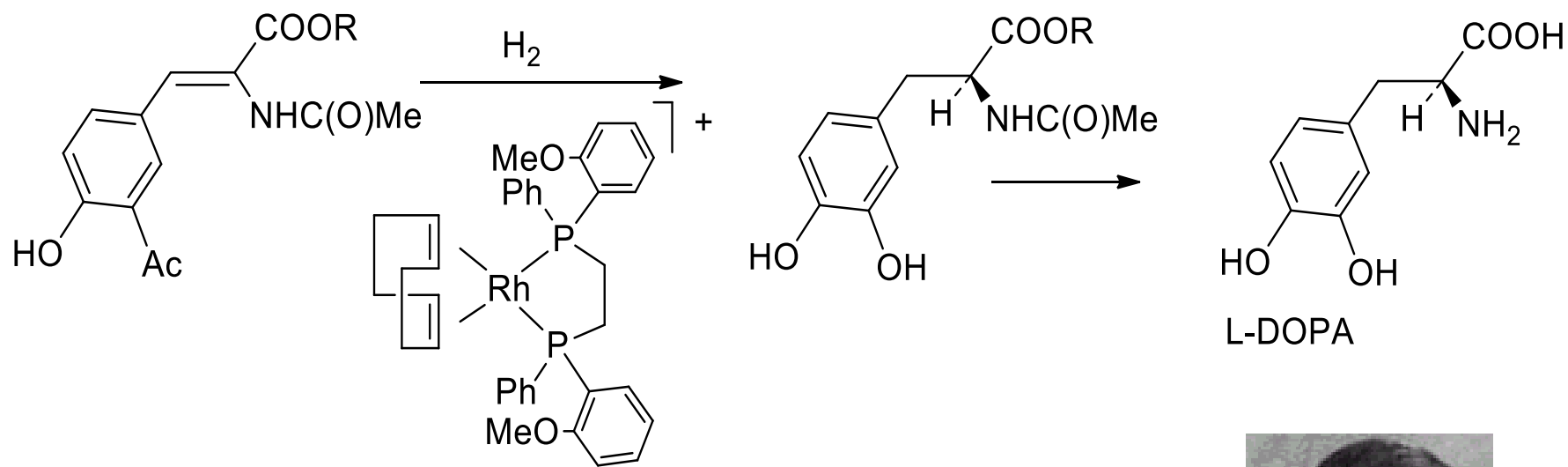


By ^{31}P and ^1H NMR spectroscopy see only compound 2, a resting state outside the catalytic cycle – no information about cycle

Kinetics suggest H migration onto alkene is rate determining

Parahydrogen allows detection of II

Asymmetric hydrogenation



W. S. Knowles
Nobel Prize, 2001



Ketone hydrogenation

Rhodium based catalysts are usually of very low activity unless very electron rich (e. g. $[\text{RhH}(\text{CO})(\text{PEt}_3)_3]$ for aldehyde hydrogenation, J. K. MacDougall et al, *J. Chem Soc. Dalton Trans.*, 1996, 1161)

Ruthenium based catalysts are preferred

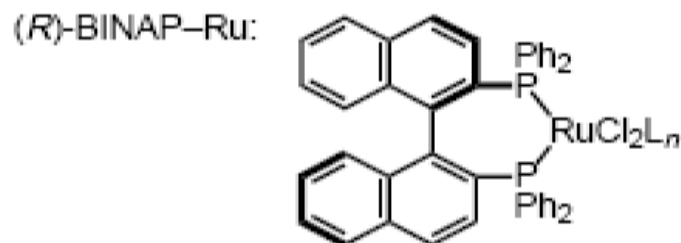
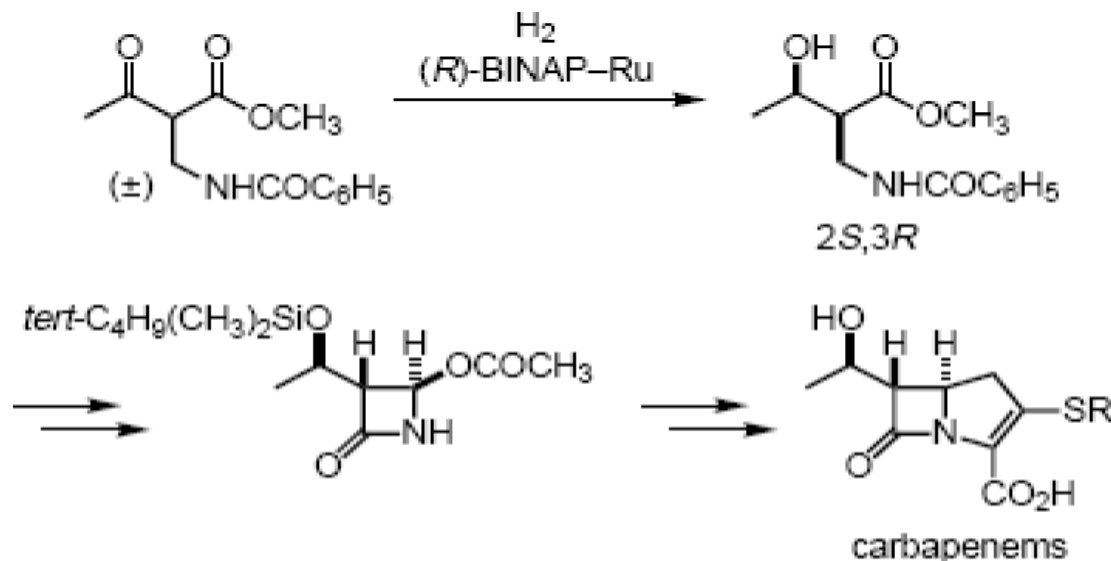
Asymmetric Catalysis by Architectural and Functional Molecular Engineering:

Practical Chemo and Enantioselective Synthesis of Ketones

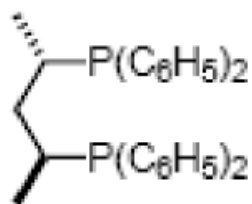
R. Noyori
Nobel Prize, 2001



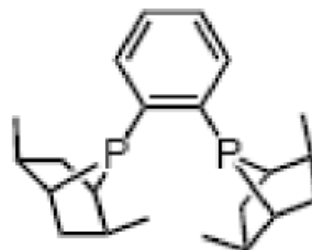
Hydrogenation of activated ketones



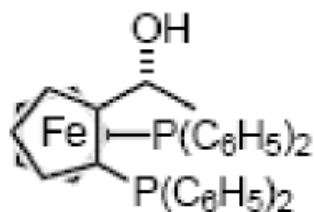
Ligands for asymmetric ketone hydrogenation



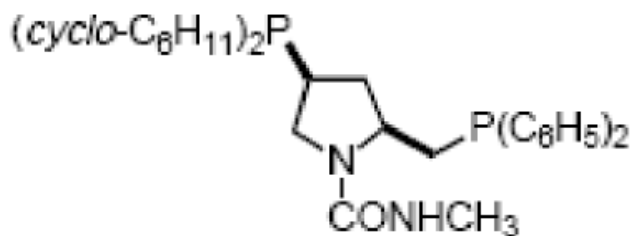
(*S,S*)-BDPP



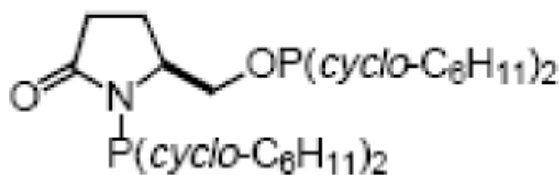
(*R,S,R,S*)-Me-PennPhos



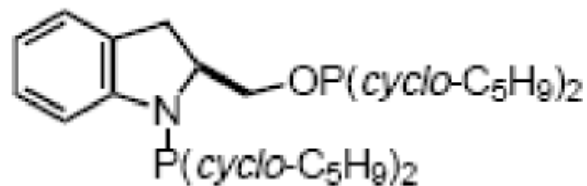
(*R,S*)-BPPFOH



(*2S,4S*)-MCCPM



(*S*)-Cy,Cy-OxoProNOP



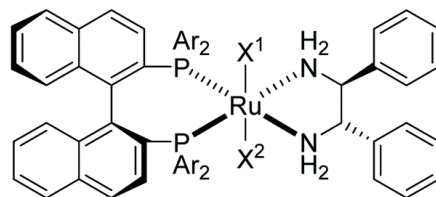
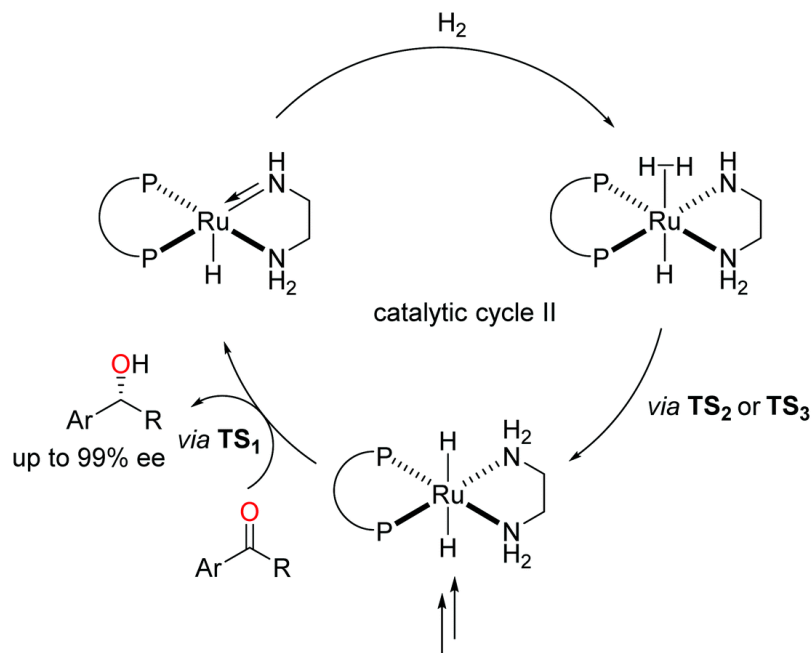
(*S*)-Cp,Cp-IndoNOP

Non-classical
ligands

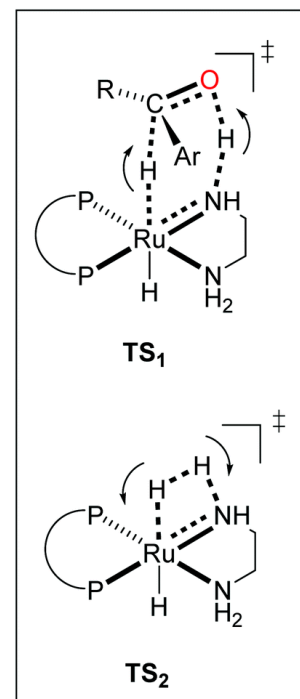
Outer sphere mechanism

**Addition of H⁺
and H⁻**

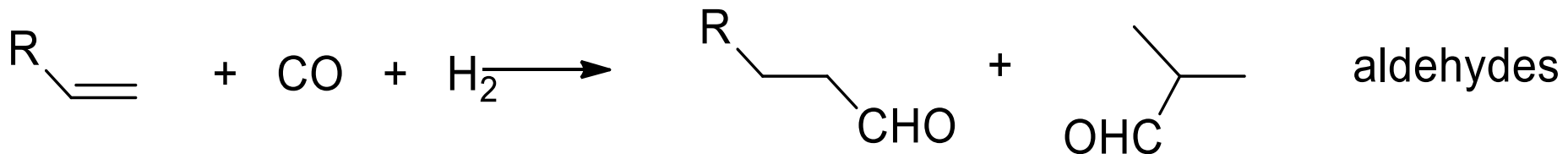
**Substrate does
not bind to the
metal**



Ar = C₆H₅, 4-CH₃C₆H₄, 3,5-(CH₃)₂C₆H₃
X¹ = X² = Cl, H or BH₄

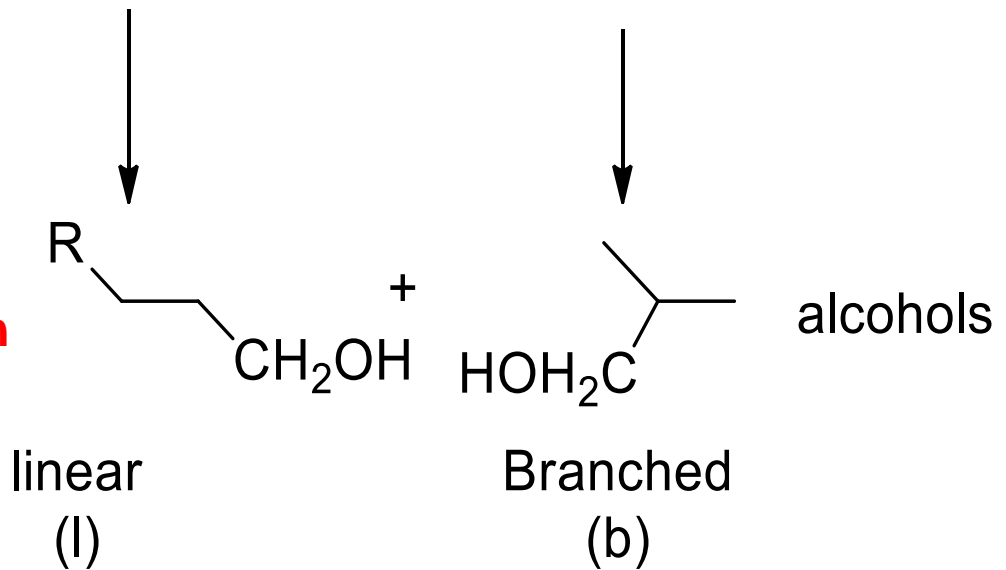


Hydroformylation

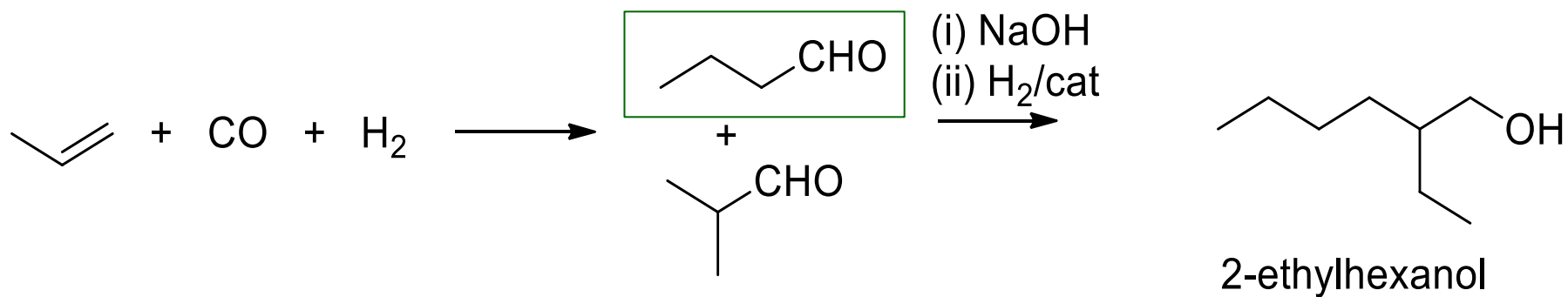


100 % atom economy
Liquid and gaseous substrates
Issues of Selectivity
Problems with product separation
Industrially very important

C₄ 6 M Tonnes y⁻¹
C₉ – C₁₄ 1.5 M tonnes y⁻¹
Plasticisers, soaps, detergents



Butanal

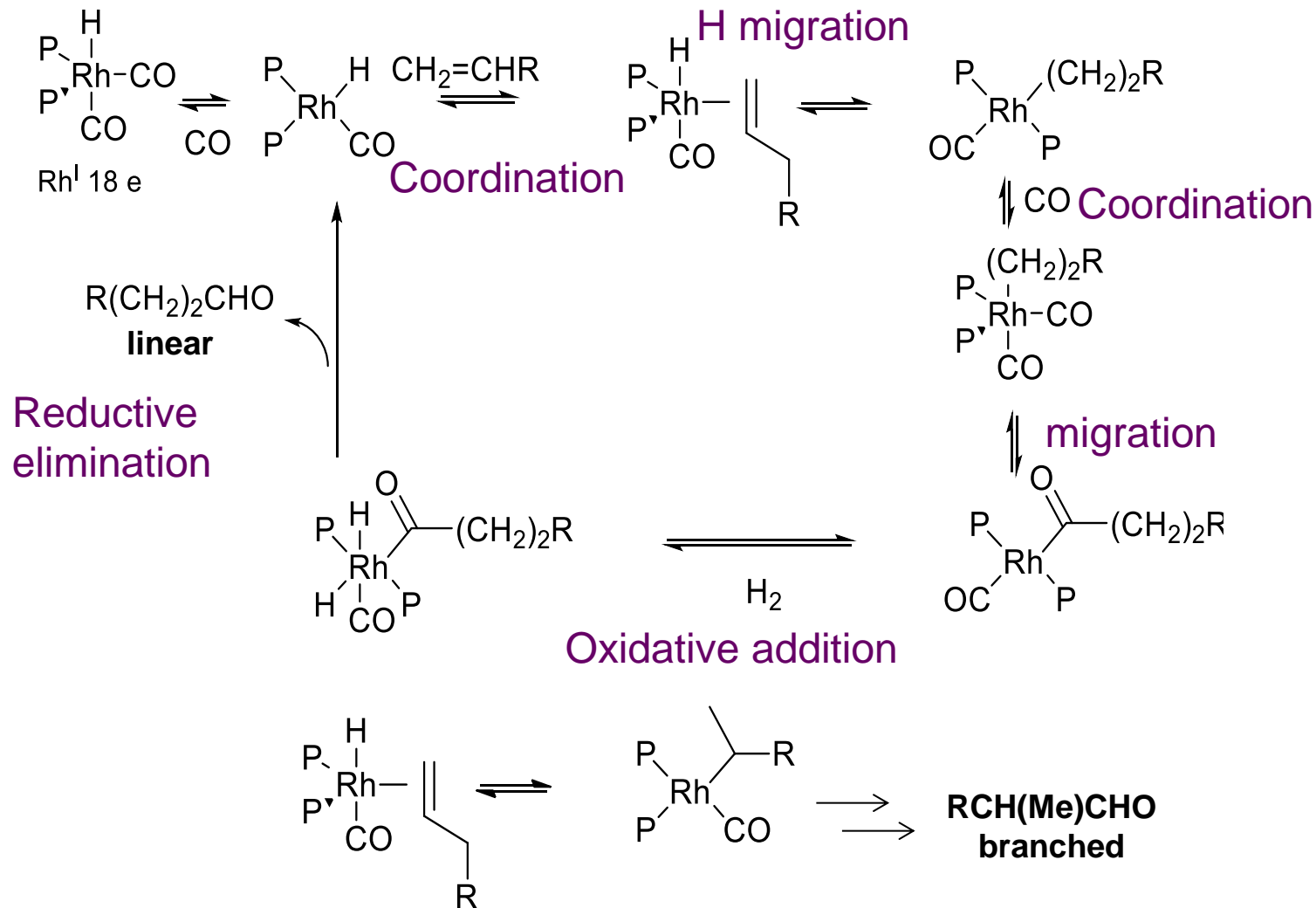


Plasticiser for PET

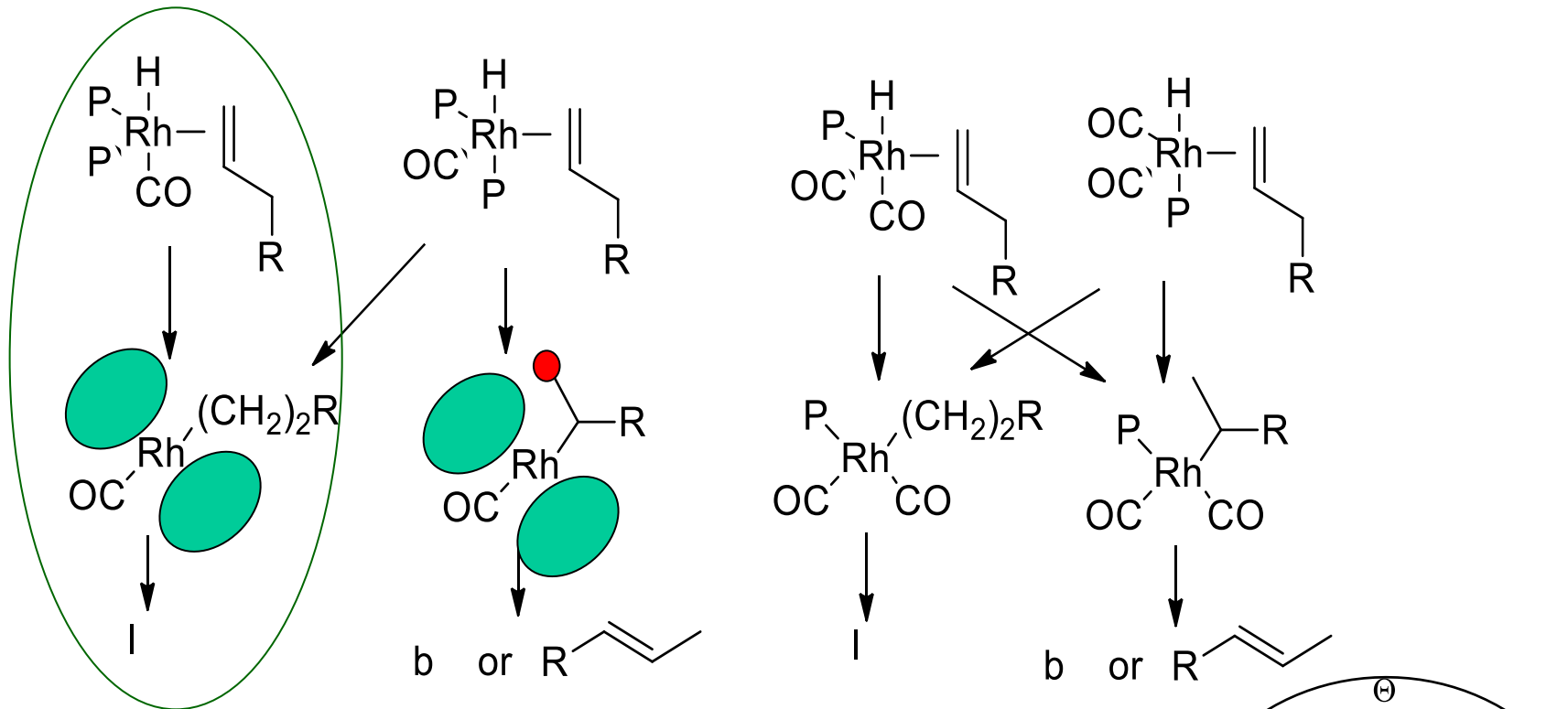


High linear selectivity is essential

Hydroformylation mechanism



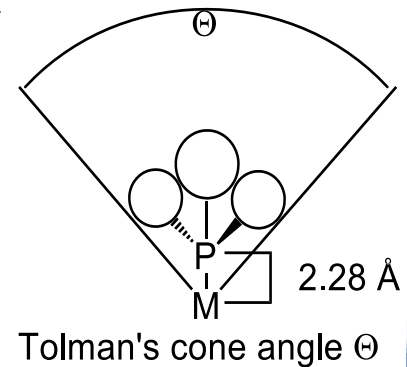
Linear branched selectivity



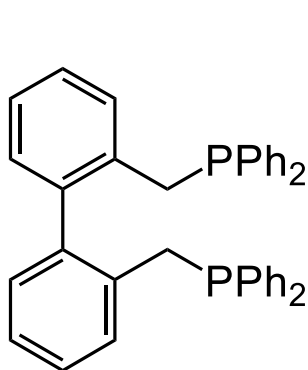
2 P equatorial (ee) – angle is 120°
 1 P equatorial, 1 axial – angle is 90°

For high linear selectivity – need

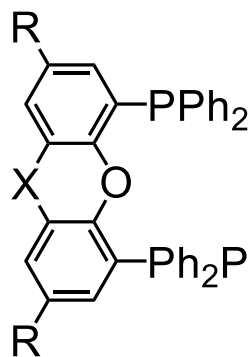
- excess of large ligands e. g. PPh_3 Rh:P = 30:1, l:b = 15
- Low p_{CO}
- OR bidentate ligand with a bite angle near 120°



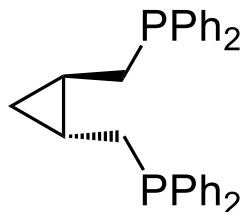
Structure-selectivity relation



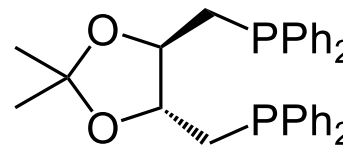
BISBI



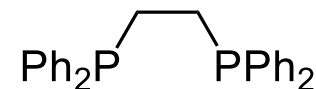
xantphos



trans-dppm-cyp



DIOP



dppe

bite angle

113°

111°

107°

102°

85°

l : b

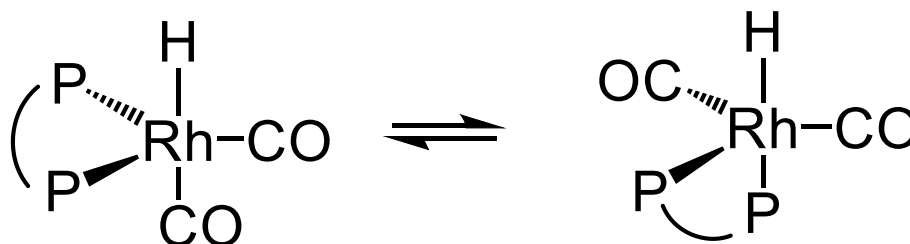
66

49

12

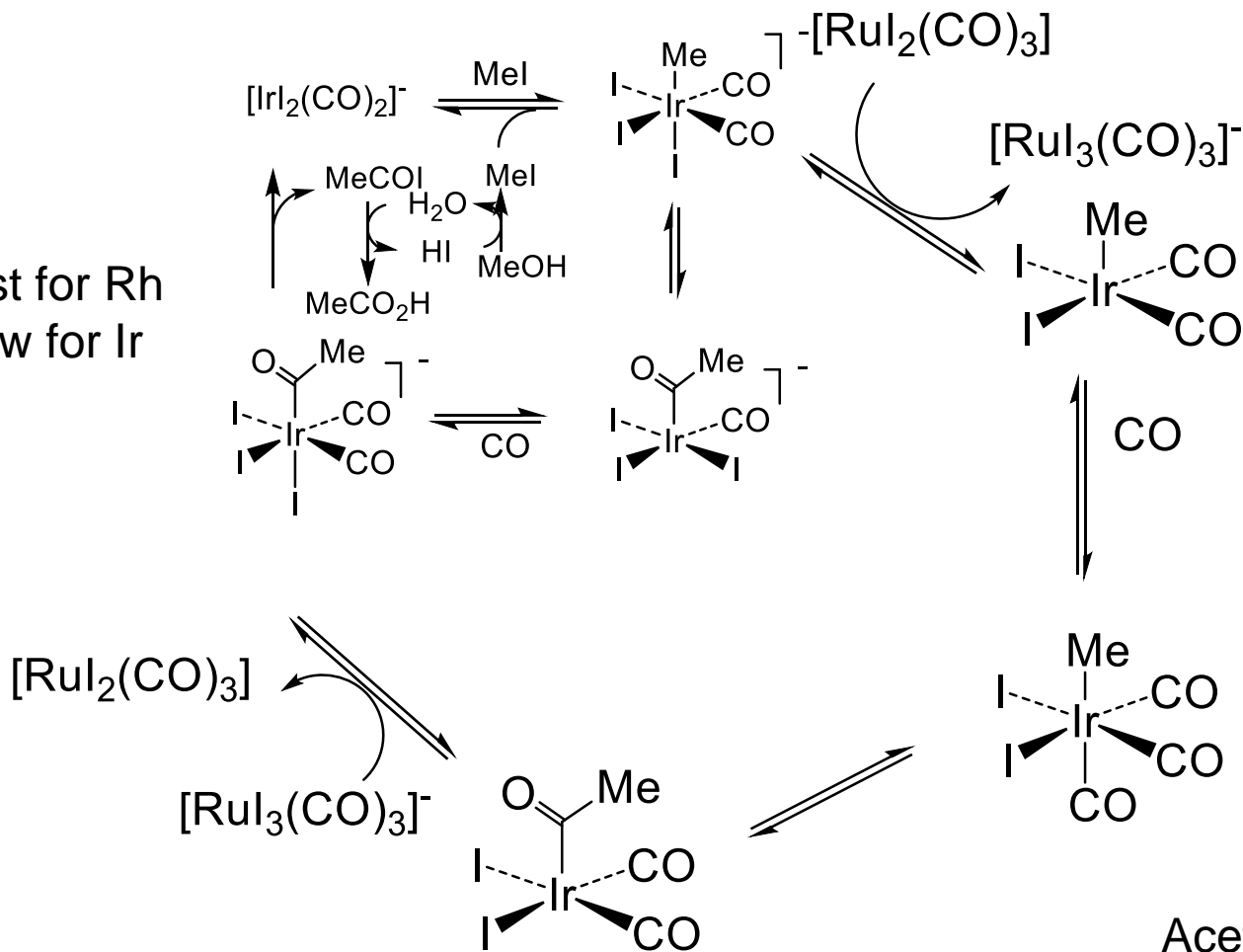
8.5

2.1



Carbonylation of methanol (Cativa)

Fast for Rh
Slow for Ir



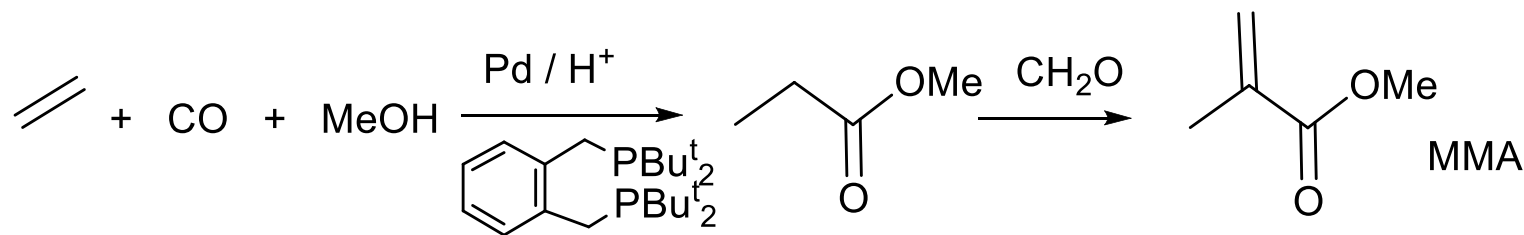
Anionic
Ox Addn fast
Migration v slow

Neutral
Ox addn slow
Migration fast

Need to cycle
Between two

Acetic acid 16 M tonnes pa

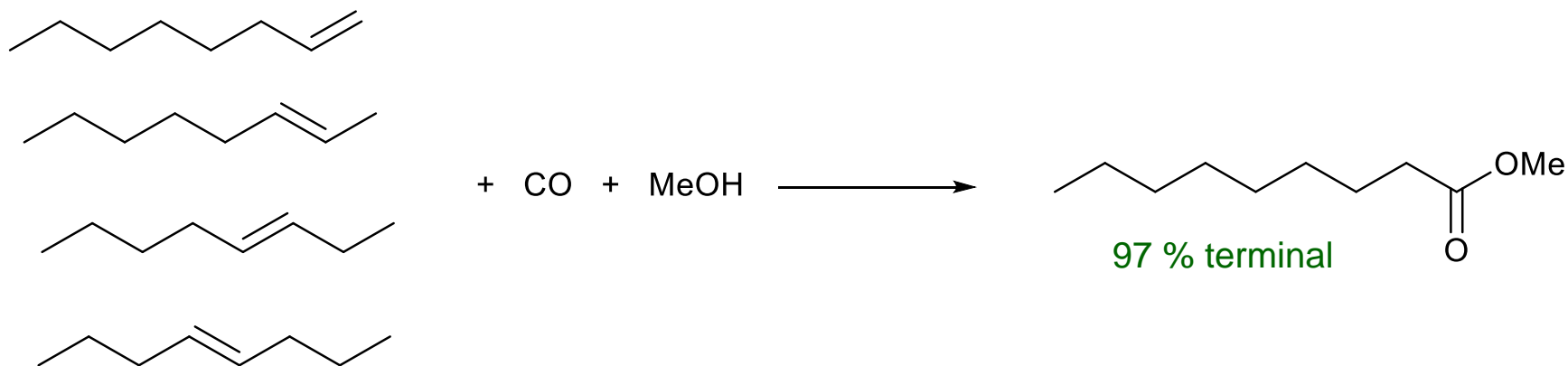
Ethene carbonylation (Lucite)



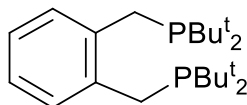
TOF = 12,000 h⁻¹ TON = > 1,000,000 Selectivity = 99.9 %

120,000 tonnes per year
Singapore

Methoxycarbonylation of alkenes



[Pd₂(dba)₃] (0.05 mmol)

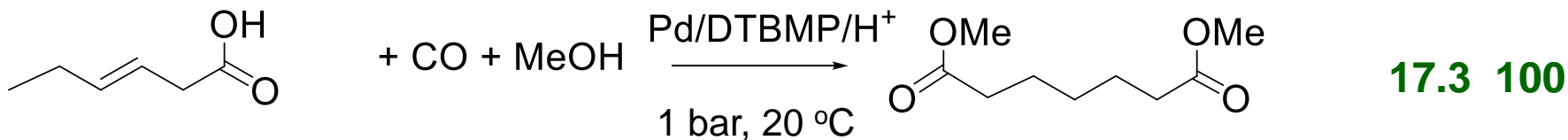
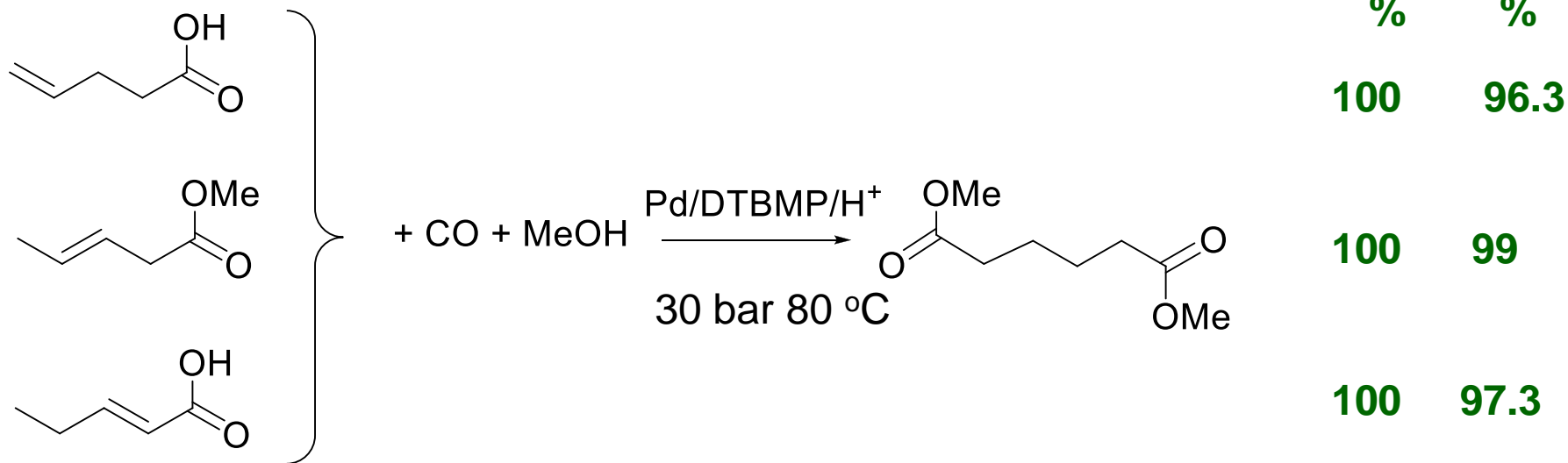


(0.5 mmol)

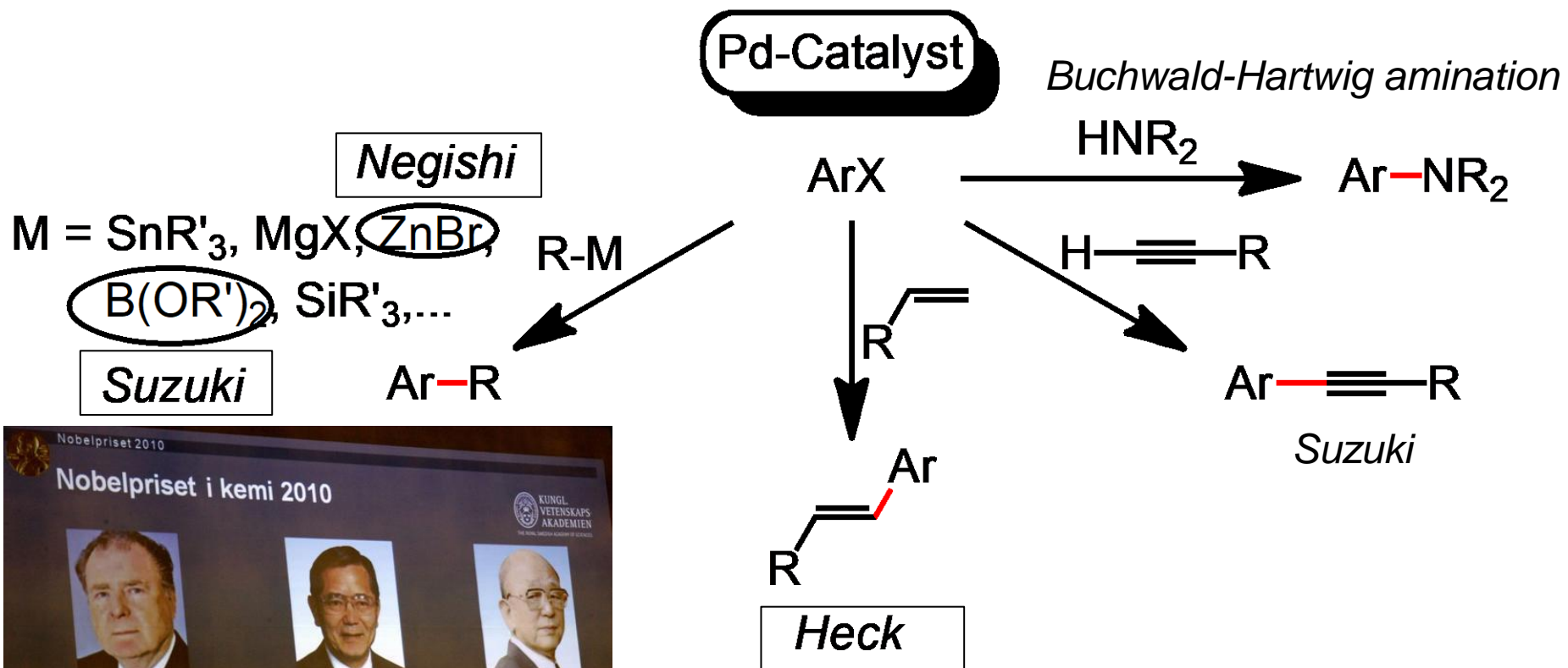
CH₃SO₃H (1 mmol), alkene (12.7 mmol), methanol (10 cm³),

CO (1-4 bar) 20 °C, 3 h

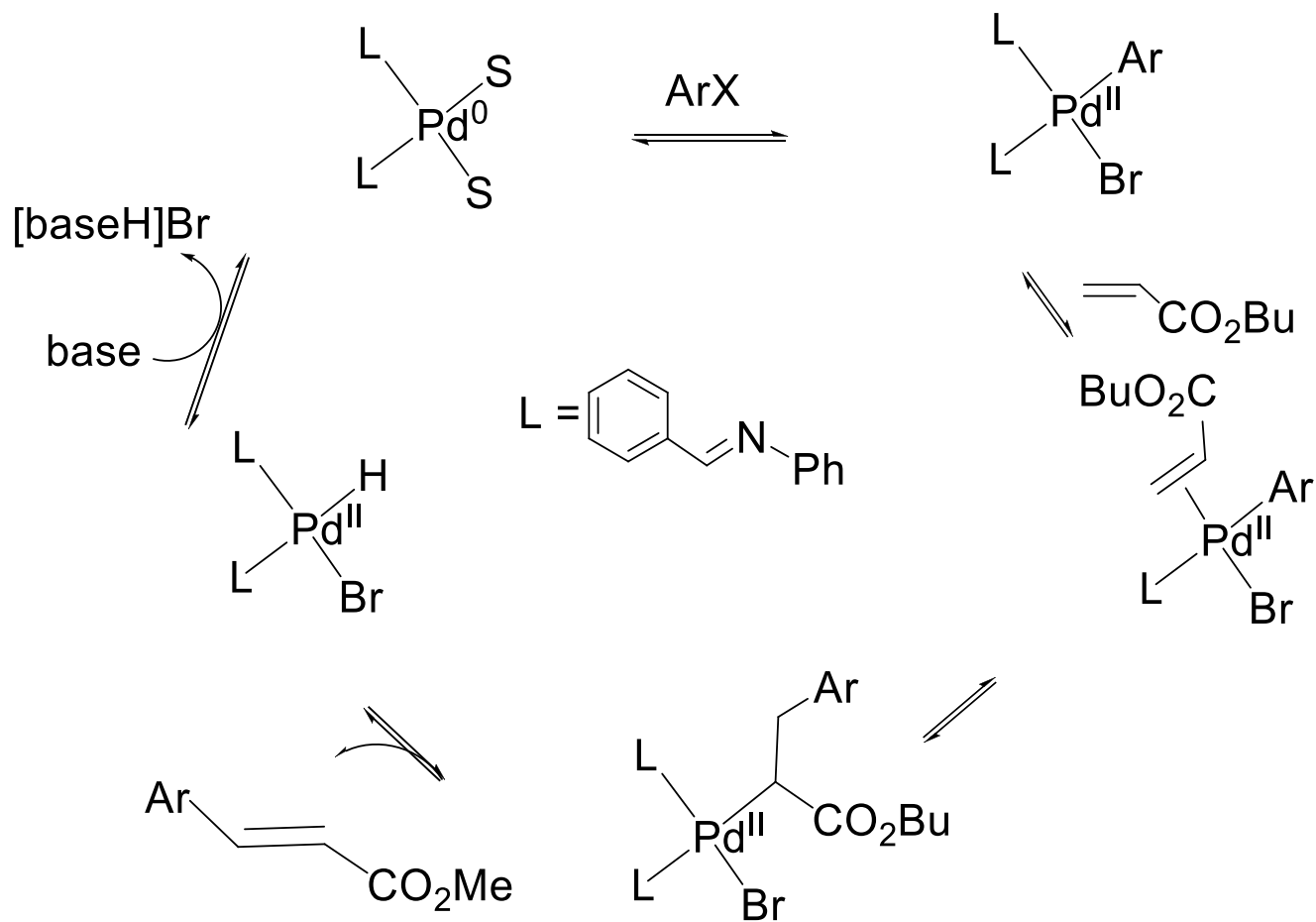
Isomerising methoxycarbonylation of unsaturated esters



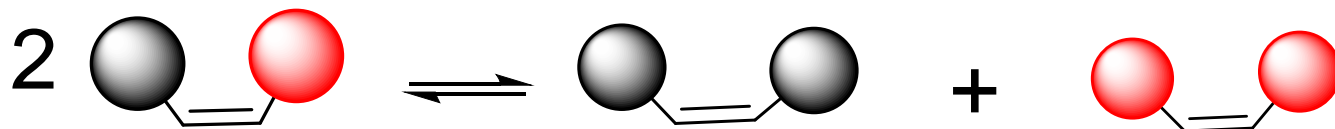
Cross coupling reactions: a success story



Heck Coupling Mechanism

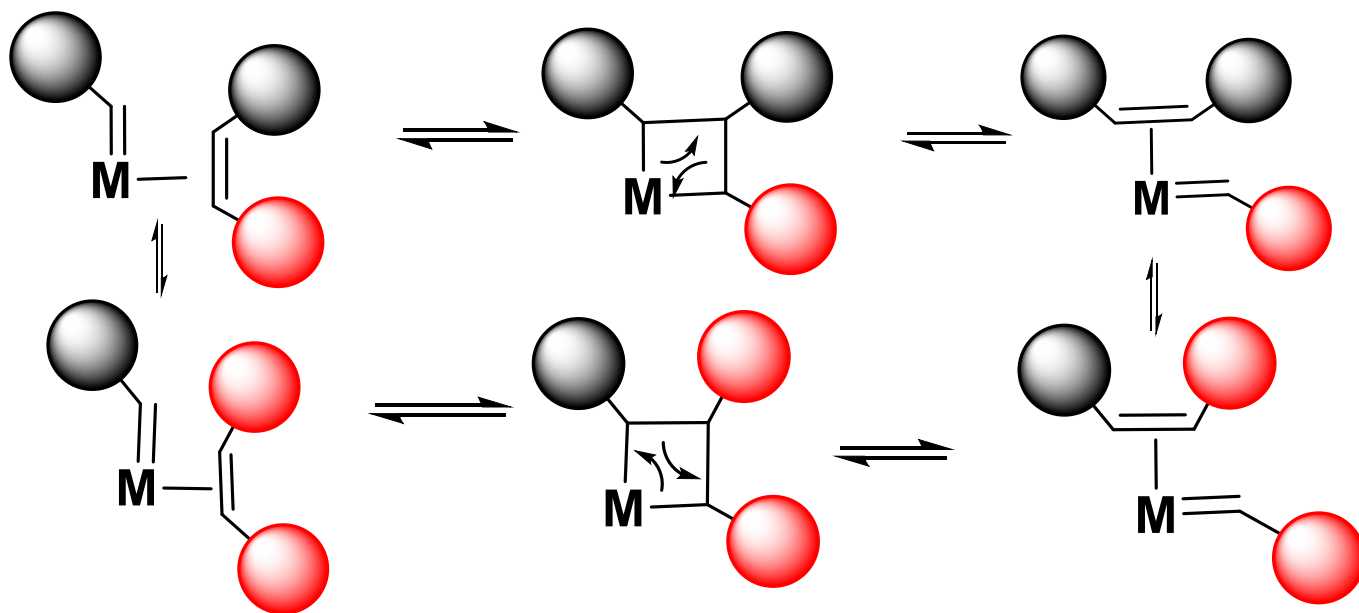


Alkene Metathesis

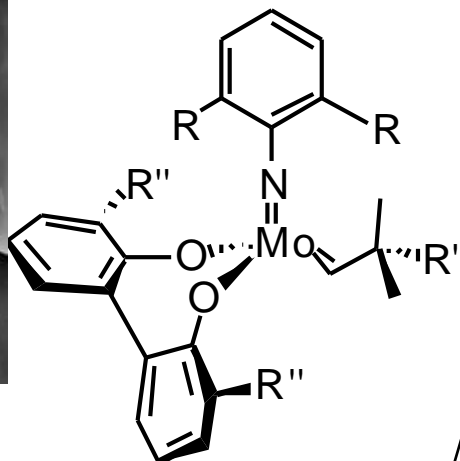


Cross-Metathesis

metathesis: from Greek *meta*, change; *tithenai*, place



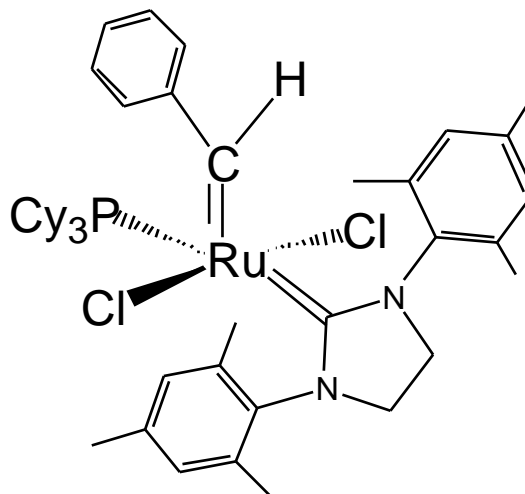
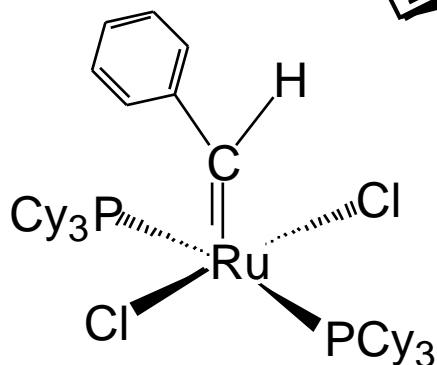
Well-Defined Metathesis Catalysts



- **Schrock**
- Range of biphen / binaphtholates
- tunable: ARCM, chiral ROMP



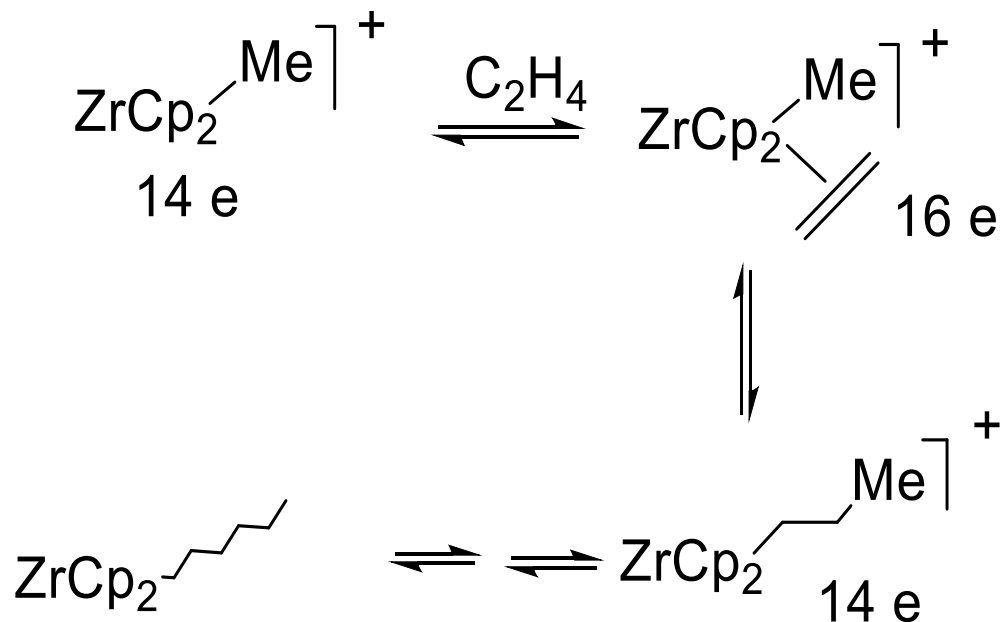
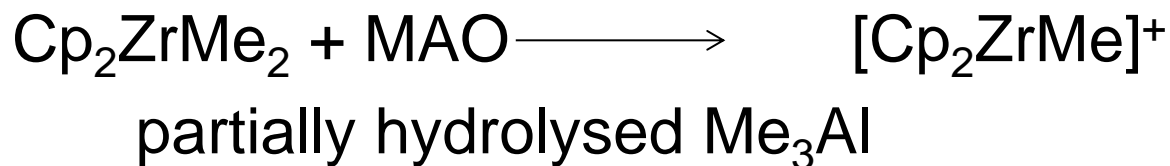
- **Grubbs "2nd generation"**



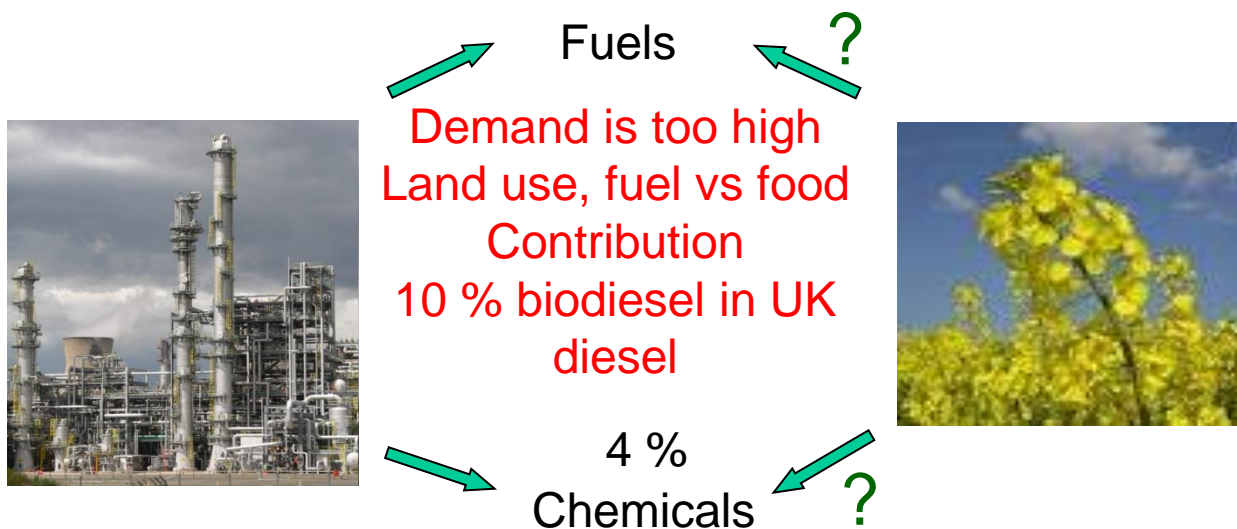
- **Grubbs I**
- Robust (>> tolerant of air, water, polar functional-groups)
- Very wide range of processes catalyzed by Ru



Ethene polymerisation



Oil - What next?



Using waste streams are best

Waste Oils

Tall Oil (paper)

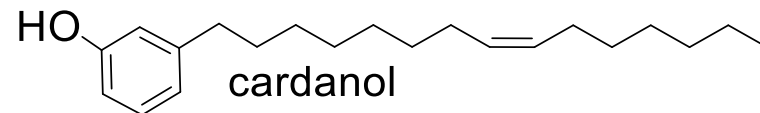


Kraft
Process
→
NaOH / Na₂S



2 M tonnes per year

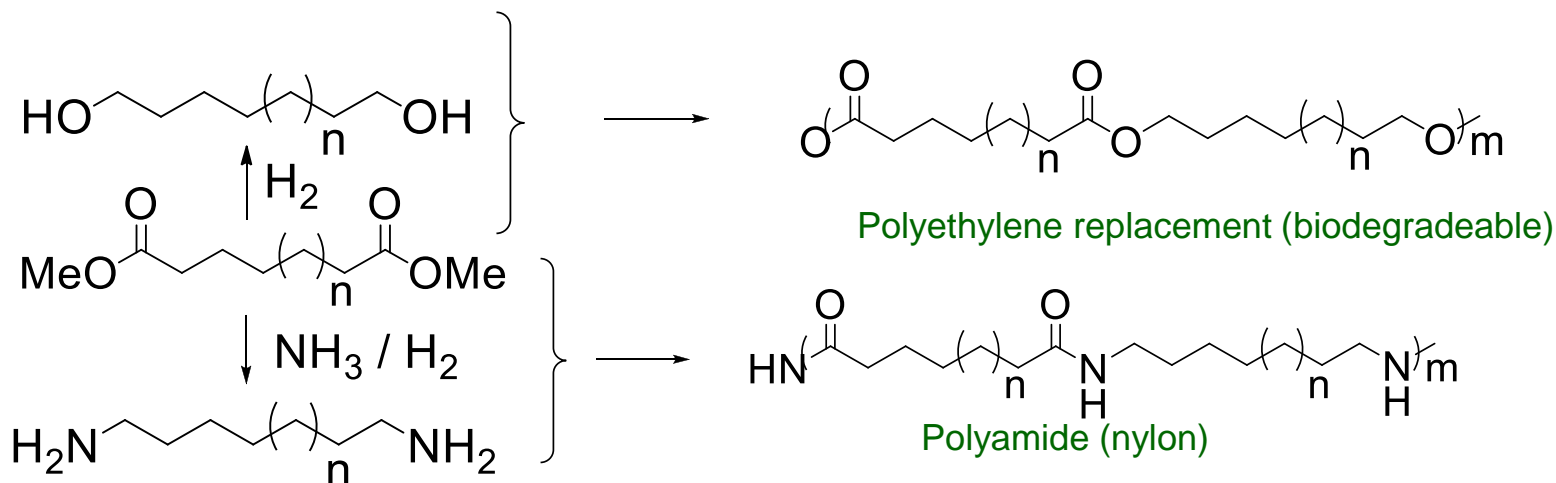
Cashew nut shell liquid (food)



300,000 tonnes per year

85 %

Uses of α,ω -difunctionalised compounds



Fibres

Thermoplastics

Coatings

Nylons (2 M tonnes per year).

Elastomers

Melt adhesives

Engineering plastics,

Overall 3 M tonnes per year

Biodegradable

Desirable



Manila Harbour

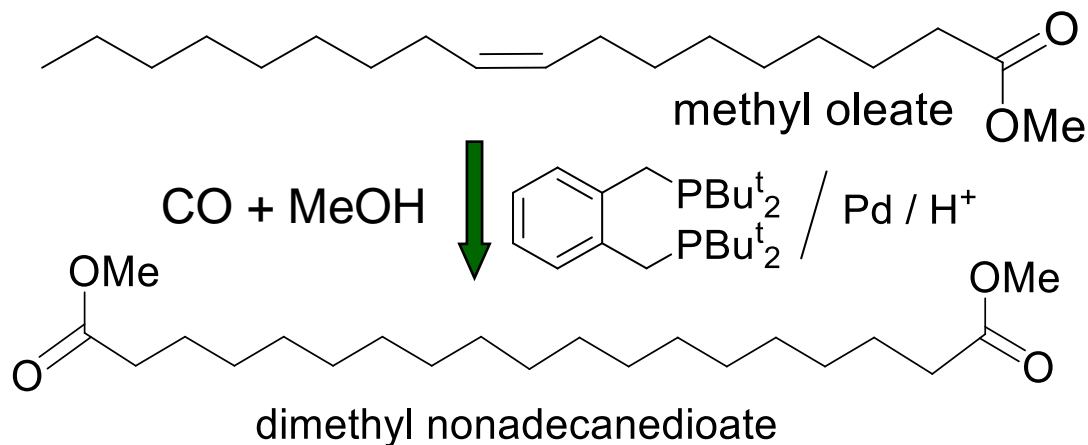
Oilprice.com

Undesirable



**Gas pipeline
(HDPE 50 % of all polyethylene)**

Oleochemicals



Methyl ester	Yield (sat diester)/%	linear selectivity / %	Other prods (%)
oleate	95	> 95	

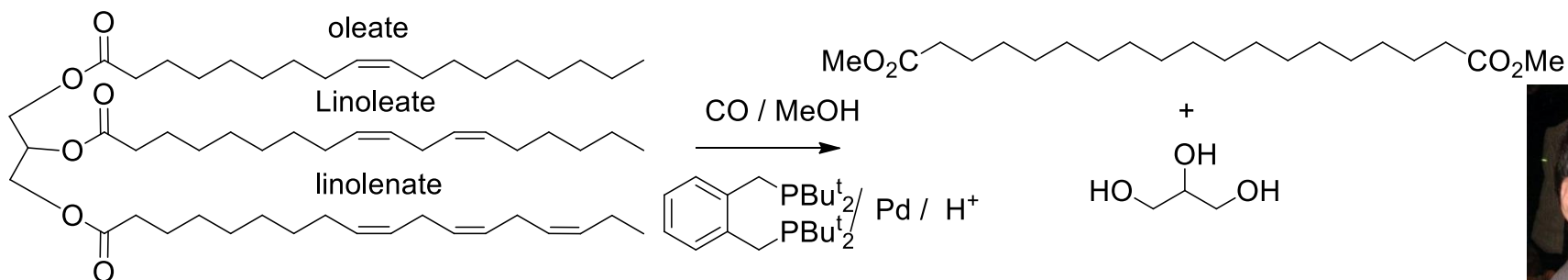
25 g

$[\text{Pd}] = 0.008 \text{ mol dm}^{-3}$, $[\text{DTBPMB}] = 0.04 \text{ mol dm}^{-3}$, $[\text{MSA}] = 0.08 \text{ mol dm}^{-3}$, substrate (2 cm³, 6 mmol), methanol (10 cm³), $p_{\text{CO}} = 30 \text{ bar}$, 80 °C, 22 h,

C. Jimenez-Rodriguez, G. R. Eastham and D. J. Cole-Hamilton, *Inorg. Chem. Commun.*, **2005**, 8, 878

High oleic sunflower oil – 3.5 kg in 12 dm³ autoclave

Methoxycarbonylation of natural oils

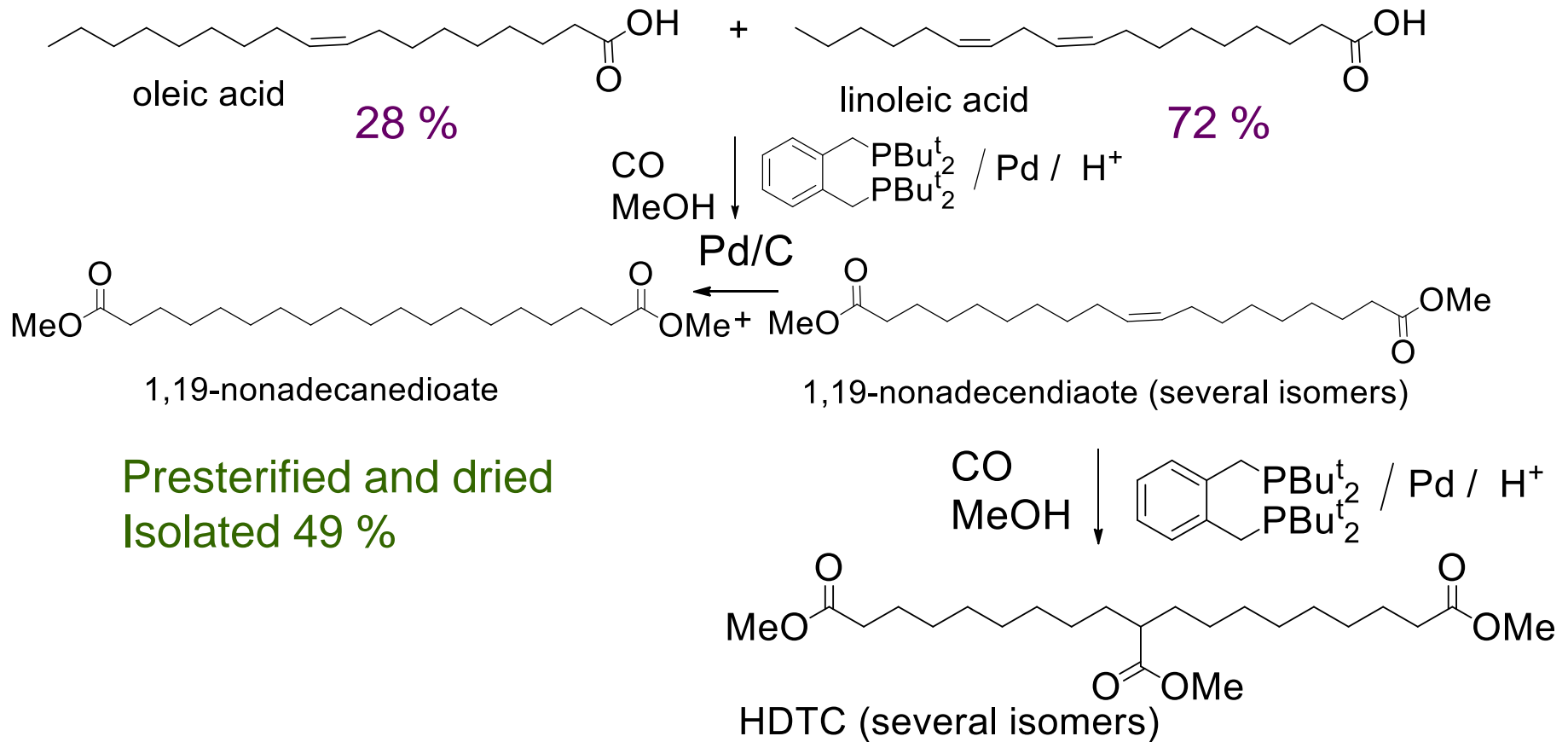


	Methyl oleate (Aldrich)	Olive (Tesco)	Rapeseed (Tesco)	Sunflower (Tesco)
Oleate / %	>90	73	64	38
Linoleate / %		2	19	50
Linolenate / %		3	10	2
Diester / g from 10 mL oil	9.0	6.9	6.4	3.4
Yield / % (from oleate)		74.7 102.3	69.3 108.3	36.8 96.8
Cost of diester / kg ⁻¹	\$ 6500 (>99 %) \$ 50 (70 %)	\$ 4.3	\$ 1.3	

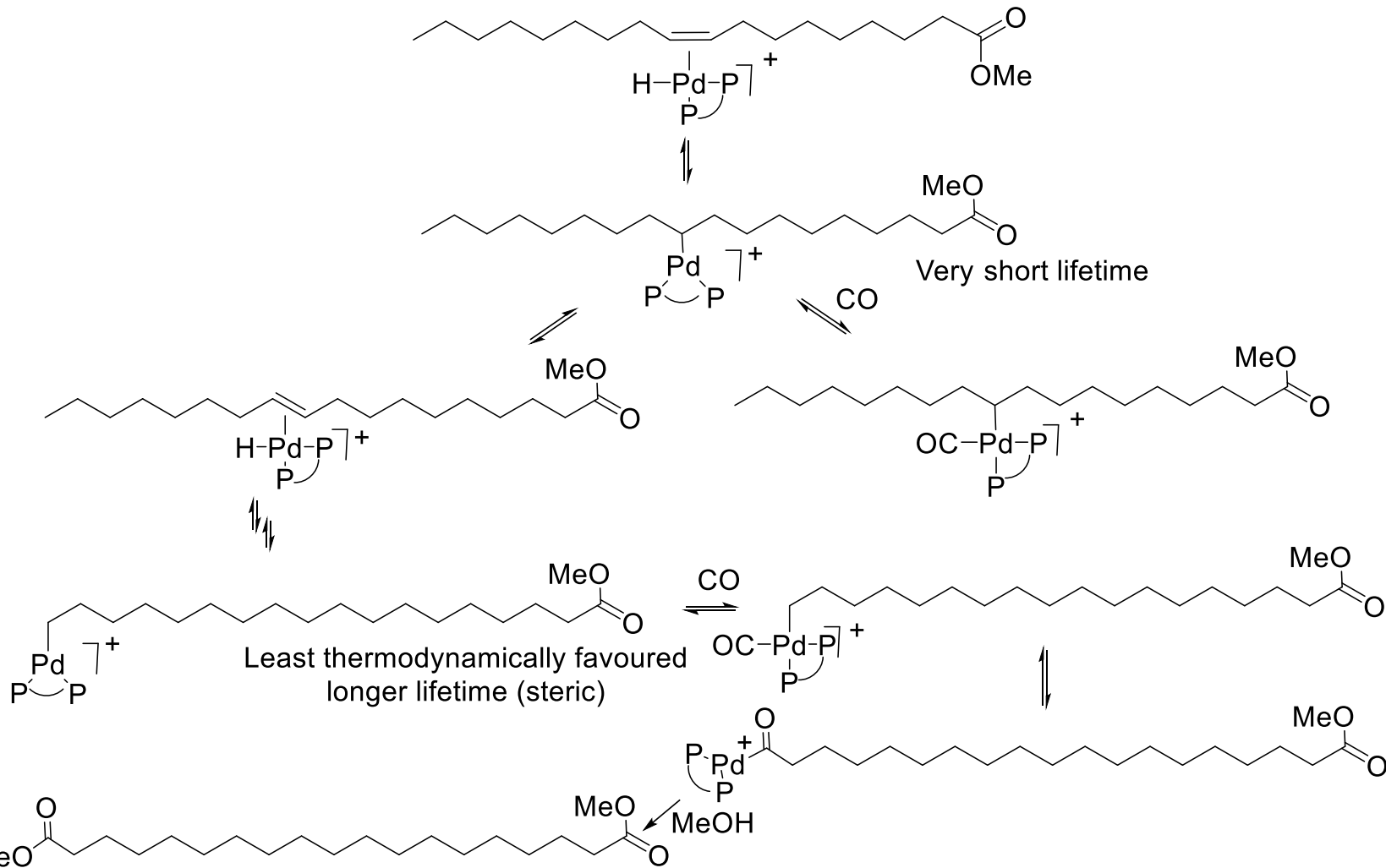
Marc Furst



Tall Oil Fatty Acids (TOFA)



Why so selective?



Catalyst recovery and recycling

D. J. Cole-Hamilton, *Science*, 2003, **299**, 1702

D. J. Cole-Hamilton and R. P. Tooze, eds.,
Catalyst Separation, Recovery and Recycling; Chemistry and Process Design, Springer, Dordrecht, 2006

Hydroformylation Conditions

Parameter	Cobalt /(+phosphine)	Rhodium / PPh ₃
Temperature / °C	120-160 (150-190)*	80-120
Pressure / bar	270-300 (40-100)	12-25
I:b	3:1 (10:1)	12:1
Side reactions	(Alkanes, <i>alcohols</i> , esters, acetals)	Condensed aldehydes (used as solvent)
Stability	Stable to high T	Decomposes at 110 °C
Catalyst recovery	Difficult but possible	Easy <C ₇

* Rate is reduced by a factor of 4-6

Problems with Homogeneous Catalysts

- - Separation of the solvent, catalysts and product
- - Recycling of the catalyst
- - The use of volatile organic solvents
- - Batch or batch continuous processing

The separation problem

Please make me
a cup of coffee



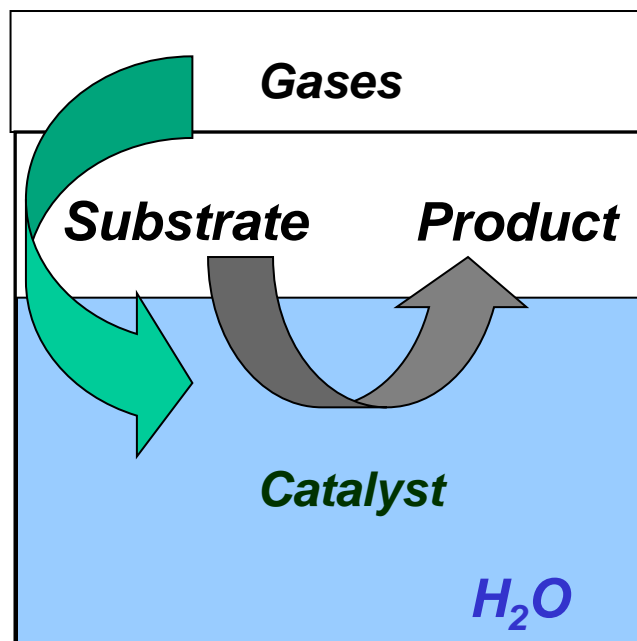
Now please bring me:

- The pure coffee
- The pure sugar
- The pure milk
- The pure water



All from this cup of coffee
All with 100 % recovery

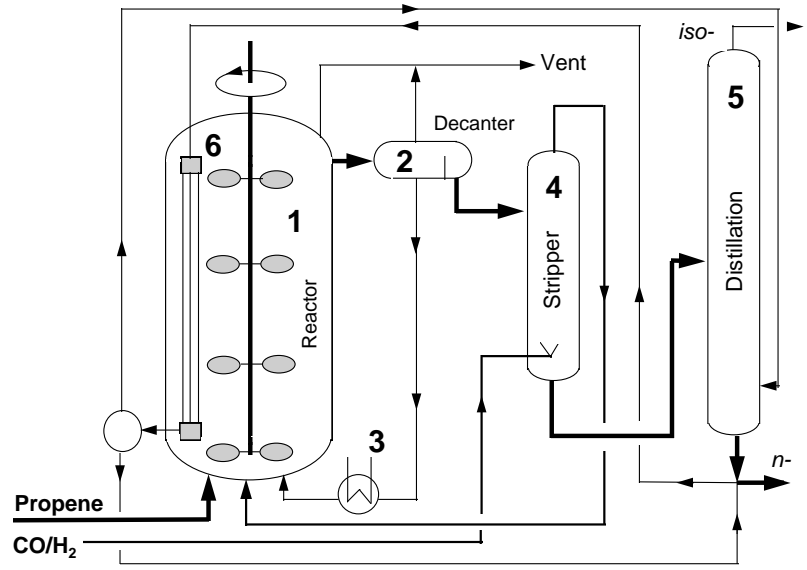
Aqueous biphasic catalysis



Originally proposed by **J. Manessan** in *Progress in Research*, Eds F. Basolo and R. L. Burwell, Plenum Press, London, 1973, p 183

Originally demonstrated by **E. Kuntz**, *Fr. Demande*, 1975, 2,314,910

Ruhrchemie Reactor

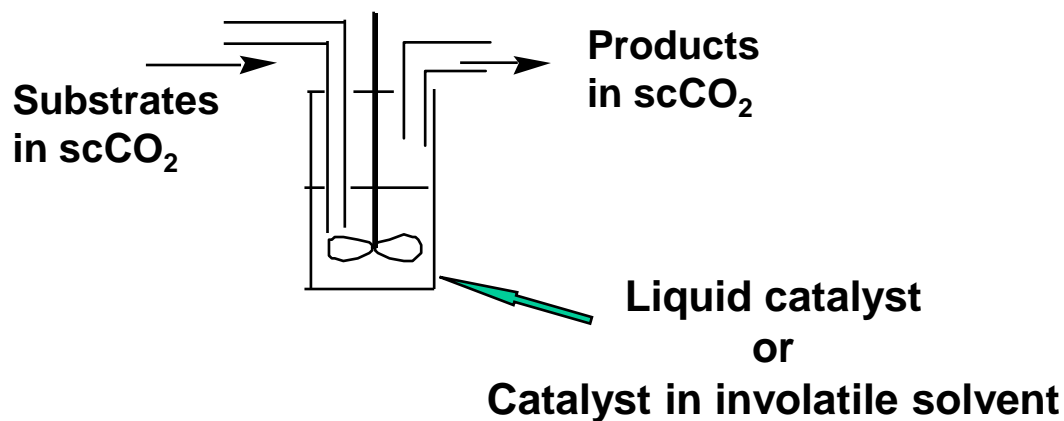


< 1 ppb Rh loss

Only operational for propene
Low solubility of higher alkenes
limits mass transfer



Continuous flow reactor for low volatility substrates and products



Solvent

- - Involatile
- - Insoluble in scCO_2
- - Dissolves catalyst

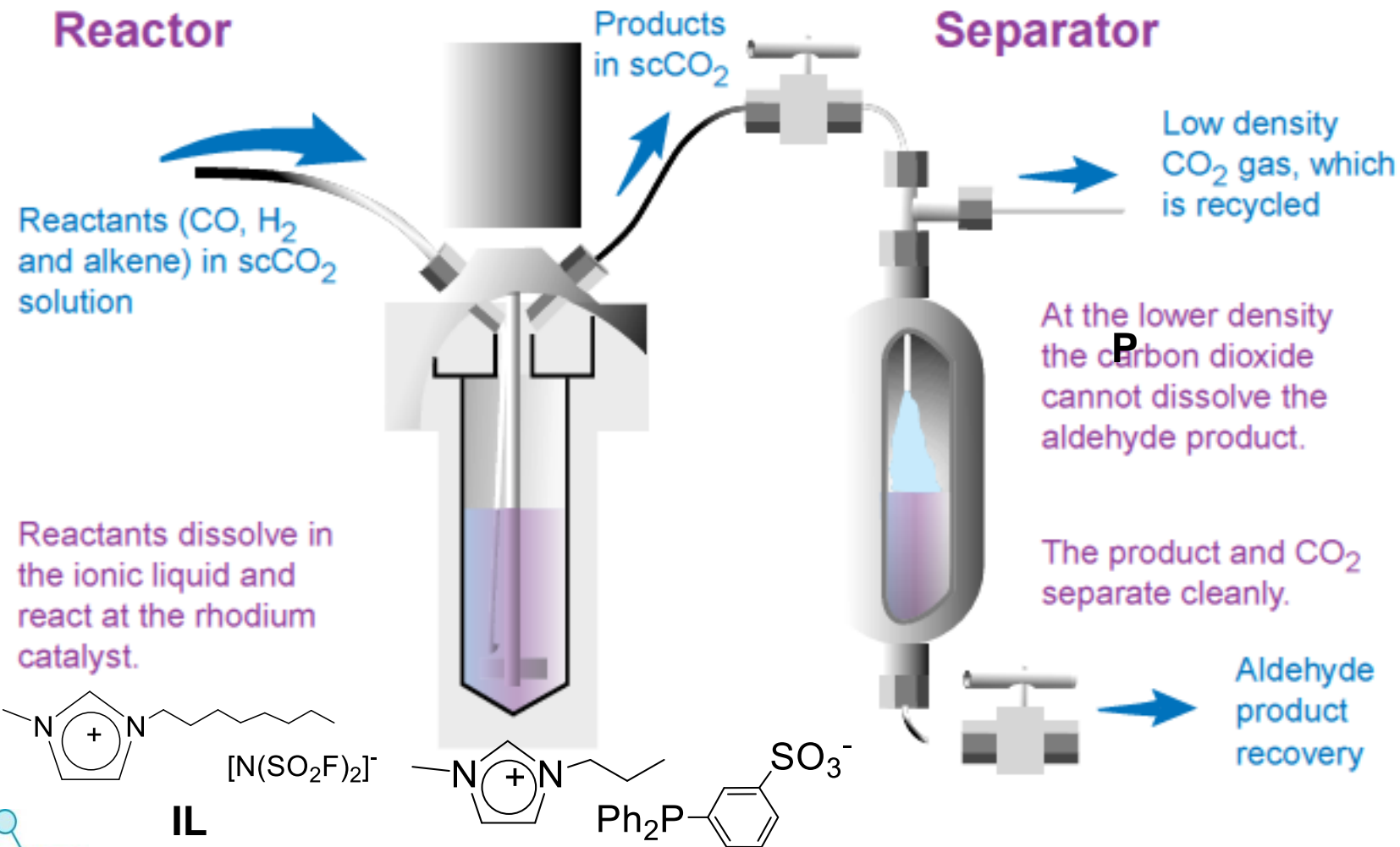
Catalyst

- - Soluble in solvent
- - Insoluble in scCO_2

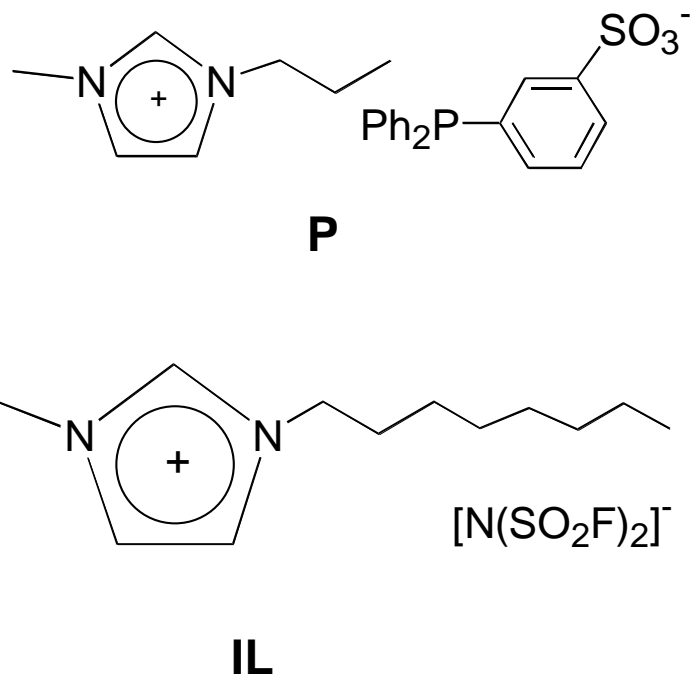
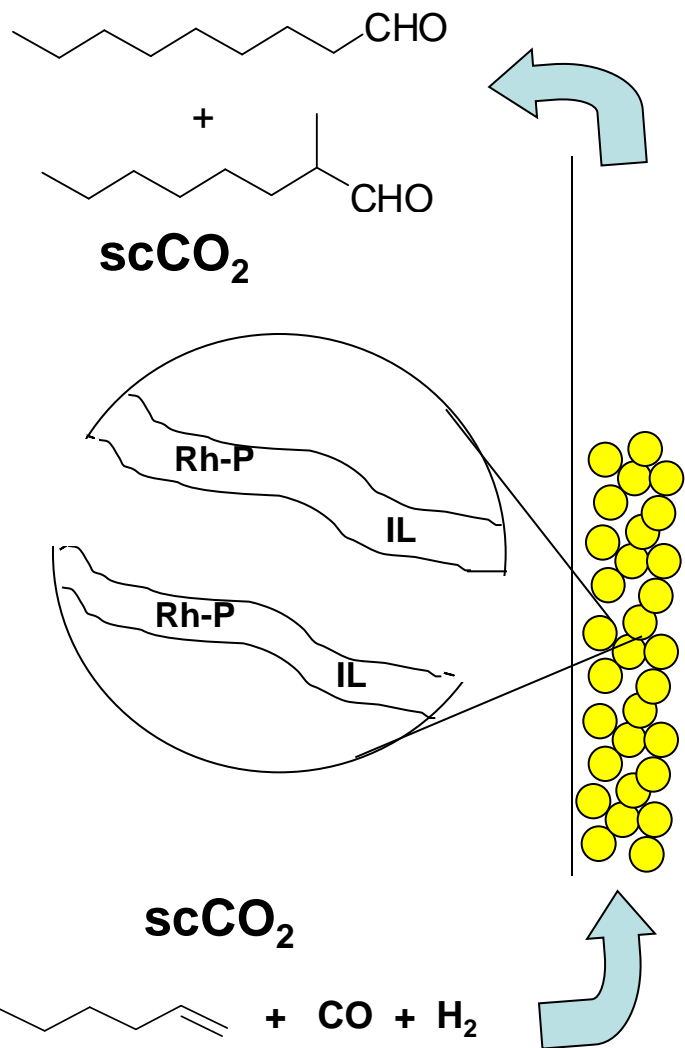
IONIC LIQUID?

IONIC CATALYST

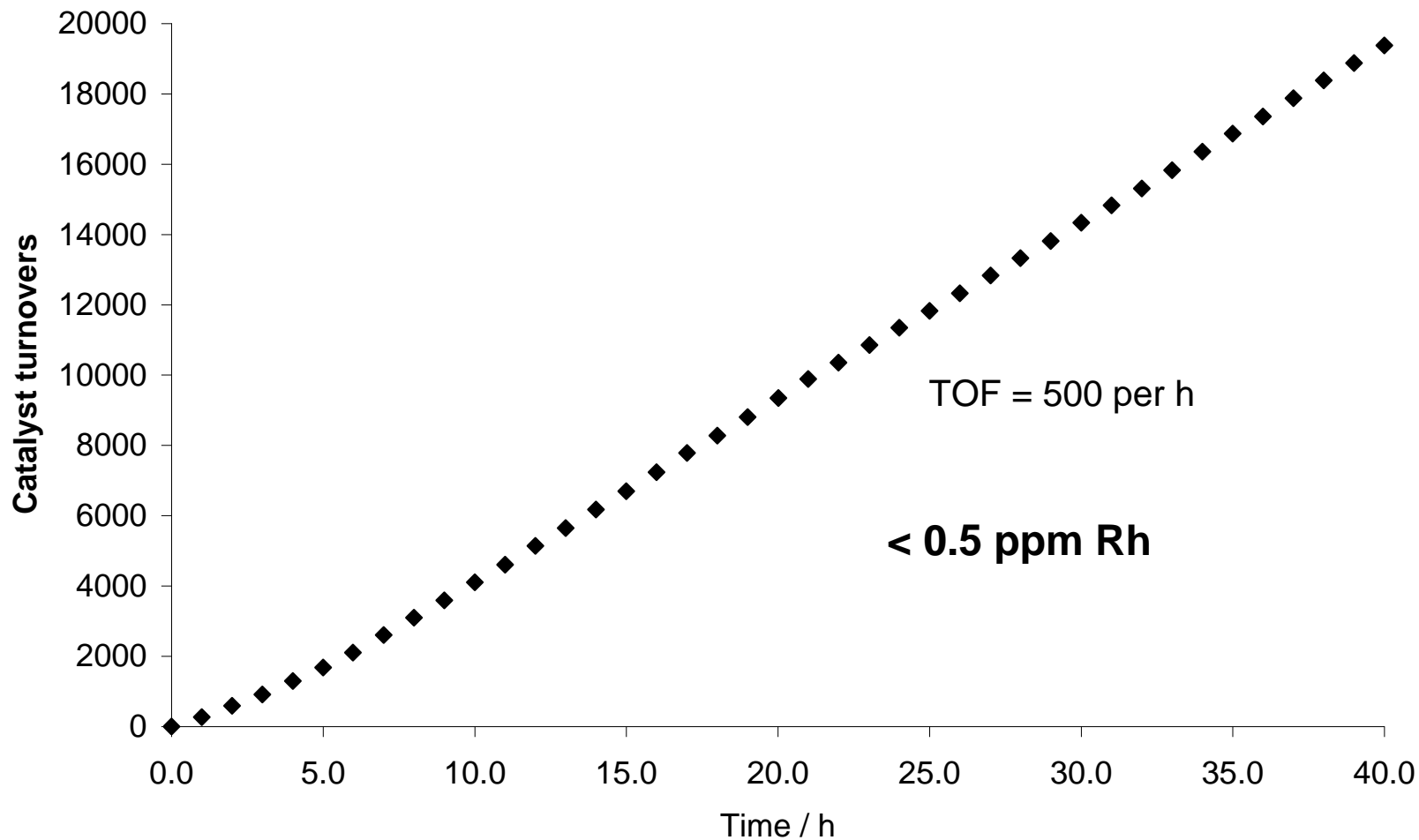
Flow homogeneous catalysis



SILP hydroformylation with SCF flow



Catalyst stability



What we really want

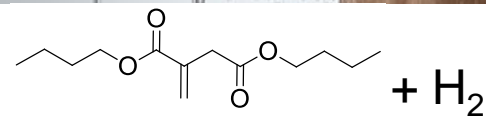
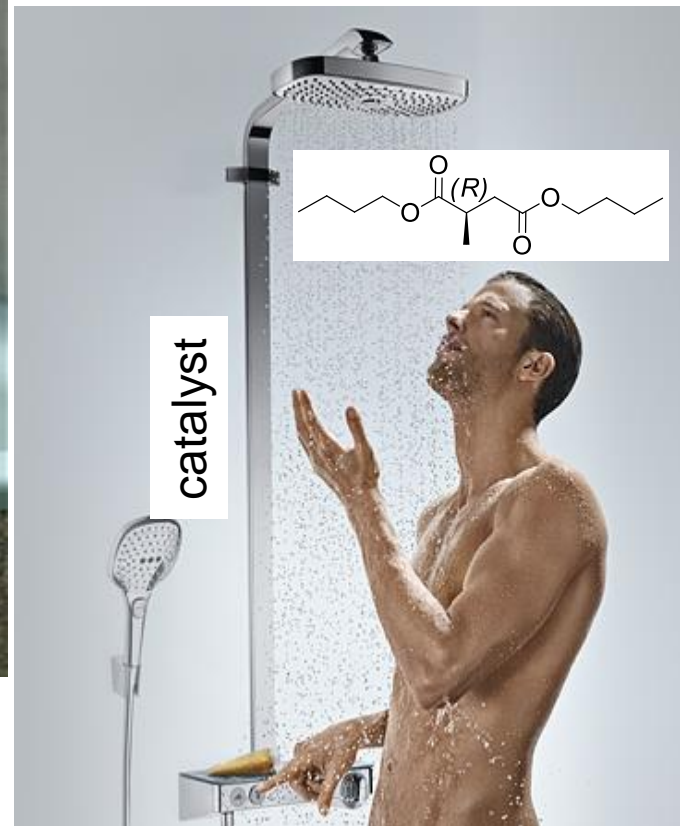
It does, for example, no good to offer an elegant, difficult and expensive process to an industrial manufacturing chemist, whose ideal is something to be carried out in a disused bathtub by a one-armed man who cannot read, the product being collected continuously through the drain hole in 100% purity and yield.



Sir John Cornforth, *Chemistry in Britain*, 1975, 342.

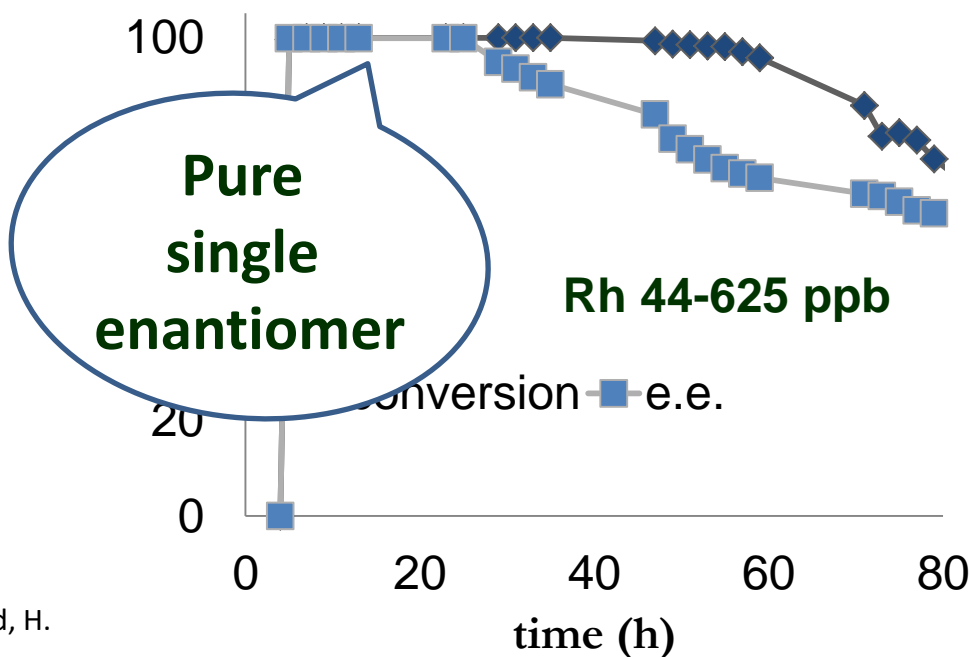
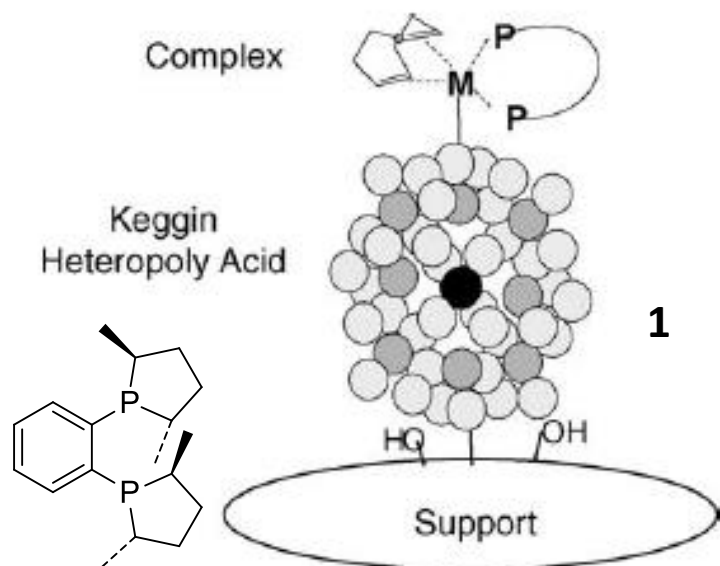
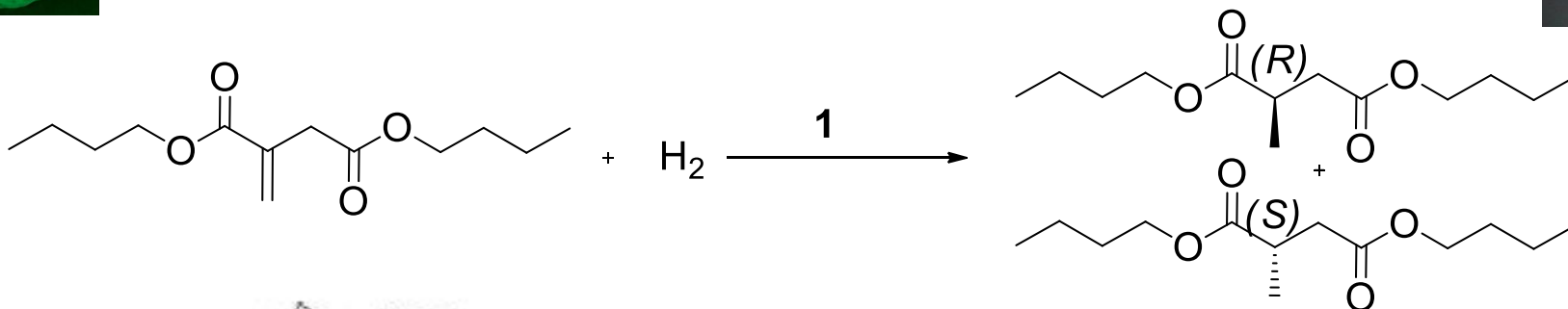
Continuous FLOW

Bath





Solventless reaction Ruben Duque



Rh 44-625 ppb

R.L. Augustine*, S.K. Tanielyan, N. Mahata, Y. Gao, A. Zsigmond, H. Yang, *Applied Catalysis A: General* 2003, **256**, 69–76

22 °C, H_2 (5 bar, $0.2 \text{ dm}^3 \text{ min}^{-1}$), substrate (neat, 0.05 ml min^{-1})

9580 TON after 80.5 h, **400 g week⁻¹**



R. Duque, P. J. Pogorzelec and D. J. Cole-Hamilton, *Angew. Chem., Int. Ed.*, 2013, **52**, 9805



Conclusions

Homogeneous Catalysts

ROCK

